

RETURN BIDS TO:
RETOURNER LES SOUMISSIONS À:
Bid Receiving - PWGSC / Réception des soumissions
- TPSGC
11 Laurier St. / 11, rue Laurier
Place du Portage, Phase III
Core 0A1 / Noyau 0A1
Gatineau, Québec K1A 0S5
Bid Fax: (819) 997-9776

SOLICITATION AMENDMENT
MODIFICATION DE L'INVITATION

The referenced document is hereby revised; unless otherwise indicated, all other terms and conditions of the Solicitation remain the same.

Ce document est par la présente révisé; sauf indication contraire, les modalités de l'invitation demeurent les mêmes.

Comments - Commentaires

This requirement contains a security requirement.

Vendor/Firm Name and Address

Raison sociale et adresse du
fournisseur/de l'entrepreneur

Issuing Office - Bureau de distribution

Business Management and Consulting Services
Division / Division des services de gestion des affaires
et de consultation
11 Laurier St. / 11, rue Laurier
10C1, Place du Portage
Gatineau, Québec K1A 0S5

Title - Sujet Card Acceptance Services	
Solicitation No. - N° de l'invitation EN891-121555/B	Amendment No. - N° modif. 005
Client Reference No. - N° de référence du client 20121555	Date 2014-01-15
GETS Reference No. - N° de référence de SEAG PW-\$\$ZG-405-26587	
File No. - N° de dossier 406zg.EN891-121555	CCC No./N° CCC - FMS No./N° VME
Solicitation Closes - L'invitation prend fin at - à 02:00 PM on - le 2014-01-31	
F.O.B. - F.A.B. Plant-Usine: <input type="checkbox"/> Destination: <input checked="" type="checkbox"/> Other-Autre: <input type="checkbox"/>	
Address Enquiries to: - Adresser toutes questions à: Ingrid Harrington	Buyer Id - Id de l'acheteur 406zg
Telephone No. - N° de téléphone (819) 956-3201 ()	FAX No. - N° de FAX (819) 956-2675
Destination - of Goods, Services, and Construction: Destination - des biens, services et construction: See Herein	

Instructions: See Herein

Instructions: Voir aux présentes

Delivery Required - Livraison exigée	Delivery Offered - Livraison proposée
Vendor/Firm Name and Address Raison sociale et adresse du fournisseur/de l'entrepreneur	
Telephone No. - N° de téléphone Facsimile No. - N° de télécopieur	
Name and title of person authorized to sign on behalf of Vendor/Firm (type or print) Nom et titre de la personne autorisée à signer au nom du fournisseur/ de l'entrepreneur (taper ou écrire en caractères d'imprimerie)	
Signature	Date

This solicitation amendment 005 is raised to amend the solicitation as follows:

1. Answer questions pertaining to the RFP; and
2. Attach Receiver General 821/152 Test Plan and Release Procedures.

1. Questions and Answers:

Question 52

Pg 84 (40 of 121 of Annex A), Appendix 5. What is the company name, product name and versions of the middleware utilized by Dept. 34 - Transport Canada? Is this middleware a gateway or is it software based? Is this middleware one of the 6 Third-Party Connections referred to in Appendix 2?

Draft Response 52:

This solution connects to the current contractor via Tender Retail. No, this solutions represents one of the unstaffed POS workstations (middleware) referenced in Appendix 2.

Question 53

General Question. Is PWGSC currently PCI compliant? If so, at what level? Also, are all the agencies and departments that will participate in this contract PCI compliant? How many are not compliant, and are any that are not compliant above level 4?

Response 53

The government of Canada is not considered as one organization, but each department in scope of PCI is an independent entity. As such, departments are responsible to yearly self-assess their compliance status and provide action plans and remediation strategies if found non-compliant. The compliance status of departments is protected B information. The RG is currently working with a PCI advisor, and is in the process of hiring a QSA, in order to ensure that all departments accepting credit cards remain, or become, PCI compliant. Currently, the PCI level of departments is between levels 3 and 4.

Question 54

Pg 54 (10 of 121 of Annex A), Section 2.1.8 Convenience Fee Model Interface. Can PWGSC confirm that none of your agencies or departments are currently assessing convenience fees? Given Visa's restrictions on convenience fees in Canada, as well as MasterCard's limitations, what is PWGSC's intentions regarding assessing these fees? Is this an exploration of the vendor's capabilities in case the Card Organizations loosen their rules, or have some agencies and departments been asking to do this?

Response 54

No Federal Government Departments are currently assessing convenience fees. This section serves as an exploration into a service provider's capability to provide such functionality, should the Card Brands loosen their rules.

Question 55

Pg 290 (7 of 17 of Attachment 1 to Part 4), RT3.5. For "on-demand onsite training," can you confirm that this training, if needed or requested, would be planned far enough in advance for the vendor's personnel to make travel arrangements?

Response 55

Yes. On-demand, onsite training would be planned far enough in advance to allow the Contractor's personnel to make travel arrangements.

Question 56

Pg 88 (44 of 121 of Annex A, Appendix 7), item 2.d.i. By what means will transfers be made to the Bank of Canada e.g., high value payment? Is Receiver General of Canada requesting a separate code only for payments to this particular beneficiary? Do you have a preference for what the code might be?

Response 56

Transfers must be made to the Bank of Canada using the Large Value Transfer System. See subsection (d) of 2.3.1 Settlement. The Receiver General is requesting a separate code for the transfer to the Bank of Canada. The Receiver General will map any code used by the Contractor to its own coding used in the Government Banking System.

Question 57

Pg 88-89 (44-45 of 121 of Annex A, Appendix 7), item 2.d.ii. Action must be taken to stop unauthorized postings from EDI 820 and EDI 823 initiated deposits. Please clarify what is meant by EDI 820 and EDI 823 initiated deposits and kindly provide some examples.

Response 57

The RG accepts payments made to the Government of Canada through a variety of receipt methods. Although each receipt method has its own concentrator account, on occasion, deposits are made to the wrong concentrator account by error. While the RG only anticipates the receipt of card deposits to the card acceptance concentrator account, if postings from other receipt methods, such as EDI 820 and EDI 823 (related to CRA Bill Payments) initiated deposits, are made by mistake, it is important that they be coded to distinguish them from legitimate card transactions. If possible, controls/blocks should be established to prevent any unauthorized posting to the card concentrator account.

Question 58

Pg 88-89 (44-45 of 121 of Annex A, Appendix 7), item 2.d.ii. For PAD i.e., direct deposits, would not those collection transactions need to have been originated by Receiver General of Canada? Why might they become "unauthorized"?

Response 58

This is in reference to PADs, received by the Government of Canada's card acceptance concentrator. See response to Question 57 provided above.

Question 59

Pg 89 (45 of 121 of Annex A, Appendix 7), item 3.c. Does Receiver General of Canada have a preference for data transmission e.g., FTP with PGP, sFTP (FTP with SSH), AS2?

Response 59

Data is being transmitted through a third party value added network (VAN). As such, there is no direct exchange of data between the Contractor and the RG. The Contractor exchanges data with the VAN and the RG also exchanges data with the VAN via electronic mailboxes within the VAN infrastructure. The Contractor's connection to the VAN is its own responsibility; the RG has no preference on the connection as long as it is secure.

Question 60

Pg 89 (45 of 121 of Annex A, Appendix 7), item 3.c.i. Please define what is meant by "fabricated" test data and if this is a mandatory requirement?

Response 60

This is a mandatory requirement. Fabricated test data is simply any kind of non-production data in the expected format, used to test the 821 configuration. See the Receiver General 821/152 Test Plan and Release Procedures for more information.

Question 61

Pg 89 (45 of 121 of Annex A, Appendix 7), item 3.c.ii. Please define what is meant by "Real \$0" data and if this is a mandatory requirement?

Response 61

This is a mandatory requirement. "Real \$0 data" refers to 821 data, containing a \$0 balance, which is used to test the configuration. See the Receiver General 821/152 Test Plan and Release Procedures for more information.

Question 62

Pg 90 (46 of 121 of Annex A, Appendix 7), item 4.a. Please provide The Receiver General Test Plans and Release Procedures.

Response 62

Attached are the Receiver General 821/152 Test Plan and Release Procedures.

Question 63

Pg 90 (46 of 121 of Annex A, Appendix 7), item 4.c. The bidder understands that we are to call you via phone in the event that we do not receive your EDI 997. Is an automated email communication acceptable to Receiver General of Canada to notify of non-receipt?

Response 63

Yes, an automated email communication is acceptable.

Question 64

Pg 90 (46 of 121 of Annex A, Appendix 7), item 4.c. Please define the various conditions that may yield a negative EDI 997 that would be transmitted to the bank? Is this referring only to incorrect EDI syntax?

Response 64

This is only referring to incorrect EDI syntax. The EDI 997 transaction only addresses EDI syntax not application requirements. A negative EDI 997 would only be created due to incorrect EDI syntax in the incoming EDI transaction.

Question 65

Pg 90 (46 of 121 of Annex A, Appendix 7), item 4.f. Please clarify where Receiver General of Canada has requested the bank to forward each day a copy of supporting documentation for any postings to the Account not covered by Annex A – Statement of Work. Can Receiver General of Canada provide some examples of such transactions and the types of documentation expected?

Response 65

In the event of a discrepancy, the Receiver General may request transaction level details, such as transaction reference code, in order to reconcile the deposit.

Question 66

Pg 99-111 (55-67 of 121 of Annex A), Appendix 9. Are the transaction type codes requested acceptable to be BAI codes, or does Receiver General of Canada prefer the use of some other industry codes or something proprietary? Would you be able to furnish the codes in use today for all of the transactions noted for reconciliation within Appendix 9?

Response 66

The Receiver General will map any transaction codes, used by the Contractor, to the codes used in the Government Banking System. The sole restriction is that it needs to be 5 digits (See FIR01 on page 106).

Question 67

Pg 99-111 (55-67 of 121 of Annex A), Appendix 9. How are Wire Transfers distinguished from Large Value Transfer System (LVTS) Deposits in Appendix 9?

Response 67

See response to Question 57 provided above.

Question 68

Pg 100 (56 of 121 of Annex A), Appendix 9. The version requested is 3010. It is also stated that "Upon mutual agreement with the industry, the Receiver General (RG) will support and supply mapping

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EN891-121555/B

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20121555

Amd. No. - N° de la modif.

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File No. - N° du dossier

406zgEN891-121555

Buyer ID - Id de l'acheteur

406zg

CCC No./N° CCC - FMS No/ N° VME

documents for versions above 3010." We take this to mean that you may be amenable to supporting the later versions, e.g., 4010, 5010. Is RG willing to support 5010?

Response 68

To ensure consistent 821 reporting from financial institutions, the Receiver General currently only supports version 3010. Over the course of this contract, the Receiver General may adopt a later version.

Question 69

Pg 103 & 109 (46 & 59 of 121 of Annex A), Appendix 9. Please define and provide examples of "Deposit Facilities" transactions found? Are these the over the counter manual deposits?

Response 69

Deposit Facilities refer to over the counter manual deposits. See response to Question 57 provided above.

2. Find attached the Receiver General 821/152 Test Plan and Release Procedures.

821/152 Test Plan and Release Procedures

Receiver General

821/152 Test Plan and Release Procedures

1.0 OBJECTIVES

The objective of this document is to communicate standard implementation procedures currently used by the Receiver General (RG) for receiving ANSI X12 821 transaction sets. All financial institutions (FIs) must conform with these procedures unless otherwise instructed in writing by RG personnel.

2.0 SCOPE

These procedures provide an overview of the steps required to implement the transmission of EDI 821 financial information reports to the RG.

821s are the result of different transactions and therefore, test cases and transaction data is not included in this plan. Test 821s must contain data that can be verified using source documents or an originating electronic transaction. This data may be from an existing proprietary link, be supplied to the RG by the FI or from a test 820 transmitted to a revenue generating department.

3.0 PRODUCTION RELEASE PROCEDURE

Prior to an 821 implementation, testing with the participating FI must take place. Unless otherwise instructed, all test documents are to be transmitted to the RG test mailbox. Under no circumstances may an 821 be transmitted to the RG production mailbox until a signed production release form is received from the RG.

3.1 Pre-Implementation Activities

EDI implementations are a co-operative effort between trading partners. To ensure that both parties have adequate time to prepare their systems for receiving and transmitting 821s, information specific to each implementation must be communicated.

A minimum of six (6) weeks prior to the agreed on implementation date, the RG will confirm the following to the FI:

- interchange ID qualifier and receiver ID
- interchange version number
- VAN and test mailbox addresses
- the transaction set(s) to be exchanged
- the ANSI and RG version numbers of the transaction set
- interchange Control ID
- test indicator

A minimum of six (6) weeks prior to the agreed on implementation date, the FI must provide the following to the RG:

- interchange ID qualifier and sender ID
- VAN and mailbox addresses
- transit and account number
- proposed inter*EDI or other transaction types to be used (FIR01)
- proposed optional transaction set reference numbers (i.e., "RR" or "ZZ" in REF01)

3.2 Arrange Testing Schedule

Lead time for testing 821 transmission is approximately four (4) weeks but may be shorter if EDI communications are already established between the RG and the FI. The FI must contact the Testing Authority to arrange a testing schedule.

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821 Implementations include the following types of tests:

- Connectivity
- Format
- Transaction
- System
- Operational Parallel Run

When the testing schedule has been established, the Testing Authority will fax or email a scan copy of a signed Receiver General Test Authorization Form to notify the FI that testing may begin. It provides the effective date to begin testing and the RG VAN and mailbox address to which test documents are to be transmitted. It also specifies the EDI version, transaction set(s), RG mapping version/release and the Account(s) from which the test data is to be drawn. For a copy of the form, see **Schedule A**.

For a description of each test, see Section 4.0 of this document.

3.3 Notification of Test Results

A Receiver General Test Results Form is faxed to the FI to notify them that a test file has been received and the results of the test. This form should be received within two (2) business days of scheduled 821 transmission. For a copy of the form, see **Schedule B**.

The form provides details about the type of testing, the date of the test, the EDI version, transaction set and RG mapping version/release and the FI account from which the data was generated. If test results were not satisfactory, details will be provided about corrections required.

3.4 Transmission of \$0 Transactions to the Production Mailbox

After sign off on Transaction Testing and at least two weeks prior to full implementation, the FI is required to begin transmitting \$0 data on a daily basis from the live Account to the RG production mailbox. This ensures that the configuration is available for implementation day.

3.5 Release from Testing to Production

At the completion of the system test, a signed Production Release Authorization will be faxed to the FI. When this form is received, the FI may move transmission of the production 821 from the RG test mailbox to the RG production test mailbox. For a copy of the form, see **Schedule C**.

4.0 TESTING PROCEDURES

Following is a brief description of each type of test required for EDI implementation. If the FI currently exchanges 821s with the RG, the Connectivity, Format and Transaction Testing may be combined at the discretion of the RG. For more information, please contact the Testing Authority.

4.1 Connectivity Testing

Connectivity testing ensures that the FI and RG can successfully communicate. It must begin at least four (4) weeks prior to the agreed upon implementation date. The FI transmits test 821s to the RG test mailbox and the RG returns 997 acknowledgements for each transmission received. The number and frequency of transmissions depends on the maturity of EDI document exchange between the trading partners and may require one or more test transmissions.

4.2 Format Testing

Format testing verifies that the data contained in the 821 transaction set meet the information specifications defined by the RG. Segments are verified as follows:

- Interchange and functional group headers must contain the correct data and be in accordance with ANSI X12 and RG version standards
- Data contained in the 821 contains all the required elements
- Transaction types must conform with the codes supplied by the FI and approved by the RG

- REF segments must be in accordance with specifications

4.3 Transaction Testing

Transaction testing of the 821 ensures that the data contained in the 821 transaction set is in accordance with RG data requirements. A minimum of one transaction for each transaction type code must be transmitted by the FI. Data not conforming to our specifications must not be transmitted.

4.4 System Testing

The system test is an end to end application test of all facets of the EDI link. The FI transmits 821 data to the RG, and if applicable, 820 data to the appropriate department. 820 data contained in the test files are faxed to RG from the FI so that the output from the GBS application system test can be compared to the FI originated input.

4.5 Parallel Testing

Parallel Testing does not require the involvement of the FI. It ensures that all facets of the implementation function correctly after cut over to RG production is complete. Each day, source data will be supplied to RG from the originating department. This data will be compared with the production system data processed through the GBS applications.

Schedule C
Receiver General Production Release Authorization

PRODUCTION RELEASE AUTHORIZATION

Effective _____ the following transaction set(s) must be released
 (Date)
 to the Receiver General's _____ production mailbox number _____
 (VAN Name)
 for the following account(s) only:

EDI Version #(s)	Transaction Set(s)	RG Mapping Version/ Release #(s)
_____	_____	_____
_____	_____	_____
_____	_____	_____

Account Number(s)	Transit Number(s)	FI Number(s)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

_____ Receiver General Representative Signature	_____ Date	_____ Trading Partner Representative Signature	_____ Date
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