



**RETURN BIDS TO :
RETOURNER LES SOUMISSION À:**

**Canada Revenue Agency
Agence du revenu du Canada**

Proposal to: Canada Revenue Agency

We hereby offer to sell to Her Majesty the Queen in right of Canada, in accordance with the terms and conditions set out herein, referred to herein and/or attached hereto, the goods and/or services listed herein and on any attached sheets at the price(s) set out therefor.

Proposition à : l'Agence du revenu du Canada

Nous offrons par la présente de vendre à Sa Majesté la Reine du Chef du Canada, en conformité avec les conditions énoncées dans la présente incluses par référence dans la présente et/ou incluses par référence aux annexes jointes à la présente les biens et/ou services énumérés ici sur toute feuille ci-annexée, au(x) prix indiqué(s).

**Bidder's Legal Name and Address (ensure the Bidder's complete legal name is properly set out)
Raison sociale et adresse du Soumissionnaire (s'assurer que le nom légal au complet du soumissionnaire est correctement indiqué)**

**Bidder MUST identify below the name and title of the individual authorized to sign on behalf of the Bidder –
Soumissionnaire doit identifier ci-bas le nom et le titre de la personne autorisée à signer au nom du soumissionnaire**

Name /Nom

Title/Titre

Signature

Date (yyyy-mm-dd)/(aaaa-mm-jj)

(____)_____

Telephone No. – No de téléphone

(____)_____

Fax No. – No de télécopieur

E-mail address – Adresse de courriel

**REQUEST FOR PROPOSAL /
DEMANDE DE PROPOSITION**

Title – Sujet Managed File Transfer Solution	
Solicitation No. – No de l'invitation 1000332408	Date November 1, 2017
Solicitation closes – L'invitation prend fin on – le 2017-12-11 at – à 2:00 P.M. / 14 h	Time zone – Fuseau horaire EST /HNE Eastern Standard Time/ Heure Normale de l'Est
Contracting Authority – Autorité contractante	
Name – Nom: Shawn Corbett	
Address – Adresse: 250 Albert Street, 8 th Floor, Ottawa, ON	
E-mail address – Adresse de courriel : shawn.corbett@cra-arc.gc.ca	
Telephone No. – No de téléphone (613) 995-4744	
Fax No. – No de télécopieur (613) 957-6655	
Destination - Destination See herein / Voir dans ce document	



Request for Proposal (RFP)

Title: Managed File Transfer

Part 1 General Information

1.1 Introduction

The solicitation is divided into seven parts plus appendices and Appendixes, as follows:

- Part 1 General Information: provides a general description of the requirement;
- Part 2 Bidder Instructions: provides the instructions, clauses and conditions applicable to the solicitation;
- Part 3 Proposal Preparation Instructions: provides bidders with instructions on how to prepare their bid;
- Part 4 Evaluation and Selection: indicates how the evaluation will be conducted, the evaluation criteria that must be addressed in the bid, and the basis of selection;
- Part 5 Certifications: includes the certifications to be submitted with the bid and before contract award
- Part 6 Model Contract: includes the clauses and conditions and any Appendixes that will apply to any resulting contract.

Appendixes

- Appendix A: Statement of Requirement
- Appendix B: Basis of Payment
- Appendix C: Security Requirements Check List

1.2 Summary

The Canada Revenue Agency (CRA) is proposing to purchase a Commercial-Off-The-Shelf (COTS) solution that best addresses the Agency’s Managed File Transfer (MFT) needs. This solution will provide governance and management over the complete information life cycle around file-based data transfers. These file transfers would include those between the CRA and other government departments and agencies at the federal, provincial, territorial, and municipal levels. It would also include file transfers with foreign government tax authorities and with financial institutions and other business partners in Canada.

1.3 Glossary of Terms

TERM	DEFINITION
CRA	Canada Revenue Agency
DDP	Delivered Duty Paid
Proposal	A solicited submission by one party to supply certain goods or services. The word “proposal” is used interchangeably with “bid”



TERM	DEFINITION
Solicitation	An act or instance of requesting proposals/bids on specific products and/or services.
Tendering Authority	Canada Revenue Agency
Day/Month/Year	For purposes of technical evaluation, one (1) month shall equal a minimum of 16.67 billable days (a day equals 7.5 hours) and one (1) year equals a minimum of 200 billable days. Any additional billable days within the same year will not increase the experience gained for the purposes of evaluation.

1.4 Debriefings

Bidders may request a debriefing on the results of the solicitation process. Bidders should make the request to the Contracting Authority within ten (10) business days of receipt of the results of the solicitation process. The debriefing may be in writing, by telephone or in person.



Part 2 Bidder Instructions

2.1 Mandatory Requirements

Wherever the words “shall”, “must” and “will” appear in this document or any related document forming a part hereof, the item being described is a mandatory requirement.

Failure to comply or demonstrate compliance with a mandatory requirement will render the bid non-responsive and the bid will receive no further consideration.

2.1.1 Signatures

Bidders MUST sign Page 1 (front page) of the Request for Proposal and any certifications identified in Part 5.

2.2 Standard Instructions, Clauses and Conditions A0000T (2012-07-16)

All instructions, clauses and conditions identified in the bid solicitation by number, date and title are set out in the Standard Acquisition Clauses and Conditions Manual issued by Public Works and Government Services Canada (PWGSC). The Manual is available on the PWGSC Website: <https://buyandsell.gc.ca/policy-and-guidelines/standard-acquisition-clauses-and-conditions-manual>.

Bidders who submit a bid agree to be bound by the instructions, clauses and conditions of this solicitation and accept the clauses and conditions of the resulting contract.

The standard instructions and conditions 2003 (1016-04-04) are incorporated by reference into and form part of the bid solicitation.

The following clauses are incorporated by reference:

SACC Reference	Clause Title	Date
A3015T	Certifications - Bid	2014-06-26
C3011T	Exchange Rate Fluctuation	2013-11-06

2.2.1 Revisions to Standard Instructions 2003

Standard Instructions - Goods or Services – Competitive Requirements 2003 (2016-04-04) are revised as follows.

Section 01 titled “Integrity Provisions– Bid”, is deleted in its entirety and replaced with the following :

1. The *Supplier Integrity Directive* (SID) dated May 24, 2016, is incorporated by reference into, and forms a binding part of the bid solicitation. The Bidder must comply with the SID, which can be found on the Canada Revenue Agency’s website at <http://www.cra-arc.gc.ca/qncy/prcrmnt/menu-eng.html>.
2. Under the SID, charges and convictions of certain offences against a Supplier, its affiliates or first tier subcontractors, and other circumstances, will or may result in a determination by Public Works and Government Services Canada (PWGSC) that the Supplier is ineligible to enter, or is suspended from entering into a contract with Canada. The list of ineligible and suspended Suppliers is contained in PWGSC’s Integrity Database. The SID describes how enquiries can be made regarding the ineligibility or suspension of Suppliers.
3. In addition to all other information required in the bid solicitation, the Bidder must provide the following:
 - a. by the time stated in the SID, all information required by the SID described under the heading “Mandatory Provision of Information”; andh its bid, a complete list of all foreign criminal charges and convictions pertaining to itself, its affiliates and its proposed first tier subcontractors that, to the best of its knowledge and belief, may be similar to one of the listed offences in the SID. The list of foreign criminal charges and



convictions must be submitted using an Integrity Declaration Form, which can be found at [Declaration form for procurement](#).

4. Subject to subsection 5, by submitting a bid in response to this bid solicitation, the Bidder certifies that:
 - a. it has read and understands the SID (<http://www.cra-arc.gc.ca/gncy/prcrmnt/menu-eng.html>)
 - b. it understands that certain domestic and foreign criminal charges and convictions, and other circumstances, as described in the SID, will or may result in a determination of ineligibility or suspension under the SID;
 - c. it is aware that Canada may request additional information, certifications, and validations from the Bidder or a third party for purposes of making a determination of ineligibility or suspension;
 - d. it has provided with its bid a complete list of all foreign criminal charges and convictions pertaining to itself, its affiliates and its proposed first tier subcontractors that, to the best of its knowledge and belief, may be similar to one of the listed offences in the SID;
 - e. none of the domestic criminal offences, and other circumstances, described in the SID that will or may result in a determination of ineligibility or suspension, apply to it, its affiliates and its proposed first tier subcontractors; and
 - f. it is not aware of a determination of ineligibility or suspension issued by PWGSC that applies to it.
5. Where a Bidder is unable to provide any of the certifications required by subsection 4, it must submit with its bid a completed Integrity Declaration Form, which can be found at [Declaration form for procurement](#).
6. Canada will declare non-responsive any bid in respect of which the information requested is incomplete or inaccurate, or in respect of which the information contained in a certification or declaration is found by Canada to be false or misleading in any respect. If Canada establishes after award of the Contract that the Bidder provided a false or misleading certification or declaration, Canada may terminate the Contract for default. Pursuant to the SID, Canada may also determine the Bidder to be ineligible for award of a contract for providing a false or misleading certification or declaration.

Section 02 titled "Procurement Business Number", is hereby deleted in its entirety and replaced with: Suppliers should obtain a Business Number (BN) before contract award. Suppliers may register for a BN online at <http://www.cra-arc.gc.ca/tx/bsnss/tpcs/bn-ne/menu-eng.html>.

Section 03 titled "Standard Instructions, Clauses and Conditions", "Pursuant to the Department of Public Works and Government Services Act (S.C. 1996, c.16)," is hereby deleted.

Section 05 titled "Submission of Bids", paragraph 2 (d) is deleted in its entirety and replaced with the following: (d) send its bid only to the Canada Revenue Agency Bid Receiving Unit or to the address specified in the solicitation in Section 2.3.

Section 05 titled "Submission of Bids" paragraph 4, delete sixty (60) days and replace with 120 days.

Section 06 titled "Late Bids" reference to "PWGSC" is hereby deleted and replaced with CRA.

Section 07 titled "Delayed Bids" all references to "PWGSC" are hereby deleted and replaced with "CRA".

Section 12 titled "Rejection of Bid", delete subsections 1(a) and 1(b) in their entirety.

Section 17 titled "Joint Venture", delete in its entirety and replace with the following:



17 Joint Venture

1. A joint venture is an association of two or more parties who combine their money, property, knowledge, expertise or other resources in a single joint business enterprise, sometimes referred as a consortium, to bid together on a requirement. Bidders who bid as a contractual joint venture shall clearly indicate in their bid submission (in Part 5) that they are bidding as a contractual joint venture and shall provide the following information:

- a) the name of the contractual joint venture;
 - b) the name of each member of the contractual joint venture;
 - c) the Procurement Business Number of each member of the contractual joint venture;
 - d) a certification signed by each member of the joint venture representing and warranting:
 - (i) the name of the joint venture (if applicable);
 - (ii) the members of the joint venture;
 - (iii) the Business Numbers (BN) of each member of the joint venture;
 - (iv) the effective date of formation of the joint venture;
 - (v) that the joint venture continues to be in effect as of the date of the bid submission; and
 - (vi) that each member of the joint venture has appointed and granted full authority to the specified member of the joint venture (the "Lead Member") to act on behalf of all members as its representative for the purposes of executing documentation relating to the Contract issued subsequent to contract award (if the joint venture is awarded a contract), including but not limited to Contract Amendments and Task Authorizations.
 - e) the name of the representative of the joint venture (the "Lead Member"), i.e. the member chosen by the other members to act on their behalf.
2. If the above information is not clearly provided in the bid, the Bidder must provide the information on request from the Contracting Authority.
3. ALL members of the joint venture must sign the Joint Venture Certification in Part 5.
4. The bid and any resulting contract must be signed by all the members of the joint venture unless one member has been appointed to act on behalf of all members of the joint venture. The Contracting Authority may, at any time, require each member of the joint venture to confirm that the representative has been appointed with full authority to act as its representative for the purposes of the bid solicitation and any resulting contract.
5. If a contract is awarded to a joint venture, all members of the joint venture will be jointly and severally or solidarily liable for the performance of any resulting contract.
- 6.
7. In the case of a contractual joint venture, no dispute, claim or action for damages, whether based in contract, or in tort, or any other theory of law, howsoever arising from the Request for Proposal, Contract, or any other related or subsequently issued documents including without limitation Task Authorizations, Contract amendments may be commenced, or brought against the CRA, including without limitation any of its officers, employees or agents unless each member of the joint venture is a party to such dispute, claim, or action (as the case may be).
8. The Bidder shall obtain the prior written approval of the Contracting Authority to any change in the membership of a contractual joint venture after bid submission. Any change in the membership of a contractual joint venture after bid submission without the prior written approval of the Contracting Authority shall result in elimination of the bid or if such change occurs after contract award shall be deemed to be default under the Contract.

Section 20 titled "Further Information", Paragraph 2 is hereby deleted and replaced with the following: Enquiries concerning receipt of bids may be addressed to the Contracting Authority identified in the bid solicitation.

Section 21 titled "Code of Conduct for Procurement-bid" is hereby deleted in its entirety.



2.3 Submission of Proposals

When responding, the proposal SHALL be delivered to the Bid Receiving Unit address indicated below by the time and date indicated on Page 1.

BIDDERS ARE TO SUBMIT PROPOSALS TO:

Canada Revenue Agency
Bid Receiving Unit
Ottawa Technology Centre
Receiving Dock
875 Heron Road, Room D-95
Ottawa, ON K1A 1A2
Telephone No: (613) 941-1618

Bidders are hereby advised that the Bid Receiving Unit of CRA is open Monday to Friday inclusive, between the hours of 0730 and 1530, excluding those days that the federal government observes as a holiday.

ELECTRONIC BIDS WILL NOT BE ACCEPTED. Due to the nature of this solicitation, electronic transmissions of a proposal by such means as electronic mail or facsimile is not considered to be practical and therefore will not be accepted.

2.4 Communications - Solicitation Period SACC A0012T (2014-03-01)

All enquiries must be submitted to the Contracting Authority no later than 15 calendar days before the bid closing date. Enquiries received after that time may not be answered.

Bidders should reference as accurately as possible the numbered item of the bid solicitation to which the enquiry relates. Care should be taken by bidders to explain each question in sufficient detail in order to enable Canada to provide an accurate answer. Technical enquiries that are of a "proprietary" nature must be clearly marked "proprietary" at each relevant item. Items identified as proprietary will be treated as such except where Canada determines that the enquiry is not of a proprietary nature. Canada may edit the question(s) or may request that the Bidder do so, so that the proprietary nature of the question(s) is eliminated and the enquiry can be answered to all bidders. Enquiries not submitted in a form that can be distributed to all bidders may not be answered by Canada.

2.5 Applicable Laws SACC A9070T (2014-06-26)

Any resulting contract shall be interpreted and governed, and the relations between the parties determined, by the laws in force in Ontario.

The Bidder may, at its discretion, substitute the applicable laws of a Canadian province or territory of its choice without affecting the validity of its bid, by deleting the name of the Canadian province or territory specified and inserting the name of the Canadian province or territory of its choice. If no change is made, it acknowledges that the applicable laws specified are acceptable to the Bidder.

2.6 Terms and Conditions

The Bidder hereby certifies compliance with and acceptance of all of the articles, clauses, terms and conditions contained or referenced in this Request for Proposal (RFP) and Statement of Requirement (SOR). Any modifications or conditional pricing by the bidder, including deletions or additions to the articles, clauses, terms and conditions contained or referenced in this RFP and/or SOR document will render the bid non-responsive and the bid will receive no further consideration.



Part 3 Proposal Preparation Instructions

3.1 Bid - Number of Copies CRA MODA0055T (2007-11-30)

Canada requests that bidders provide their bid in separately bound sections as follows:

Section I: Technical Bid (6 hard copies) and (1 soft copy) on DVD

In their technical bid, bidders should demonstrate their understanding of the requirements contained in the bid solicitation and explain how they will meet these requirements. Bidders should demonstrate their capability in a thorough, concise and clear manner for carrying out the Work.

The technical bid should address clearly and in sufficient depth the points that are subject to the evaluation criteria against which the bid will be evaluated. Simply repeating the statement contained in the bid solicitation is not sufficient. In order to facilitate the evaluation of the bid, Canada requests that bidders address and present topics in the order of the evaluation criteria under the same headings. To avoid duplication, bidders may refer to different sections of their bids by identifying the specific paragraph and page number where the subject topic has already been addressed.

Section II: Financial Bid (1 hard copy) and (1 soft copy on DVD)

Bidders must submit their financial bid in accordance with the format outlined in Appendix B: Financial Proposal / Basis of Payment. The total amount of Applicable Taxes must be shown separately.

Section III: Certifications (1 hard copy)

Bidders must submit the certifications required under Part 5.

Section IV: Additional Information (2 hard copies)

If there is a discrepancy between the wording of the soft copy and the hard copy, the wording of the hard copy will have priority over the wording of the soft copy.

Prices must appear in the financial bid only. No prices must be indicated in any other section of the bid.

3.2 Bid Format and Numbering System CRA MODA0054T (2007-11-30)

Canada requests that bidders follow the format instructions described below in the preparation of their bid.

- a. use 8.5 x 11 inch (216 mm x 279 mm) paper;
- b. Use recycled-fibre content paper and two-sided printing. Reduction in the size of documents will contribute to CRA's sustainable development initiatives and reduce waste;
- c. avoid the use of colour and glossy formats
- d. use a numbering system corresponding to that of the bid solicitation;
- e. include the certification as a separate section of the bid.



Part 4 Evaluation and Selection

4.1 General

A committee composed of representatives of CRA will evaluate the proposals on behalf of the Agency. The services of independent consultants may be called upon to assist in the evaluation of, or in the validation of, specific aspects of the solution proposed. CRA reserves the right to engage any independent consultant, or use any Government resources, which it deems necessary to evaluate any proposal.

Proposals will be evaluated in accordance with the evaluation criteria identified in Appendix A Statement of Requirement (SOR). Bidders are encouraged to address these criteria in sufficient depth in their proposals to permit a full evaluation of their proposals. The onus is on the Bidder to demonstrate that it meets the requirements specified in the solicitation.

Bidders are advised that only listing experience without providing any supporting information to describe where and how such experience was obtained will not be considered to be demonstrated for the purpose of the evaluation. The Bidder should not assume that the evaluation team is necessarily cognizant of or knowledgeable about the experience and capabilities of the Bidder or any of the proposed resource(s); as such, any relevant experience must be demonstrated in the Bidders' written proposal.

4.2 Steps in the Evaluation Process

The selection process to determine the successful Bidder will be carried out as follows:

Notwithstanding Steps 1 and 2 below, in order to expedite the evaluation process, CRA reserves the right to conduct Step 3 - Evaluation of Financial Proposals concurrently with Steps 1 and 2. Should CRA elect to conduct Step 3 prior to the completion of Step 2, the information in the Financial Proposal will not be disclosed to the team evaluating the mandatory and rated sections until the completion of Steps 1 and 2. However, if the Contracting Authority is able to ascertain that a proposal is non-responsive by virtue of incomplete information or an error in the financial proposal, the Contracting Authority will advise the team evaluating the mandatory and rated sections that the proposal is not compliant and should no longer be considered. The concurrent evaluation of the financial proposal does not in any way construe compliance in Steps 1 and 2 despite the statement "All bids meeting the minimum thresholds in Step 2 will proceed to Step 3".

Bids will be ranked in accordance with the Selection Methodology.

Step 1 – Evaluation against Mandatory Criteria

All bids will be evaluated to determine if the mandatory requirements detailed in Appendix A Statement of Requirement have been met. Only those bids meeting ALL mandatory requirements will then be evaluated in accordance with Step 2 below.

Step 2 – Evaluation against Point-Rated Criteria

All bids meeting the criteria from Step 1 will be evaluated and scored, in accordance with the point-rated criteria detailed in Appendix A Statement of Requirement, to determine the Bidder's Total Technical Merit Score.

Bids will then be evaluated in accordance with Step 3 below.



Step 3 - Evaluation of Financial Proposals

Only technically compliant bids meeting all of the requirements detailed in Steps 1, and 2 will be considered at this point.

Prices submitted will be evaluated to determine the bid evaluation price as defined in Appendix B – Basis of Payment. Once the bid evaluation prices are determined under Step 3, the proposals will proceed to Step 4.

Bidders must provide a price, percentage, or weight, as applicable, for each item identified in the format specified in Appendix B Basis of Payment. Ranges (e.g., \$10-\$13) are not acceptable.

Step 4 – Basis of Selection

BASIS OF SELECTION – HIGHEST COMBINED RATING OF TECHNICAL MERIT AND PRICE SCORE

SACC Manual Clause [A0027T](#) (2012-07-16), Basis of Selection – Highest Combined Rating of Technical Merit and Price

1. To be declared responsive, a bid must:
 - a. comply with all the requirements of the solicitation; and
 - b. meet all mandatory criteria
2. Bids not meeting 1. (a) and (b) will be declared non-responsive.
3. The selection will be based on the highest responsive combined rating of technical merit and price. The ratio will be 70 % for the technical merit and 30 % for the price.
4. To establish the technical merit score, the overall technical score for each responsive bid will be determined as follows: total number of points obtained divided by the maximum number of points available multiplied by the ratio of 70 %.
5. To establish the pricing score, the overall price score for each responsive bid will be determined as follows: lowest evaluated Bidder’s price divided by each responsive Bidder’s price, multiplied by the ratio of 30 %.
6. For each responsive bid, the technical merit score and the pricing score will be added to determine its combined rating.
7. Neither the responsive bid obtaining the highest technical score nor the one with the lowest evaluated price will necessarily be accepted. The responsive bid with the highest combined rating of technical merit and price will be recommended for award of a contract.

In situations where two or more bidders achieve the same total combined rating of technical merit and price score, the bidder recommended for award of the contract will be the compliant bidder with the lowest priced bid.

Bidder	Technical Points out of 1000	Technical Merit Score (X) (70%)	Bid Price	Price Score (Y) (30%)	Total Combined Rating (X+Y)
1	620	$620/1000 \times 70 = 43.4$	\$500,000*	$500,000/500,000 \times 30 = 30$	73.4
2	650	$650/1000 \times 70 = 45.5$	\$520,000	$500,000/520,000 \times 30 = 28.85$	74.35



3	720	$720/1000 \times 70 = 50.4$	\$580,000	$500,000/580,000 \times 30 = 25.86$	76.26
4	790	$790/1000 \times 70 = 55.3$	\$700,000	$500,000/700,000 \times 30 = 21.43$	76.73***
5	960**	$960/1000 \times 70 = 67.2$	\$2,000,000	$500,000/2,000,000 \times 30 = 7.50$	74.7

*Lowest priced technically compliant proposal (Bidder 1)

**Highest scoring technically compliant proposal (Bidder 5)

***Winning proposal (Bidder 4)

The Bidder, having passed the requirements for this step, will proceed to Step 5.

Step 5 – Proof of Proposal

The Bidder with the highest ranked responsive bid as defined in Step 4 will proceed to the Proof of Proposal Testing phase of the evaluation; The Contracting Authority may request the Bidder to provide their proposed solution for demonstration and Proof of Proposal (POP) testing at a CRA designated site, with the participation and assistance of the Bidder.

The Contracting Authority will provide the Bidder with a listing of the mandatory and rated requirements that will be subjected to validation by the Contracting Authority a minimum of ten (10) business days before the Bidder's scheduled Proof of Proposal Testing date. We reserve the right to test any or all mandatory or point rated criteria in the RFP.

The purpose of the Proof of Proposal will be to validate the Bidder's proposal and proposed solution related to the mandatory and point-rated requirements. If there is an obvious discrepancy between the product or the performance of the products provided for Proof of Proposal Testing and the solution proposed in the Bidder's proposal, CRA reserves the right to conduct whatever further tests are required to validate the Bidder's proposal.

Within ten (10) business days of a request from the Contracting Authority, the Bidder with the highest ranked responsive bid must deliver their solution ready for test at a CRA designated site in the Canada National Capital Region (to be determined prior to Bidder notification). CRA will assume all costs related to the facilities provided, the required infrastructure (i.e. the CRA network) and CRA employees. All Bidder costs, including delivery of the solution and support during the POP will be the responsibility of the Bidder. CRA will conduct all tests utilizing CRA developed test procedures.

The Proof of Proposal testing timeline shall not exceed ten (10) business days, unless extended in writing by the Contracting Authority at CRA's sole discretion. If a deficiency is detected during the Proof of Proposal, the Bidder will have the opportunity to correct any deficiencies (including the provision of replacement equipment) during the Proof of Proposal testing, provided that all deficiencies are corrected within the ten (10) business days testing timeline.

If the proposed solution fails to meet one of the tested mandatory requirements of the SOR at the end of the ten (10) business day test period, the bid will be declared non-responsive. The Bidder will remove their solution from



the test site and CRA will invite the Bidder with the next highest ranked responsive bid to participate in the Proof of Proposal testing phase of the evaluation.

If the proposed solution fails to meet one of the tested point-rated requirements of the SOR at the end of the ten (10) business day test period, the Bidder's proposal will be re-evaluated to adjust the point-rated scoring assessed for each applicable criterion. Steps Two, Three and Four will be repeated to reassess the bids and should the Bidder no longer be the highest-ranked responsive bid, the Bidder will remove their solution from the test site and CRA will invite the bidder with the next highest ranked responsive bid to participate in the Proof of Proposal testing phase of the evaluation.

CRA reserves the right to conduct POP testing after Contract Award at its sole discretion.

Step 6 – Conditions Precedent to Contract Award

The Bidder recommended for award of a Contract must meet the requirements provided in part 5 "Certifications" of this RFP.

Step 7 – Contract Entry

The Bidder(s) with the highest ranked responsive bid and meeting all the requirements listed above will be recommended for award of a contract.



Part 5 Certifications

Bidders must provide the required certifications and associated information to be awarded a contract.

The certifications provided by bidders to Canada are subject to verification by Canada at all times. Canada will declare a bid non-responsive, or will declare a contractor in default in carrying out any of its obligations under the Contract, if any certification made by the Bidder is found to be untrue, whether made knowingly or unknowingly, during the bid evaluation period or during the contract period.

The Contracting Authority will have the right to ask for additional information to verify the Bidder's certifications. Failure to comply and to cooperate with any request or requirement imposed by the Contracting Authority may render the bid non-responsive or constitute a default under the Contract.

The Certifications listed herein must be completed and submitted with the bid. Failure to submit the Certifications listed herein will render the bid non-responsive and the bid will receive no further consideration.

5.1 Certifications Required To Be Submitted At Time of Bid Closing

5.1.1 Joint Venture Certification

Only complete this certification if a joint venture is being proposed

The Bidder represents and warrants the following:

- (a) The bidding entity is a contractual joint venture in accordance with the following definition. A "contractual joint venture" is an association of two or more parties who have entered into a written contract in which they have set out the terms under which they have agreed to combine their money, property, knowledge, skills, time or other resources in a joint business enterprise, sharing the profits and the losses and each having some degree of control over the enterprise.
- (b) The name of the joint venture is: _____(if applicable).
- (c) The members of the contractual joint venture are (the Bidder is to add lines to accommodate the names of all members of the joint venture, as necessary):

- (d) The Business Numbers (BN) of each member of the contractual joint venture are as follows (the Bidder is to add lines for additional BNs, as necessary):

- (e) The effective date of formation of the joint venture is: _____
- (f) Each member of the joint venture has appointed and granted full authority to _____ (the "Lead Member") to act on behalf of all members as its representative for the purposes of executing documentation relating to the Contract issued subsequent to contract award, including but not limited to Contract Amendments and Task Authorizations.
- (g) The joint venture is in effect as of the date of bid submission.

This Joint Venture Certification must be signed by each member of the joint venture.



The Joint Venture Certification shall be effective throughout the entire period of the Contract, including any exercised option period, if exercised.

The CRA has the right to request documentation from the Bidder evidencing the existence of the contractual joint venture.

Signature of an authorized representative of each member of the joint venture

(the Bidder is to add signatory lines as necessary):

_____ Signature of Duly Authorized Representative	_____ Name of Individual (Please Print)	_____ Legal Name of Business Entity	_____ Date
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_____ Signature of Duly Authorized Representative	_____ Name of Individual (Please Print)	_____ Legal Name of Business Entity	_____ Date
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5.2 Certifications Precedent To Contract Award and Associated Information

The certifications listed below should be completed and submitted with the bid but may be submitted afterwards. If any of these required certifications is not completed and submitted as requested, the Contracting Authority will inform the Bidder of a time frame within which to provide the information. Failure to comply with the request of the Contracting Authority and to provide the certification within the time frame provided will render the bid non responsive and the bid will receive no further consideration.

5.2.1 Integrity Provisions – Associated Information

By submitting a bid, the Bidder certifies that the Bidder and its Affiliates are in compliance with the provisions as stated in Section 01 Integrity Provisions - Bid of Standard Instructions 2003. The associated information required within the Integrity Provisions will assist Canada in confirming that the certifications are true.

5.2.2 Employment Equity

By submitting a bid, the Bidder certifies that the Bidder, and any of the Bidder's members if the Bidder is a Joint Venture, is not named on the Federal Contractors Program (FCP) for employment equity "[FCP Limited Eligibility to Bid](http://www.labour.gc.ca/eng/standards_equity/eq/emp/fcp/list/inelig.shtml)" list (http://www.labour.gc.ca/eng/standards_equity/eq/emp/fcp/list/inelig.shtml) available from [Employment and Social Development Canada \(ESDC\)-Labour's](#) website

Canada will have the right to declare a bid non-responsive if the Bidder, or any member of the Bidder if the Bidder is a Joint Venture, appears on the "[FCP Limited Eligibility to Bid](#)" list at the time of contract award.

5.2.3 Vendor Reporting Information

The following information should be provided to enable CRA compliance with paragraph 221(1)(d) of the Income Tax Act, R.S.C. 1985, c.1 (5th Supp.) and report payments made to contractors under applicable services contracts (including contracts involving a mix of goods and services) on a T1204 Government Service Contract Payments slip.

For the purpose of this clause:



“Legal Name” means the name of the company, corporation or other entity constituted as a legal person under which this person exercises its rights and performs its obligations.

“Operating Name” means the name that is legally protected and used in the course of its business by a company, corporation or other entity legally constituted as a legal person, or by the individual.

The Bidder is requested to provide the following:

Legal Name:

Operating Name: _____

Address: _____

Payment/T1204 Address (if different) Payment address is same as above

City: _____

Province: _____

Postal Code: _____

Telephone: _____

Fax: _____

Type of Business (Select only one)

- Corporation Partnership Sole Proprietor Non-Profit Organization US or International Co.

All registered companies (excluding Non-Profit organizations and US or International companies) must provide their Goods and Services Tax (GST) or Business Number (BN). Additional details on how to obtain a BN can be found at: <http://www.cra-arc.gc.ca/tx/bsnss/tpcs/bn-ne/menu-eng.html>

If the services will be rendered by an individual, please provide the Social Insurance Number (SIN).
Goods and Services Tax (GST) Number:

Business Number (BN): _____

Social Insurance Number (SIN): _____

If a SIN number is being provided, the information should be place in a sealed envelope marked "Protected".



N/A Reason: _____

Note: If you select "N/A", then you must give a reason.

Date: _____

Name: _____

Signature: _____

(Signature of duly authorized representative of business)

Title: _____

(Title of duly authorized representative of business)



Appendices

Appendix 1: Mandatory Criteria

Evaluation Procedures

Bids will be evaluated in accordance with all the mandatory evaluation criteria detailed below. The Bidder must provide supporting documentation in its proposal as requested by CRA, in order to demonstrate that each technical mandatory requirement has been met. To assist with the evaluation process, it is preferred that the Bidder complete the table included below, to indicate where in its proposal the information can be located. Bids that fail to meet all mandatory requirements will be declared non-responsive and the bid will receive no further consideration.

Appendix 2: Point Rated Criteria

Technical bids will be assessed separately against the evaluation criteria identified below. Point-rated criteria not addressed in the bid will result in a score of zero being assigned against that particular criterion.

Appendix 3: Financial Proposal

The Bidder must submit their financial bid in accordance with the Basis of Payment in Appendix B.

Bidders must submit firm unit prices in Canadian funds, Canadian customs duties and excise taxes included, and Applicable Taxes excluded, Delivered Duty Paid (DDP) , for the provision of goods and/or services outlined in Appendix A “Statement of Requirements”.

The prices specified include all of the requirements defined in the “Statement of Requirements” in Appendix A.



Part 6 Model Contract

The following clauses and conditions apply to and form part of any contract resulting from the solicitation.

6.1 Revision of Departmental Name

Reference to the Minister of Public Works and Government Services or to Public Works and Government Services Canada contained in any term, condition or clause of the contract shall be interpreted as a reference to the Commissioner of Revenue or the Canada Revenue Agency, as the case may be, with the exception of the following clauses:

- a) Standard Clauses and Conditions; and
- b) Security Requirements.

6.2 Agency Restructuring

In cases where the Contracting Authority's department or agency is being reconfigured, absorbed by another government department or agency, or disbanded entirely, the Commissioner may, by giving notice to the Contractor, designate another Contracting Authority for all or part of the Contract.

6.3 Requirement

The Contractor must perform the Work in accordance with the Statement of Requirement (SOR) at Appendix A, attached hereto and forming part of the Contract.

6.4 Period of the Contract

The period of the Contract is from award to (*to be filled in at contract award*) _____ inclusive.

The term of the Software License(s) is perpetual and distinct from the period of the contract.

6.4.1 Option to Extend the Contract

The Contractor grants to Canada the irrevocable option to extend the term of the Contract by up to three (3) additional one (1) year period(s) under the same conditions. The Contractor agrees that, during the extended period of the Contract, it will be paid in accordance with the applicable provisions as set out in the Basis of Payment.

The option may only be exercised by the Contracting Authority and will be evidenced, for administrative purposes only, through a contract amendment. The Contracting Authority may exercise an option at any time before the expiry of the Contract by sending a written notice to the Contractor.

6.4.2 Option to Purchase Additional Quantities of the Goods, Services or Both

The Contractor grants to Canada the irrevocable option to acquire the additional quantities of the goods, services or both described at Appendix B of the Contract under the same terms and conditions and at the prices and/or rates stated in the Contract.

The option may only be exercised by the Contracting Authority and will be evidenced, for administrative purposes only, through a contract amendment. The Contracting Authority may exercise an option at any time before the expiry of the Contract by sending a written notice to the Contractor.



6.5 Software License Type

The Contractor hereby grants a perpetual, non-exclusive, freely transferable Entity License to Her Majesty the Queen in right of Canada for the software listed in Appendix B. The term "Entity license" shall have the meaning set out in supplemental general conditions 4003 (2010-08-16), Licensed Software.

6.6 Documentation and Technical Manuals

The Contractor shall deliver a total of two (2) copies of all technical, installation and operations manuals for the Solution. These manuals must be provided in hard copy format and if available, manuals must also be provided on CD or softcopy, in either MS Word or PDF formats. Documentation must be accessible through the Contractor's web-site or OEM web-site, and URL must be provided.

The Contractor will provide Canada with the right to reproduce for its own use and incorporate into any documents produced for its own use any commercially available documentation delivered under the Contract. The Contractor shall further secure and agree to extend the same rights for all future revisions of the said documentation and material supplied to Canada. Any document or material that is translated by Canada shall include any copyright and any proprietary right notice that was part of the original document.

The Contractor shall deliver a complete set of documentation in French, if available. If documentation is not available in French CRA shall have the right to translate the documentation and material delivered herein into the second of the two Official languages of Canada. This right shall include the right to make, or to have made, copies for CRA's internal purposes only. The Contractor acknowledges that CRA owns the translated version of any such translated document and material and that it is under no obligation to provide any translated document or material to the Contractor. Any document or material that is translated by Canada shall include any copyright and any proprietary right notice that was part of the original document. Canada acknowledges that the Contractor is not responsible for technical errors that arise as a result of any translation performed by CRA.

6.7 License Terms and Conditions – Shrink-Wrap or Click-Wrap

The parties agree that only the conditions expressly set out in the Contract or incorporated by referenced in the Contract form part of the Contract. Any conditions accompanying or enclosed with the Licensed Software, if any, do not form part of the Contract and, therefore, are not part of Canada's license and do not affect the rights of the Parties in any way. The Contractor agrees that in no event will Canada or any Client or User be required to enter into any additional license agreement with respect to the Licensed Software or any portion of it. The Contractor acknowledges that any additional license agreement relating for the Licensed Software signed by anyone other than the Contracting Authority is void and of no effect.

Canada is not bound by and does not accept any "shrink-wrap" or "click-wrap" conditions or any other conditions, expressed or implied, that are contained in or on the software packaging or conditions that may accompany the software in any manner, regardless of any notification to the contrary.

6.8 Standard Clauses and Conditions SACC A0000C (2012-07-16)

All clauses and conditions identified in the Contract by number, date and title are set out in the Standard Acquisition Clauses and Conditions Manual issued by Public Works and Government Services Canada (PWGSC).

The Manual is available on the PWGSC Website: <https://buyandsell.gc.ca/policy-and-guidelines/standard-acquisition-clauses-and-conditions-manual>

The following Clauses are incorporated by reference:

SACC Reference	Clause Title	Date
A2000C	Foreign Nationals (Canadian Contractor)	2006-06-16
A2001C	Foreign Nationals (Canadian Contractor)	2006-06-16



A3015C	Certifications - Contract	2014-06-26
A9068C	Site Regulations	2010-01-11
B9028C	Access to Facilities and Equipment	2007-05-25
C2000C	Taxes – Foreign-based Contractor	2007-11-30
C6000C	Limitation of Price	2011-05-16
C2605C	Canadian Customs Duties & Sales Tax –Foreign-based Contractor	2008-05-12
G1005C	Insurance	2008-05-12
H1000C	Single Payment	2008-05-12
H3028C	Advance Payment	2010-01-11

6.9 General Conditions

2030 (2016-04-04) General Conditions – Higher Complexity - Goods, apply to and form part of the Contract.

Section 01 titled “Interpretation” the definition of "Canada", "Crown", "Her Majesty" or "the Government" is hereby amended to read: "Canada", "Crown", "Her Majesty" or "the Government" means Her Majesty the Queen in right of Canada as represented by the Canada Revenue Agency (CRA).

Section 02 titled “Standard Clauses and Conditions” is hereby amended to delete the phrase “Pursuant to the Department of Public Works and Government Services Act, S.C. 1996, c. 16,” The remainder of Section 02 remains unchanged.

Section 23 titled “Confidentiality”,

Subsection 5 is hereby amended to delete Public Works and Government Services (PWGSC) and insert Canada Revenue Agency (CRA).

Subsection 6 is hereby amended to delete “PWGSC Industrial Security Manual and its supplements”, and insert “Security Requirements for the Protection of Sensitive Information” issued by the CRA, Security and Internal Affairs Directorate (SIAD). The remainder of Section 23 remains unchanged.

Section 43 titled “Integrity Provisions- Contract” is hereby deleted in its entirety and replaced with:

The Supplier Integrity Directive (SID) incorporated by reference into the bid solicitation is incorporated into, and forms a binding part of the Contract. The Contractor must comply with the provisions of the SID, which can be found on the Canada Revenue Agency’s website at <http://www.cra-arc.gc.ca/qncy/prcrmnt/menu-eng.html>.

Section 45 titled “Code of Conduct for Procurement—Contract” is hereby deleted in its entirety.

6.10 Supplemental Terms and Conditions

6.10.1 4003 (2010-08-16), Supplemental General Conditions - Licensed Software, modified as follows, shall apply to and form part of the Contract.

At section 1 titled “Interpretation” insert the following definition:

The “Licensee” under the Contract is Her Majesty the Queen in right of Canada, acting through and represented by the Commissioner, Canada Revenue Agency.

At section 2 titled “License Grant” delete subsection 2 and replace with the following:

If the Client is reconfigured, absorbed, in whole or in part, by another government department or agency, or is disbanded entirely, Canada may, by giving notice to the Contractor, designate another department or agency as the Client for all or part of the Licensed Software .



At section 08 titled “Licensed Software Transfer”, delete this article in its entirety and replace with the following: The license to use the Licensed Software under the Contract is transferable by Canada, in whole or in part, under the same conditions of the Contract, to any Device or Client, as applicable, or to any Canadian government department, corporation or agency, as defined in the *Financial Administration Act, R.S. C.1985, c.F-11*, as amended from time to time, or to any other party for which the Department of Public Works and Government Services Canada has been authorized to act under section 16 of the *Department of Public Works and Government Services Act, S.C. 1996, c.16*, as long as Canada informs the Contractor of the transfer within thirty (30) days of the transfer occurring.

At Section 11 titled “Term of License” delete subsection 2 and replace with the following:

The Contractor may terminate Canada’s license with respect to the licensed software by giving the Contracting Authority written notice to that effect only if Canada is in breach of its license in accordance with the license rights granted in the Contract, or Canada fails to pay for the license in accordance with the Contract, and only if that breach continues for a period of ninety (90) days after the Contracting Authority received written notice from the Contractor giving particulars of the breach and the Contracting Authority has validated the particulars of the breach. If Canada’s license is terminated, once Canada has corrected the breach, the Contractor shall re-instate Canada’s license with respect to the licensed software under the exact same terms and conditions as granted in the contract for the license, at no additional cost.

At section 11 titled “Term of License” insert the following after sub-article 2:

3. The Contractor hereby grants a perpetual, non-exclusive, freely transferable Entity License to Her Majesty the Queen in right of Canada for the software listed in Appendix B.

At section 15 titled “Warranty”, insert the following:

Notwithstanding section 15.0 – Warranty, the Contractor’s warranty for the Solution shall include the provision of all software maintenance and support services detailed in supplemental general conditions 4004 – Support Services for Licensed Software except that “Warranty Period” as defined therein is hereby amended by deleting the reference to a period of ninety (90) days and replacing ninety (90) days with one (1) year. The warranty period of twelve (12) months shall commence on the final acceptance date of the delivered Equipment.

Section 18 titled “Risk of Loss” insert the following after subsection 2:

3. The Contractor warrants:

Unless authorized in writing by the Technical Authority, or necessary to perform valid duties under the Contract any programs developed by the Contractor under the Contract or provided to Canada by the Contractor for use by the Client shall:

- i. not replicate, transmit, or activate itself without control of the person operating the computing equipment on /which it resides;
- ii. not alter, damage, or erase any data or computer programs without control of a person operating the computing equipment on which it resides; and
- iii. contain no key, node lock, time out or other function, whether implemented by electronic, mechanical or other means, which restricts or may restrict use or access to any programs or data developed under the Contract, based on residency on a specific hardware configuration, frequency or duration of use, or other limiting criteria.

Provided and to the extent any program has any of the foregoing attributes, and notwithstanding anything elsewhere in the Contract to the contrary, the Contractor shall be in default of the Contract, and no cure period shall apply. In addition to any other remedies available to it under the Contract, the Crown



reserves the right to pursue any civil and/or criminal penalties available to it against the Contractor. The Contractor agrees, in order to protect the Crown from damages, which may be intentionally or unintentionally caused by the introduction of Illicit Code to the Client's computer network, no software will be installed, executed, or copied on Client equipment without the express approval of the Technical Authority.

6.10.2 4004 (2013-04-25), Supplemental General Conditions - Maintenance and Support Services for Licensed Software, shall apply to and form part of the Contract.

6.11 Maintenance

The Contractor shall inform the CRA Technical Authority within 2 working days of the availability of all enhancements, product upgrades and maintenance releases to the Software during the period of service. All generally available enhancements must either be made available for download or shipped to CRA within one (1) working day of a request by CRA.

6.12 Security Requirements

Contractor personnel must be escorted at all times while on CRA premises

6.13 Authorities

6.13.1 Contracting Authority A1024C (2007-05-25)

The Contracting Authority for the Contract is:

Name: Shawn Corbett

Telephone Number: 613-995-4744

E-mail address: shawn.corbett@cra-arc.gc.ca

The Contracting Authority is responsible for the management of the Contract, and any changes to the Contract must be authorized in writing by the Contracting Authority. The Contractor must not perform work in excess of or outside the scope of the Contract based on verbal or written requests or instructions from anybody other than the Contracting Authority.

6.13.2 Technical Authority A1022C (2007-05-25)

To be completed at the time of Contract award.

Name: _____

Address: _____

Telephone Number: _____

Fax Number: _____

E-mail Address: _____

The Technical Authority is the representative of the department or agency for whom the Work is being carried out under the Contract and is responsible for all matters concerning the technical content of the Work under the Contract. Technical matters may be discussed with the Technical Authority, however the Technical Authority has no authority to authorize changes to the scope of the Work. Changes to the scope of the Work can only be made through a contract amendment issued by the Contracting Authority.



6.13.3 Contractor's Representative

Name: _____

Address: _____

Telephone Number: _____

Fax Number: _____

E-mail Address: _____

6.14 Delivery

All the deliverables must be received by the Technical Authority.

6.15 Inspection and Acceptance

All deliverables under the Contract shall be subject to inspection and acceptance by the Technical Authority at destination.

6.16 Basis of Payment SACC C0207C (2013-04-25)

In consideration of the Contractor satisfactorily completing all of its obligations under the Contract, the Contractor will be paid firm prices for software and software maintenance and support services purchases and firm per diem rates for professional services, as specified in in Appendix B. Customs duties included and Applicable Taxes are extra.

Canada will not pay the Contractor for any design changes, modifications or interpretations of the Work, unless they have been approved, in writing, by the Contracting Authority before their incorporation into the Work.

6.17 Payment Process

At Canada's discretion the Contractor will be paid using direct deposit, credit card or cheque. All communications regarding the specific method of payment, including changes thereto, will be in writing via email as it's not Canada's desire to formally amend the Contract if the payment method is changed.

At its sole discretion Canada may change the method of payment at any time during the period of the Contract, including any extension thereto, to one of the other two payment methods stated above.

It is the sole responsibility of the Contractor to ensure that their organization is entitled to receive payment from the Government of Canada.

6.17.1 Payment by Direct Deposit

The Contractor shall accept Direct Deposit for payment of the goods and/or services described herein. Payments by direct deposit will be subject to Article 18 – Payment Period and Article 19 - Interest on Overdue Accounts, set out in 2030 General Conditions (2016-04-04) forming part of the Contract.

To complete or amend a direct deposit registration, the Contractor must complete the Vendor Electronic Payment Registration form accessible at: <http://www.cra-arc.gc.ca/E/pbg/tf/rc231/rc231-14e.pdf>

It is the sole responsibility of the Contractor to ensure that the information and account number submitted to Canada via their Vendor Electronic Payment Registration form is up to date. Should the Contractor's information within the Vendor Electronic Payment Registration form not be accurate or up to date, the provisions identified herein under Article 18 – Payment Period and Article 19 - Interest on Overdue Accounts, set out in 2030



General Conditions (2014-09-25) forming part of the Contract will not apply, until the Contractor corrects the matter.

6.17.2 Payment by Credit Card

The Contractor shall accept Government of Canada Acquisition Cards (credit cards) for payment of the goods and/or services described herein. Payments by credit card will not be subject to Article 18 – Payment Period and Article 19 - Interest on Overdue Accounts, set out in 2030 General Conditions (2016-04-04) forming part of the Contract.

The CRA Acquisition Card is currently a **MasterCard** provided by Bank of Montreal. At any time during the period of the Contract, including any exercised option period(s), the CRA reserves the right to change its acquisition card type or provider.

6.17.3 Payment by Cheque

The Contractor shall accept Government of Canada cheques for the payment of goods and/or services described herein.

6.18 Refund to the Crown

Notwithstanding Article 32 of 2030 (2014-03-01), "Termination for Convenience", General Conditions – Higher Complexity - Goods, in the event of termination of services for which an advance payment has been made, charges up to the date of termination will be calculated by prorating on the basis of a twelve (12) month year and a thirty (30) day month, and the Contractor shall immediately refund to Canada the unliquidated portion of the advance payment and pay to Canada interest thereon, from the date of the advance payment to the date of the refund, at the discount rate of interest per annum set by the Bank of Canada and prevailing on the date of the advance payment, plus 1¼ percent per annum.

6.19 Maintenance and Support Pricing Stability

Unless otherwise stated in the Contract subsequent annual maintenance and support prices beyond the effective periods of the maintenance and support pricing in Appendix B, Financial Proposal / Basis of Payment, shall not exceed the lesser of:

- a. The Contractor's current published maintenance rate in effect at time of renewal; or
- b. The previously contracted rates for each item, adjusted by the % change in the Core Consumer Price Index (CPI) for Canada, not seasonally adjusted and calculated from the corresponding month of the previous year, as published by Statistics Canada on the date the maintenance renewal is issued; or
- c. Any other negotiated rate.

6.20 LIMITATION OF LIABILITY

1. This section applies despite any other provision of the Contract and replaces the section of the general conditions entitled "Liability". Any reference in this section to damages caused by the Contractor also includes damages caused by its employees, as well as its subcontractors, agents, and representatives, and any of their employees. This section applies regardless of whether the claim is based in contract, tort, or another cause of action. The Contractor is not liable to Canada with respect to the performance of or failure to perform the Contract, except as described in this section and in any section of the Contract pre-establishing any liquidated damages. The Contractor is only liable for indirect, special or consequential damages to the extent described in this section, even if it has been made aware of the potential for those damages.
2. First Party Liability:



- a. The Contractor is fully liable for all damages to Canada, including indirect, special or consequential damages, caused by the Contractor's performance or failure to perform the Contract that relate to:
 - i. Any infringement of intellectual property rights to the extent the Contractor breaches the section of the general conditions entitled "Intellectual Property Infringement and Royalties";
 - ii. Physical injury, including death.
- b. The Contractor is liable for all direct damages caused by the Contractor's performance or failure to perform the Contract affecting real or tangible personal property owned, possessed, or occupied by Canada.
- c. Each of the Parties is liable for all direct damages resulting from its breach of confidentiality under the Contract. Each of the Parties is also liable for all indirect, special or consequential damages in respect of its unauthorized disclosure of the other Party's trade secrets (or trade secrets of a third party provided by one Party to another under the Contract) relating to information technology.
- d. The Contractor is liable for all direct damages relating to any encumbrance or claim relating to any portion of the Work for which Canada has made any payment. This does not apply to encumbrances or claims relating to intellectual property rights, which are addressed under (a) above.
- e. The Contractor is also liable for any other direct damages to Canada caused by the Contractor's performance or failure to perform the Contract that relate to:
 - i. any breach of the warranty obligations under the Contract, up to the total amount paid by Canada (including Applicable Taxes) for the goods and services affected by the breach of warranty; and
 - ii. any other direct damages, including all identifiable direct costs to Canada associated with re-procuring the Work from another party if the Contract is terminated either in whole or in part for default, up to an aggregate maximum for this subparagraph (ii) of the greater of the total estimated contract cost (meaning the dollar amount shown on the first page of the Contract in the block titled "Total Estimated Cost" or shown on each call-up, purchase order or other document used to order goods or services under this instrument), or \$1,000,000.00, whichever is greater.
- f. If Canada's records or data are harmed as a result of the Contractor's negligence or willful act, the Contractor's only liability is, at the Contractor's own expense, to restore Canada's records and data using the most recent back-up kept by Canada. Canada is responsible for maintaining an adequate back-up of its records and data.

3. Third Party Claims:

- a. Regardless of whether a third party makes its claim against Canada or the Contractor, each Party agrees that it is liable for any damages that it causes to any third party in connection with the Contract as set out in a settlement agreement or as finally determined by a court of competent jurisdiction, where the court determines that the Parties are jointly and severally liable or that one Party is solely and directly liable to the third party. The amount of the liability will be the amount set out in the settlement agreement or determined by the court to have been the Party's portion of the damages to the third party. No settlement agreement is binding on a Party unless its authorized representative has approved the agreement in writing.



- b. If Canada is required, as a result of joint and several liability, to pay a third party in respect of damages caused by the Contractor, the Contractor must reimburse Canada by the amount finally determined by a court of competent jurisdiction to be the Contractor's portion of the damages to the third party. However, despite paragraph (a), with respect to special, indirect, and consequential damages of third parties covered by this section, the Contractor is only liable for reimbursing Canada for the Contractor's portion of those damages that Canada is required by a court to pay to a third party as a result of joint and several liability that relate to the infringement of a third party's intellectual property rights; physical injury of a third party, including death; damages affecting a third party's real or tangible personal property; liens or encumbrances on any portion of the Work; or breach of confidentiality.
- c. The Parties are only liable to one another for damages to third parties to the extent described in this paragraph 3.

6.21 INTELLECTUAL PROPERTY RIGHT INFRINGEMENT

1. If a third party claims that equipment or software that the Contractor provides under the Contract infringes any intellectual property right, the Contractor, if requested to do so by Canada, will defend Canada against the claim at the Contractor's expense. In this regard, the Contractor will pay all costs, damages and legal fees that a court finally awards, provided that Canada:
 - a. promptly notifies the Contractor in writing of the claim; and
 - b. co-operates with the Contractor in, and allows the Contractor full participation in, the defense and related settlement negotiations; and
 - c. obtains the Contractor's prior approval to any agreement resulting from settlement negotiations held with the third party.
2. The Contractor shall participate in any claims, action or proceeding arising under subsection 1 and no such claim, action or proceeding shall be settled without the prior written approval of the Contractor and Canada.
3. If such a claim is made or appears likely to be made, Canada agrees to permit the Contractor to enable Canada at the Contractor's expense, to continue to use the equipment or software or to modify or replace it with equipment or software, which has published specifications equal or superior to the equipment or software being replaced. If the Contractor determines that none of these alternatives is reasonably available, Canada may elect, at the Contractor's expense, to independently secure the right to continue to use the equipment or software, or Canada may require the Contractor to accept the return of the equipment or software and to refund all monies paid to the Contractor under the Contract for the equipment and software, as well as all amounts paid for services and license and development fees.
4. The provisions of subsections 1 and 2 do not apply in situations where the Contractor was instructed by Canada to purchase a specific item of equipment or software from a specific source on behalf of Canada. In this case, the Contractor shall ensure that its subcontract for the equipment or software states that "If a third party claims that equipment or software that the subcontractor supplies under the Contract infringes any intellectual property right, the subcontractor, if requested to do so by either the Contractor or Canada, will defend the Contractor and Canada against that claim at the subcontractor's expense and will pay all costs, damages and legal fees that a court finally awards." In the event that the Contractor is unable to incorporate this into its subcontract, then it shall advise Canada of the situation and not proceed with the subcontract without receiving written notice from Canada that the level of intellectual property right infringement protection is acceptable.



5. Without prejudice to Canada's right to terminate the Contract for default prior to completion of the work, the above represents the Contractor's entire obligation to Canada regarding any claim of infringement.
6. The Contractor has no obligation regarding any claim based on any of the following:
 - a. Canada's unauthorized modification of the equipment or software, or Canada's unauthorized use of the equipment or software in other than its published specified operating environment;
 - b. the combination, operation or use of the equipment or software with any product, data or apparatus that the Contractor did not provide under the Contract, or which combination, operation or use the Contractor did not authorize or approve in advance, if infringement would not have occurred but for such combination, operation or use."

6.22 Certifications

The continuous compliance with the certifications provided by the Contractor in its bid and the ongoing cooperation in providing associated information are conditions of the Contract. Certifications are subject to verification by Canada during the entire period of the contract. If the Contractor does not comply with any certification, fails to provide the associated information, or it is determined that any certification made by the Contractor in its bid is untrue, whether made knowingly or unknowingly, Canada has the right, pursuant to the default provision of the Contract, to terminate the Contract for default.

6.22.1 Federal Contractors Program for Employment Equity - Default by the Contractor

The Contractor understands and agrees that, when an Agreement to Implement Employment Equity (AIEE) exists between the Contractor and Employment and Social Development Canada (ESDC) - Labour, the AIEE must remain valid during the entire period of the Contract. If the AIEE becomes invalid, the name of the Contractor will be added to the ["FCP Limited Eligibility to Bid"](#) list. The imposition of such a sanction by ESDC will constitute the Contractor in default as per the terms of the Contract.

6.22.2 Joint Venture

If the Contract is awarded to a joint venture, all members of the joint venture will be jointly and severally or solidarily liable for the performance of the Contract.

In the case of a contractual joint venture, no dispute, claim or action for damages, whether based in contract, or in tort, or any other theory of law, howsoever arising from the Request for Proposal, Contract, or any other related or subsequently issued documents including without limitation Task Authorizations, Contract Amendments, may be commenced, or brought against the CRA, including without limitation any of its officers, employees or agents unless each member of the joint venture is a party to such dispute, claim, or action (as the case may be).

The Contractor shall obtain the prior written approval of the Contracting Authority to any change in the membership of a contractual joint venture after Contract Award. Any change in the membership of a contractual joint venture after Contract Award without the prior written approval of the Contracting Authority shall be deemed to be default under the Contract.

The joint venture represents and warrants that it has appointed and granted full authority to *(name to be inserted at Contract Award)*, the "Lead Member", to act on behalf of all members as its representative for the purposes of executing documentation relating to the Contract, including but not limited to Contract Amendments and Task Authorizations.

In the event of a contractual joint venture, all payments due and owing to the joint venture shall be made by the Canada Revenue Agency to the Lead Member of the joint venture. Any such payment to the Lead Member of



the joint venture shall be deemed to be payment to the joint venture and shall act as a release from all the members of the joint venture.

By giving notice to the Lead Member the Canada Revenue Agency will be deemed to have given notice to all the members of the joint venture.

6.23 Applicable Laws SACC A9070C (2014-06-26)

The Contract must be interpreted and governed, and the relations between the parties determined, by the laws in force in Ontario, Canada.

6.24 Priority of Documents SACC A9140C (2007-05-25)

If there is a discrepancy between the wording of any documents that appear on the list, the wording of the document that first appears on the list has priority over the wording of any document that subsequently appears on the list.

1. The Articles of Agreement;
2. The General Conditions 2030 (2016-04-04)– Higher Complexity – Goods;
3. Supplemental Terms and Conditions 4003 (2010-08-16)- Licensed Software;
4. Supplemental Terms and Conditions 4004 (2013-04-25)- Maintenance and Support Services for Licensed Software;
5. Appendix A: Statement of Requirement;
6. Appendix B: Basis of Payment;
7. Appendix C: Security Requirements Check List
8. The Contractor's proposal dated (insert date of bid), as amended on (insert date(s) of amendment(s), if applicable).

6.25 Alternative Dispute Resolution

NEGOTIATION FOLLOWED BY MANDATORY MEDIATION, THEN, IF NECESSARY BY ARBITRATION OR LITIGATION

The parties agree to meet, negotiate in good faith, and attempt to resolve, amicably, any dispute arising out of or related to the contract or any breach thereof.

If the parties are unable to resolve the dispute through negotiations within 10 working days, the parties agree to attempt to resolve the dispute through mediation by submitting the dispute to a sole mediator selected jointly by the parties. All costs shall be shared equally between the disputing parties.

If a dispute cannot be settled with a 15 calendar day period after the mediator has been appointed, or if the parties are unable to select a mediator within 15 calendar days of the date of provision of notice by one party to the other of the intention to proceed to mediation, or such longer period as agreed to by the parties, the parties shall have the right to resort to any remedies permitted by law, including but not limited to arbitration or litigation.

All defences based on the passage of time shall be tolled pending the termination of the mediation.

6.26 Procurement Ombudsman

The parties understand that the Procurement Ombudsman appointed pursuant to Subsection 22.1 (I) of the Department of Public Works and Government Services Act will, on request and consent of the parties, participate in these meetings to resolve any such dispute, and subject to their consent to bear the cost of such process, provide to the parties a proposal for an alternative dispute resolution process to resolve their dispute. The Office of the Procurement Ombudsman may be contacted by telephone at 1-866-734-5169 or by e-mail at boa.opo@boa.opo.gc.ca.



6.27 Sustainable Development

In pursuit of the Canada Revenue Agency's commitment to sustainable development and green procurement as well as the Canadian Federal Government's Green Procurement Policy, the Contractor agrees to fulfill its contractual obligations while complying with the following principles. The Contractor may be requested to provide details on how it will comply with these principles.

- Design for reuse and recycle;
- Minimize waste from packaging and distribution.

6.28 Contract Administration

The parties understand that the Procurement Ombudsman appointed pursuant to Subsection 22.1 (I) of the Department of Public Works and Government Services Act will review a complaint filed by *[the supplier or the contractor or the name the entity awarded the contract]* respecting administration of the contract if the requirements of Subsection 22.2(1) of the Department of Public Works and Government Services Act and Sections 15 and 16 of the Procurement Ombudsman Regulations have been met, and the interpretation and application of the terms and conditions and the scope of the work of the contract are not in dispute. The Office of the Procurement Ombudsman may be contacted by telephone at 1-866-734-5169 or by e-mail at boa.opo@boa.opo.gc.ca.

Appendixes

The following Appendixes apply to and form part of the Contract:

APPENDIX A: STATEMENT OF REQUIREMENT

APPENDIX B: BASIS OF PAYMENT

APPENDIX C: SECURITY REQUIREMENTS CHECKLIST



Appendix A - Statement of Requirement (SOR)

Mandatory General Requirements				
	Requirement	Status	Evaluation Y/N)	Reference
M1	The Solution must be deployable and be fully functional from inside a corporate firewall with no access to the Internet or to third party providers.	Mandatory	Y/N	
M2	The Solution must be supplied with English and French language manuals downloadable from the Internet.	Mandatory	Y/N	
M3	The Solution manuals must define all functions and include complete instructions for the operation of the product and include software installation and configuration instructions.	Mandatory	Y/N	
M4	The Solution must have both English and French language display capabilities that are configurable on a per-user basis.	Mandatory	Y/N	
Mandatory Platform Requirements				
	Requirement	Status	Evaluation Y/N)	Reference
M5	The Solution must use Lightweight Directory Access Protocol (LDAP) for directory services.	Mandatory	Y/N	
Mandatory Security Requirements				
	Requirement	Status	Evaluation Y/N)	Reference
M6	The Solution web console must be accessible to multiple users simultaneously via a secure web (i.e. HyperText Transfer Protocol Secure (HTTPS)) based interface.	Mandatory	Y/N	
M7	The Solution user credentials for web console access must be encrypted in transit using the Transport Layer Security (TLS 1.1 and TLS 1.2).	Mandatory	Y/N	



M8	The Solution must log an audit trail of events and activities that is accessible to the administrator that includes: a. Date and time b. Identification of the user, machine or process c. Description of event or activity	Mandatory	Y/N	
Mandatory Networking Requirements				
	Requirement	Status	Evaluation Y/N)	Reference
M9	The Solution must operate on networks running Internet Protocol Version 6.	Mandatory	Y/N	
	COTS Solution Specific Requirements - Mandatory Requirements (Y/N):	Status	Evaluation Y/N)	Reference
M10	The Solution must meet the Government of Canada standard under the Official Languages Act (accessible via the following hyperlink http://laws-lois.justice.gc.ca/eng/acts/o-3.01/)	Mandatory	Y/N	
M11	The Solution must meet the Government of Canada standard under the Privacy Act (accessible via the following hyperlink http://laws-lois.justice.gc.ca/eng/acts/p-21/)	Mandatory	Y/N	
M12	The Bidder must provide manuals in both English and French that include: a. Software installation and configuration; b. System administration; c. Sizing and performance tuning The Bidder must provide these documents and any other reference material in Hypertext Markup Language (HTML) and/or Portable Document Format (PDF) electronic format.	Mandatory	Y/N	



M13	The Solution must provide separate English-language and French-language help capabilities for both developers and administrators, that: a. Explains Solution's command syntax, error messages, and correct usage; and b. Is indexed and searchable.	Mandatory	Y/N	
M14	The Solution must accelerate large file transfers of at least 5GB.	Mandatory	Y/N	
M15	The Solution must include transformation and mapping capability between: - ASC X12 (ANSI) EDI format and the UN EDIFACT standards - XML - EBXML - Comma-Separated Values (CSV) a delimited text format - Variable Length Delimited, flat file and fixed with delimited text - Any other B2B Integration Formats	Mandatory	Y/N	
M16	The Bidder must provide on-call support from 07:00 h EST to 20:00 h EST.	Mandatory	Y/N	
M17	The Solution must have two examples where your product was implemented using an enterprise class licence ¹ within the last 5 years. The Bidder must provide references for the installation: Each reference must include: a. business name and location; b. contact person's full name, position title, phone number and e-mail address.	Mandatory	Y/N	



M18	The Solution must support 7hosting on virtualized x86 architecture and must support hosting on either of the following 64-bit operating systems: <ul style="list-style-type: none">• Windows Server 2012 R2 and later• Red Hat Enterprise Linux (RHEL) v6.7 server for x64	Mandatory	Y/N	
M19	The Solution must allow load balancing in a clustered environment and fault tolerance across data centers.	Mandatory	Y/N	



M20	<p>The Solution must include the following:</p> <p>Transfer Protocols:</p> <ul style="list-style-type: none"> • Secure Shell File Transfer Protocol (SFTP) • FTP and FTP using TLS (FTPs) • HTTP and HTTP using TLS (HTTPs) • Simple Mail Transfer Protocol (SMTP) • Applicability Statement 2 (AS2) <p>Security Protocols:</p> <ul style="list-style-type: none"> • Secure Shell (SSH) v2.0 or later (https://www.ietf.org/rfc/rfc4251.txt) • Transmission Layer Security (TLS) version 1.2 or later (https://www.ietf.org/rfc/rfc5246.txt) • HTTP Strict Transport Security (HSTS) (https://www.ietf.org/rfc/rfc6797.txt) • Secure/Multipurpose Internet Mail Extensions (S/MIME) version 3.2 or later (https://tools.ietf.org/rfc/rfc5751.txt) • PKCS #7 Cryptographic Message Syntax version 1.5 (https://www.ietf.org/rfc/rfc2315.txt) • Security Assertion Markup Language (SAML) 2.0 (https://www.oasis-open.org/standards#samlv2.0) • Advanced Encryption Standard (AES) with key length of 256 bits (http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.197.pdf) • CAST5 lock cipher algorithm with a key length of 128 bits (https://www.ietf.org/rfc/rfc2144.txt) • Secure Hash Algorithm (SHA-2 and/or SHA-3) (http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.180-4.pdf) <p>Directory services for authentication:</p> <ul style="list-style-type: none"> • Lightweight Directory Access Protocol (LDAP) version 3 (https://tools.ietf.org/rfc/rfc4511.txt) • Microsoft Active Directory 	Mandatory	Y/N	
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M21	The Solution must provide a multi-protocol gateway component as part of the MFT Solution. The gateway component must reside in a Public Access Zone that is separated from the Agency's operational environment network perimeters and firewalls.	Mandatory	Y/N	
M22	The Bidder must provide explanations in the bid on how the Solution provides File Delivery Assurance that includes: <ul style="list-style-type: none">• file integrity checks after transmission• checkpoint / restart capability that automatically resumes interrupted transfers• electronic acknowledgement of delivery	Mandatory	Y/N	
M23	The Solution must log and send electronic alert notifications. The Solution must support integration with a client monitoring system via adapters, plug-ins, APIs or via Simple Network Management Protocol (SNMP) trap. (e.g, BMC)	Mandatory	Y/N	
M24	The Solution must allow the use of Public Key Infrastructure (PKI) and digital certificates.	Mandatory	Y/N	
M25	The Solution must include at least one of the following: ZIP, GZIP, JAR, TAR file compression specifications	Mandatory	Y/N	
M26	The Solution must integrate with an enterprise anti-malware / virus scanning Solution using ICAP calls.	Mandatory	Y/N	



M27	<p>The Solution must not require the use of Adobe Flash or Shockwave for any functionality that will be used by the Agency.</p> <p>If any Flash or Shockwave players, components are included or embedded with the product, they must be removed or disabled in such a way that they cannot be run by a user or program/script.</p>	Mandatory	Y/N	
M28	<p>The Solution must provide the user the ability to archive received files and "ready to transmit" files onto a network shared drive, before and after the transmission process. The Bidder must explain in detail this process.</p>	Mandatory	Y/N	
M29	<p>The Solution must provide a file history, status, diagnosis and repudiation violations. The Bidder must explain in detail this process.</p>	Mandatory	Y/N	
M30	<p>The Solution must provide the user the ability to download transmitted and 'ready-to-transmit' files onto a network shared drive before and after the transmission process.</p>	Mandatory	Y/N	
M31	<p>The Solution must allow the use of FIPS 140-2-compliant encryption (Level 3) to protect all instances of sensitive data at rest</p>	Mandatory	Y/N	
M32	<p>The Bidder must provide onsite classroom training at client agreed site for:</p> <p>a. Course for 10 Administrators, covering product installation on either Windows Server 2012 R2 and later or Red Hat Enterprise Linux (RHEL) v6.7 server for x64. It must also include any Network, log system integration and security configuration that the product requires to operate on a virtualized x86 architecture.</p> <p>b. Course for 10 Developers of the product to configure an MFT flow, moving and mapping files in ASC X12 (ANSI) EDI format and the UN EDIFACT standards, XML, EBXML, Comma-Separated Values (CSV) a delimited text format & Variable Length Delimited, flat file and fixed with delimited text.</p>	Mandatory	Y/N	



M33	The Bidder must provide, as and when requested, Administrator professional services that will assist CRA (in support of SSC) with the installation of the solution on a virtualized x86 architecture. The resource must have expert knowledge of the solution without reliance on others within their organization causing delays. The resource must have working experience of one or both: Windows Server 2012 R2 and later or Red Hat Enterprise Linux (RHEL) v6.7 server for x64 platforms.	Mandatory	Y/N	
M34	The Bidder must provide, as and when requested, Development Consultant/Developer professional services that will assist CRA Developers to configure an MFT flow, moving and mapping files in ASC X12 (ANSI) EDI format and the UN EDIFACT standards, XML, EBXML, Comma-Separated Values (CSV) a delimited text format & Variable Length Delimited, flat file and fixed with delimited text. The person must have expert knowledge of the solution to configure and map for the above mention file types without reliance on others within their organizations causing delays.	Mandatory		
M35	The Solution must integrate with an enterprise Security Information and Event Management system (SIEM).	Mandatory	Y/N	
M36	The Solution must allow both inbound and outbound data exchanges and the ability to define retention rules and file versioning according to the workflow.	Mandatory	Y/N	
M37	The Bidder must provide security patches within 5 business days from publication.	Mandatory	Y/N	
M38	The Solution must provide a secure Solution for the management of digital certificates (public / private keys) from a commercial PKI provider.	Mandatory	Y/N	
M39	The Solution must enable/disable functionality based on user roles (Admin, Developer, User).	Mandatory	Y/N	
M40	The Solution must include an API to allow the implementation of customizable user exits that interface with various backend systems. The user exits can be invoked at various points in the file transfer process.	Mandatory	Y/N	
M41	The Solution must provide file history reporting for all reporting capabilities to externally integrated inbound and outbound systems. Must include but not limited to the following: Number of successful transmissions, unsuccessful transmission, errors within transmission	Mandatory	Y/N	
COTS Solution Specific - Rated:			Points Available	Reference



R1	Notwithstanding any reseller, the Bidder should own all components of the Solution and should not rely on 3rd party Bidders to meet the CRA requirement. The Bidder should fully support all components of the Solution regardless if the component(s) are open-source or third-party procured.	100 pts if Bidder owns all components, 50 pts if Solution contains some open source, 10 pts if the Solution contains any third party components.	Max 100	
R2	The Solution should provide reporting of all related data exchange events for: a. Each individual exchange: timestamp, partner, delivery status b. Reporting capabilities on metadata, payload data, and status data	100 pts for A+B; 50 pts for A	Max 100	
R3	The Solution should provide file history reporting for all transmitted and 'ready to-be transmitted' files	25 pts for if Solution provides both: a)all tranmitted b) ready-to-be transmitted	Max 25	
R4	The Solution should use cryptographic algorithms or modules that meet these specifications: a. Government of Canada's Communications Security Establishment (CSE) guidelines (https://www.cse-cst.gc.ca/en/node/1831/html/26515) b. Federal Information Processing Standard (FIPS), FIPS 140-2 level 1 validation	50 pts for a) for CSE 50 pts b) FIPS 1 validation	Max 100	
R5	All ad-hoc file transfer, configuration, monitoring and diagnostic functionality should be available via an intuitive Web GUI with context sensitive help. Wizard support for complex tasks is desirable ²	100 pts for all features within GUI: - Ad-hoc file txfr - configurable - monitoring - diagnostic functionality 20 additional points for a wizard.	Max 100	



R6	<p>The Solution should interface to an Enterprise Content Management Solution using the Content Management Interoperability Services (CMIS) 1.0 standard.</p> <p>http://docs.oasis-open.org/cmisis/CMIS/v1.0/cmisis-spec-v1.0.html</p>	<p>50 pts for pre-built connectors</p> <p>20 pts for customizable user exits</p>	Max 50	
R7	<p>The Solution should have an established process to notify clients of any public or Bidder known potential security exploits/vulnerabilities.</p>	<p>50 pts for notice within 1 day,</p> <p>30 pts for notice within 3 days,</p> <p>20 pts within 5 days</p>	Max 50	
R8	<p>The Solution should perform both end user browser-based and system-to-system uploading and downloading of files. Each authorized user shall be able to use either method independently of their exchange partners and use both methods interchangeably.</p>	<p>30 pts for system-to-system; 20 pts for browser based;</p>	Max 50	
R9	<p>The User Interface should have the functionality to define multiple workflows for file transfer processes. Workflow features should include:</p> <ul style="list-style-type: none">a. Graphical design surface for specifying process flowsb. The functionality to implement logic conditions and variables within the workflowc. The functionality to implement customized logic within the workflowd. Support for standard representations, including BPEL and XPDe. Support audit log capabilitiesf. Support for approval steps throughout workflow	<p>10 pts for each listed requirement</p> <p>10 points for each additional requirements not listed up to 40 points.</p>	Max 100	
R10	<p>The Solution should be scalable to support a minimum of 100 concurrent connections per instance.</p>	<p>20 pts for 101+ connections,</p> <p>10 pts for 100</p>	Max 20	



R11	<p>The Solution should support:</p> <ul style="list-style-type: none"> a. on demand scheduling (ad hoc) b. pre-scheduling c. folder polling d. canceling a file transfer <p>Additional points will be given for a Solution that supports:</p> <ul style="list-style-type: none"> e. event driven file transfers other such as: f. external application API triggers g. queueing file transfers 	<p>30 pts for requirements a-d; 5 points for each additional requirements, 20 pts max</p>	<p>Max 50</p>	
R12	The Solution should support the Applicability Statement 4 (AS4) Transfer Protocols.	50 pts	Max 50	
R13	The Solution should support OpenPGP Message Format (https://www.ietf.org/rfc/rfc4880.txt).	50 pts	Max 50	
R14	The Solution should support MIME Security with OpenPGP (https://tools.ietf.org/rfc/rfc3156.txt).	50 pts	Max 50	
R15	The Solution should support the use of Digital Signatures for workflow approvals.	10 pts	Max 10	
R16	The Solution should meet the Payment Card Industry Data Security Standards (PCI DSS) . https://www.pcisecuritystandards.org/	10 pts	Max 10	
Definitions:				
	¹ Industry Canada definition of Employment Size Category is large is categorized as 500+ employees			
	² Ease of use (Defined by Gartner) — The ability to customize the interaction of the tooling to a given user or given role, with the objective of enabling that user or role to interact with the technology most efficiently and effectively.			
	³ Level 3 applies to hardware encryption only (data at rest) and does not apply to transmission (data in motion)			
	⁴ FIPS 140-2 Level 3 applies to hardware encryption only (data at rest) and does not apply to transmission (data in motion)			
	⁵ Workflow is defined as a tool designed to facilitate and automate data transmission processes that require tasks to be performed by people. This includes checkpoints, approvals and rejections to ensure the right work is brought in the right sequence at the right time to the right people.			
	⁶ Data at rest is defined as data stored in persistent storage.			



⁷ Hosting is the ability to install and operate on noted architectures.

Acronyms

AD	Active Directory
AES	Advanced Encryption Standard
API	Application Program Interface
BPEL	Business Process Execution Language
CAST5	Carlisle Adams and Stafford Tavares
CDH	Cofactor Diffie-Hellman
CMIS	Content Management Interoperability Services
CRA	Canada Revenue Agency
DH	Diffie-Hellman
DSA	Digital Signature Algorithm
DMZ	Demilitarized Zone
EBXML	Electronic Business eXtensible Markup Language
ECC	Elliptic Curve Cryptography
ECDSA	Elliptic Curve Digital Signature Algorithm
ECM	Enterprise Content Management
FFC	Finite Field Cryptography
FIPS	Federal Information Processing Standards
FTP	File Transfer Protocol
FTPs	File Transfer Protocol Secure Sockets Layer
GUI	Graphical User Interface
GZIP	GNU Zip
HTTP	Hyper Text Transfer Protocol
HTTPS	Hyper Text Transfer Protocol Secure
ITB	Information Technology Branch
JAR	Java ARchive
J2EE	Java 2 Platform, Enterprise Edition
LDAP	Lightweight Directory Access Protocol



MFT
MQV
PCI
PGP
PKI
POP
RSA
sFTP
SHA

SIEM
SSC
SSH
TAR
TDEA
TLS
UI
XML

XPDL

XSLT

Managed File Transfer
Menezes Qu Vanstone
Payment Card Industry
Pretty Good Privacy
Public Key Infrastructure
Proof of Proposal
Rivest Shamir Adleman
Secure File Transfer Protocol
Secure Hash Algorithms
Security Information and Event Management
System
Shared Services Canada
Secure Shell
Tape ARchive
Triple Data Encryption Algorithm
Transport Layer Security
User Interface
eXtensible Markup Language
eXtensible Markup Language Process
Definition Language
eXtensible Stylesheet Language
Transformations



APPENDIX B: FINANCIAL PROPOSAL / BASIS OF PAYMENT

The Bidder's financial proposal will be evaluated using the combined total of the extended prices for the firm and optional years. All quantities shall be delivered as and when requested by CRA in accordance with the delivery terms of the contract.

BASIS OF PAYMENT - FIRM REQUIREMENT

For fulfilling all of its obligations as specified under the Contract, the Contractor will be paid firm all inclusive unit prices for the goods as set out in Table 1 below. DDP, Customs duty is included, if applicable; and Goods and Services Tax or Harmonized Sales Tax is extra, if applicable, in accordance with the Method of Payment and Invoicing clauses identified herein.

Table 1 – Firm Requirement - Managed File Transfer (MFT) Software

Entity License and M&S

Item No.	Description	Unit of Issue	Quantity	Firm Price (GST/HST excluded)	Extended Price
1	Managed File Transfer (MFT) Software (including 1 year M&S) Entity License	Ea.	1		
2	Maintenance and Support for Managed File Transfer (MFT) Software Entity License Year 2	Ea.	1		
3	Maintenance and Support for Managed File Transfer (MFT) Software Entity License Year 3	Ea.	1		
4	Maintenance and Support for Managed File Transfer (MFT) Software Entity License Year 4	Ea.	1		
5	Maintenance and Support for Managed File Transfer (MFT) Software Entity License Year 5	Ea.	1		
Subtotal for Table 1:					\$



BASIS OF PAYMENT – OPTIONAL REQUIREMENTS

If the options identified below are exercised, the Contractor will be paid the Firm unit prices for the goods as set out in Tables 2 - 4. DDP, Customs duty is included, if applicable; and Goods and Services Tax or Harmonized Sales Tax is extra, if applicable, in accordance with the Method of Payment and Invoicing clauses identified herein.

Table 2 – Optional Additional Maintenance and Support for Managed File Transfer (MFT) Software Entity License

Item No.	Description	Unit of Issue	Quantity	Firm Price (GST/HST excluded)
1	Option to procure additional Maintenance and Support for Managed File Transfer (MFT) Software - Entity License Option 1. Year 6.	Ea.	1	
2	Option to procure additional Maintenance and Support for Managed File Transfer (MFT) Software - Entity License Option 2. Year 7	Ea.	1	
3	Option to procure additional Maintenance and Support for Managed File Transfer (MFT) Software - Entity License Option 3. Year 8.	Ea.	1	
Subtotal for Table 2:				\$



Table 3 – Optional Training (includes training manuals)

Item No.	Description	Unit of Issue	Quantity for evaluation purposes only	Firm Price (GST/HST excluded)	Extended Price
1	Systems Administration Training (train systems admin staff) Training must be available: in English; Delivered by an in person instructor; Delivered in National Capital Region (NCR)	each	10 students		
2	Developer Training Training must be available: in English; Delivered by an in person instructor; Delivered in National Capital Region (NCR)	each	10 students		
3	End-user Training Training must be available: in English; Delivered by an in person instructor; Delivered in National Capital Region (NCR)	each	10 students		
Subtotal for Table 3:					\$

Table 4 – Optional Technical Professional Services

Item No.	Description	Unit of Issue	Quantity for evaluation purposes only	Firm Price (GST/HST excluded)	Extended Price
1	Development Consultant/Developer	Per Diem	10 Days		
2	Administrator	Per Diem	10 Days		
Subtotal for Table 4:					\$



Total for Firm requirement (Table 1):	\$
Total for Optional Requirements (Tables 2, 3 and 4):	\$
Total Bid Price :	\$

ALL PAYMENTS ARE SUBJECT TO GOVERNMENT AUDIT.



Appendix C – Security Requirements Check List

The SRCL will be sent as a separate attachment.