



RETURN BIDS TO:

RETOURNER LES SOUMISSIONS À:

**Bid Receiving - PWGSC / Réception des soumissions -
TPSGC**

11 Laurier St. / 11, rue Laurier

Place du Portage, Phase III

Core 0B2 / Noyau 0B2

Gatineau

Québec

K1A 0S5

Bid Fax: (819) 997-9776

SOLICITATION AMENDMENT

MODIFICATION DE L'INVITATION

The referenced document is hereby revised; unless otherwise indicated, all other terms and conditions of the Solicitation remain the same.

Ce document est par la présente révisé; sauf indication contraire, les modalités de l'invitation demeurent les mêmes.

Comments - Commentaires

Vendor/Firm Name and Address

**Raison sociale et adresse du
fournisseur/de l'entrepreneur**

Issuing Office - Bureau de distribution

Security and Information Operations Division/Division
de la sécurité et des opérations d'information

11 Laurier St. / 11, rue Laurier

8C2, Place du Portage

Gatineau

Québec

K1A 0S5

Title - Sujet RFI- ICAM	
Solicitation No. - N° de l'invitation W8474-19AM01/B	Amendment No. - N° modif. 002
Client Reference No. - N° de référence du client W8474-19AM01	Date 2019-07-29
GETS Reference No. - N° de référence de SEAG PW-\$\$QE-063-27387	
File No. - N° de dossier 063qe.W8474-19AM01	CCC No./N° CCC - FMS No./N° VME
Solicitation Closes - L'invitation prend fin at - à 02:00 PM on - le 2021-07-02	Time Zone Fuseau horaire Eastern Daylight Saving Time EDT
F.O.B. - F.A.B. Plant-Usine: <input type="checkbox"/> Destination: <input checked="" type="checkbox"/> Other-Autre: <input type="checkbox"/>	
Address Enquiries to: - Adresser toutes questions à: Norris, Chantale	Buyer Id - Id de l'acheteur 063qe
Telephone No. - N° de téléphone (819) 420-1758 ()	FAX No. - N° de FAX (819) 956-6907
Destination - of Goods, Services, and Construction: Destination - des biens, services et construction:	

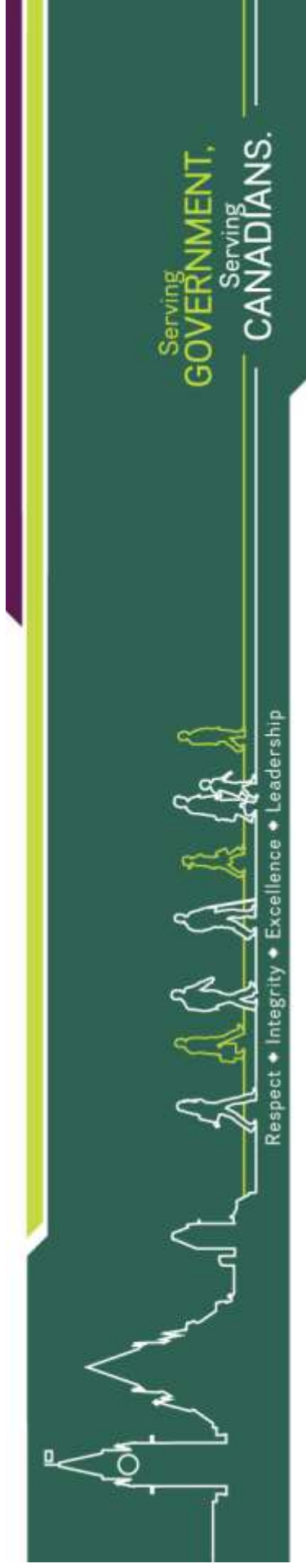
Instructions: See Herein

Instructions: Voir aux présentes

Delivery Required - Livraison exigée	Delivery Offered - Livraison proposée
Vendor/Firm Name and Address Raison sociale et adresse du fournisseur/de l'entrepreneur	
Telephone No. - N° de téléphone Facsimile No. - N° de télécopieur	
Name and title of person authorized to sign on behalf of Vendor/Firm (type or print) Nom et titre de la personne autorisée à signer au nom du fournisseur/ de l'entrepreneur (taper ou écrire en caractères d'imprimerie)	
Signature	Date

Amendment 002 is issued to:

1. Provide copies of the Identity, Credentials, and Access Management (ICAM) Industry Day Presentation Decks; and
2. Provide the Controlled Goods Roadmap which was handed out at the ICAM Industry Day event on the 22nd of July 2019.



Identity Credential and Access Management (ICAM) Project

INDUSTRY ENGAGEMENT SESSION

Ottawa, Ontario

July 22nd 2019



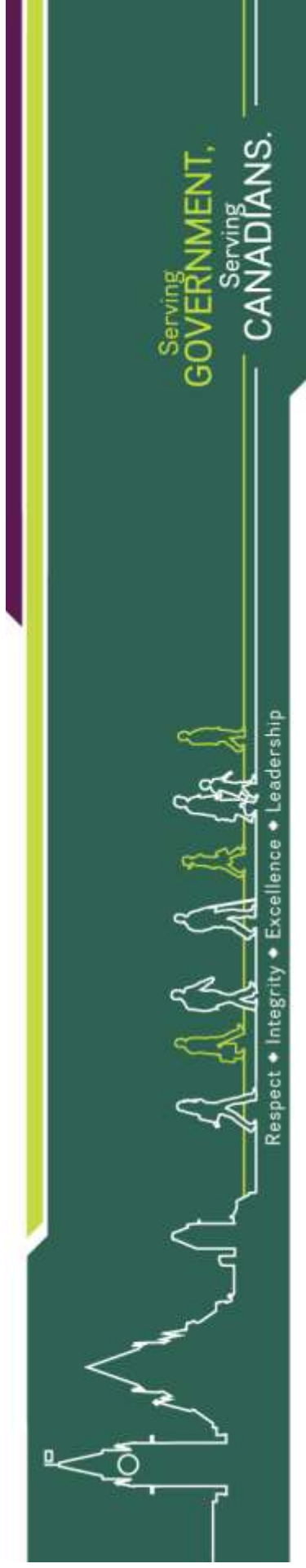
Public Services and
Procurement Canada

Services publics et
Approvisionnement Canada

Canada

Engagement Session Agenda

Opening Remarks - Director General, Donald Rousseau	DND
Opening Remarks – Director General, Sylvain Cyr	PSPC
Opening Remarks – A/Deputy Director, Ryan Moreira	ISED
Industry Consultation Process and RFI – Manager, Rita Brown/Contracting Authority Chantale Norris	PSPC
Technical/Operational Requirements Overview - Project Director, LCdr Adam Dunn	DND
Industrial and Technological Benefits Policy Overview - PM, Eric MacFarlane	ISED
Controlled Goods Program – Program Officer, Kris Gabellini	PSPC
Canada's Contract Security Program – Outreach Officers, Jonathan Joubert & Ms. Laura Haddad	CSP
Closing Remarks - Manager, Rita Brown	PSPC
Questions and Answers	ALL



OPENING REMARKS

Donald Rousseau
Director General

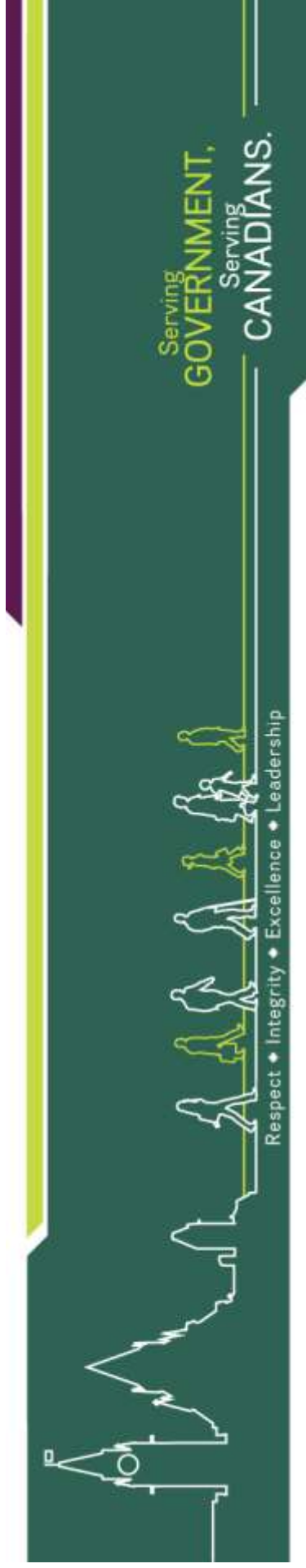
Information Management Project Delivery
Information Management Group
DND



Public Services and
Procurement Canada

Services publics et
Approvisionnement Canada

Canada



OPENING REMARKS

Sylvain Cyr

Director General

Land & Aerospace Equipment Procurement & Support

Sector (LAEPSS)

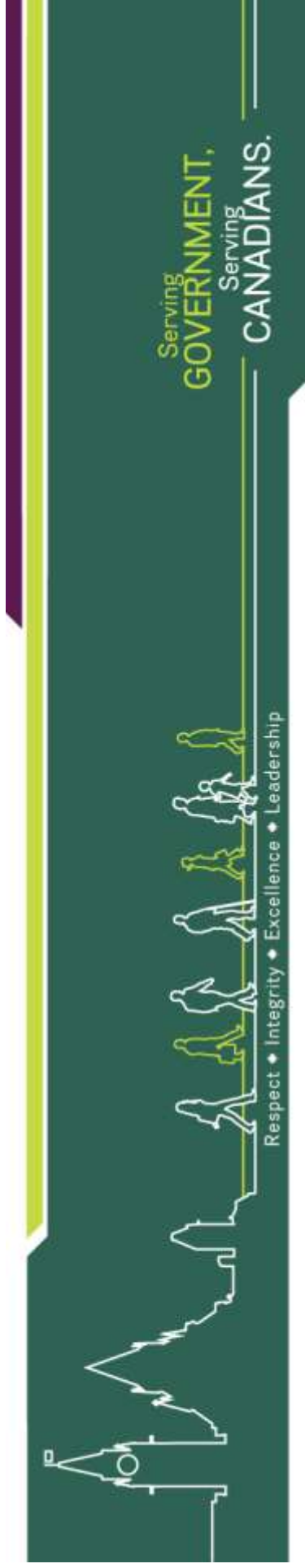
Acquisitions Branch, PSPC



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OPENING REMARKS

Ryan Moreira

Deputy Director

Land and Cyber Operations

Industrial and Technological Benefits Branch

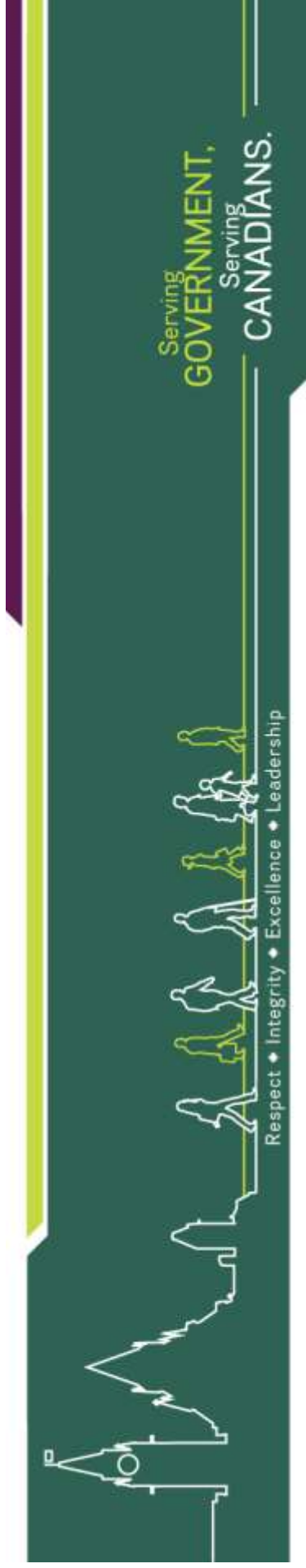
ISED



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Canada



PSPC Mandate

Rita Brown

Manager

Electronics, Munitions and Tactical Systems
Procurement Directorate (EMTSPD)
Acquisitions Branch, PSPC



Public Services and
Procurement Canada

Services publics et
Approvisionnement Canada

Canada

- Public Services and Procurement Canada acts as a Common Service Agency for the Government of Canada
- Its activities are directed mainly toward providing other departments, boards and agencies with services in support of their programs
- Service Delivery includes:
 - Real Property
 - Accounting and Banking
 - Receiver General
 - Informatics and Telecommunications
 - Procurement and Contracting (focus of presentation)

Contracting Principles & Objectives

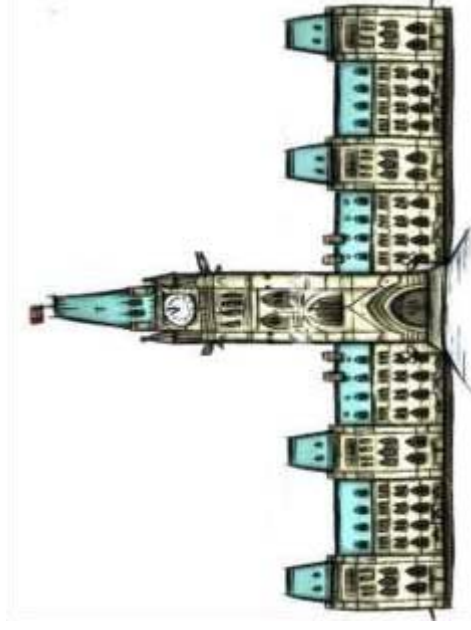
- Integrity
- Competition
- Openness and transparency
- Assist departments/agencies to meet their objectives
- Socio-economic objectives
- Legal and policy framework including Trade Agreements

Defence Procurement - What Do We Do?

- The Defence Production Act (1951) provides our Minister **exclusive authority** to acquire defence supplies/construction/projects
- Establish and manage contracts to acquire a wide range of technically complex systems for the Army, Navy and Air Force including the acquisition of:
 - Military and Civilian Aircraft & Systems
 - Ships & Marine Systems
 - Armament Systems & Munitions
 - Armoured Vehicles
 - Electronics & Communications Systems
 - Trainers & Simulators
 - Associated Repair & Overhaul Activities
 - Information Security and Electronic Warfare
- We manage procurements under the Munitions Supply Program to maintain a Canadian industrial capability for high volume ammunition and small arms. ⁹



Stakeholders in Canadian Defence Procurement



Finance

PWGSC

Treasury Board

Privy Council Office

Innovation Science and
Economic Dev Canada

Justice

Regional Development
Agencies

Global Affairs
Canada

Industry

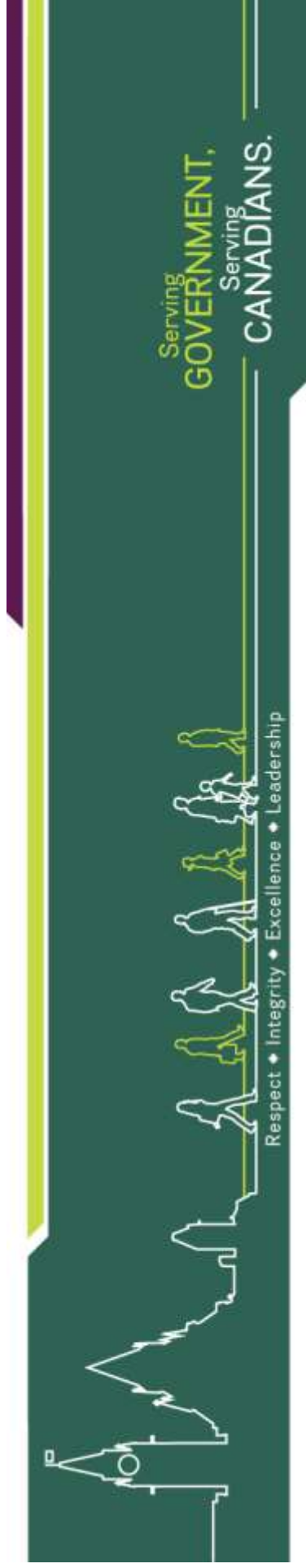
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Public Services and
Procurement Canada

Services publics et
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INDUSTRY ENGAGEMENT PROCESS

Chantale Norris
Contracting Authority
Acquisitions Branch, PSPC



Public Services and
Procurement Canada

Services publics et
Approvisionnement Canada

 **Canada**

Industry Consultation

- It is Canada's intent to actively engage and consult Industry throughout the procurement process to ensure a successful project end-state.
- The Request for Information (RFI) and engagement process provides Industry with the opportunity to present their capabilities and considerations regarding Canada's requirements for the ICAM project.
- Canada may use the information gathered to assist in the development of a Request for Proposal (RFP).

Engagement Process Guiding Principles

Transparency: ensuring procurement integrity by sharing all procurement outcomes and activities with stakeholders;

Fairness: stakeholders will get an equal opportunity to access engagement activities;

Timeliness: Engagement activities will be planned and conducted early in the procurement process; and

Relevancy: to include tangible, useful and current outputs that are in alignment with Government of Canada priorities.

Engagement and Procurement Process

- **Phase 1: 2018/2019**
- **Letter of Interest (LOI):**
 - issued and closed in the fall 2018
- **Request for Information (RFI):**
 - published on July 8, 2019; requested response date 30 August 2019
 - Industry Day: 22 July 2019.
 - One-on-One Meetings: July 22nd – 25th 2019

Proposed Engagement and Procurement Process cont.

- **Phase 2: Fall 2021**
 - Request for Information closes
 - Draft Request for Proposal
- **Phase 3: Summer 2022**
 - Request for Proposal
 - Evaluation
- **Phase 4: Summer/Fall 2023**
 - Contract Award

Completed Industry Engagement

Letter of Interest (LOI)

A LOI was released in November 2018 and closed December 2018. The purpose of the LOI was to inform industry at a high level of the project, to gain feedback on potential solutions, and to advise industry that the ITB Policy may apply.

Key Findings

- There are solutions available within industry that will be able to meet the requirements of the ICAM project;
- Industry is prepared to fulfill Integrator and Software/Solution provider roles;
- Industry advised more detail was required to support the cost estimation exercise.

Purpose of Current Request for Information

The key objectives of the RFI process are to:

- Solicit industry feedback on the operational and technical requirements, cost and schedule;
- Collaborate with industry on the application of the Industrial and Technological Benefits (ITB) Policy and Value Proposition strategy.
- Outline and solicit feedback on the engagement approach and proposed procurement process.
- Advise industry of key dates within the RFI process.
- Advise potential suppliers of the possible security requirements of the potential RFP(s) and resulting contract(s), and provide direction and assistance to unscreened suppliers in obtaining security clearances and controlled-goods registration

Next Steps

- **RFI Submissions –response date, 30 August 2019**
 - Suppliers are requested to respond to the current RFI on or before 30 August 2019.
 - All interested suppliers may submit formal responses to the information requested as outlined in the RFI.

QUESTIONS?





National
Defence

Défense
nationale

ASSISTANT DEPUTY MINISTER (INFORMATION MANAGEMENT)

Director General Information Management Project Delivery



Project and Requirements Overview

LCdr Adam Dunn – Project Director

Canada



Outline

- ICAM Objectives
- Drivers for Change
- Scope of the Capability
- Conceptual Architecture
- Delivery Products
- DND Project Leadership
- Delivery and In-Service Support Strategies
- Information Requested
- Closing Remarks



ICAM Objectives

- The ICAM project will provide enterprise-wide identity management services for all people and non-person entities (computers, printers, mobile devices, etc)
- ICAM also will provide automated provisioning, management, and de-provisioning of identities and access entitlements for DND/CAF networks, applications, IT services and facility control systems.



Drivers for Change

- Various defence policy initiatives:
 - Acquire joint Command and Control systems for integrated IT and communications
 - Integrate assets into a networked system
 - Protect networks and equipment from cyber attack
 - Reduction in enrolment time
 - Integrate Gender Based Analysis into all defence activities
- Canadian legislation
- Technology
- Cyber Threat
- Efficiency
- Security

ICAM Scope

In Scope

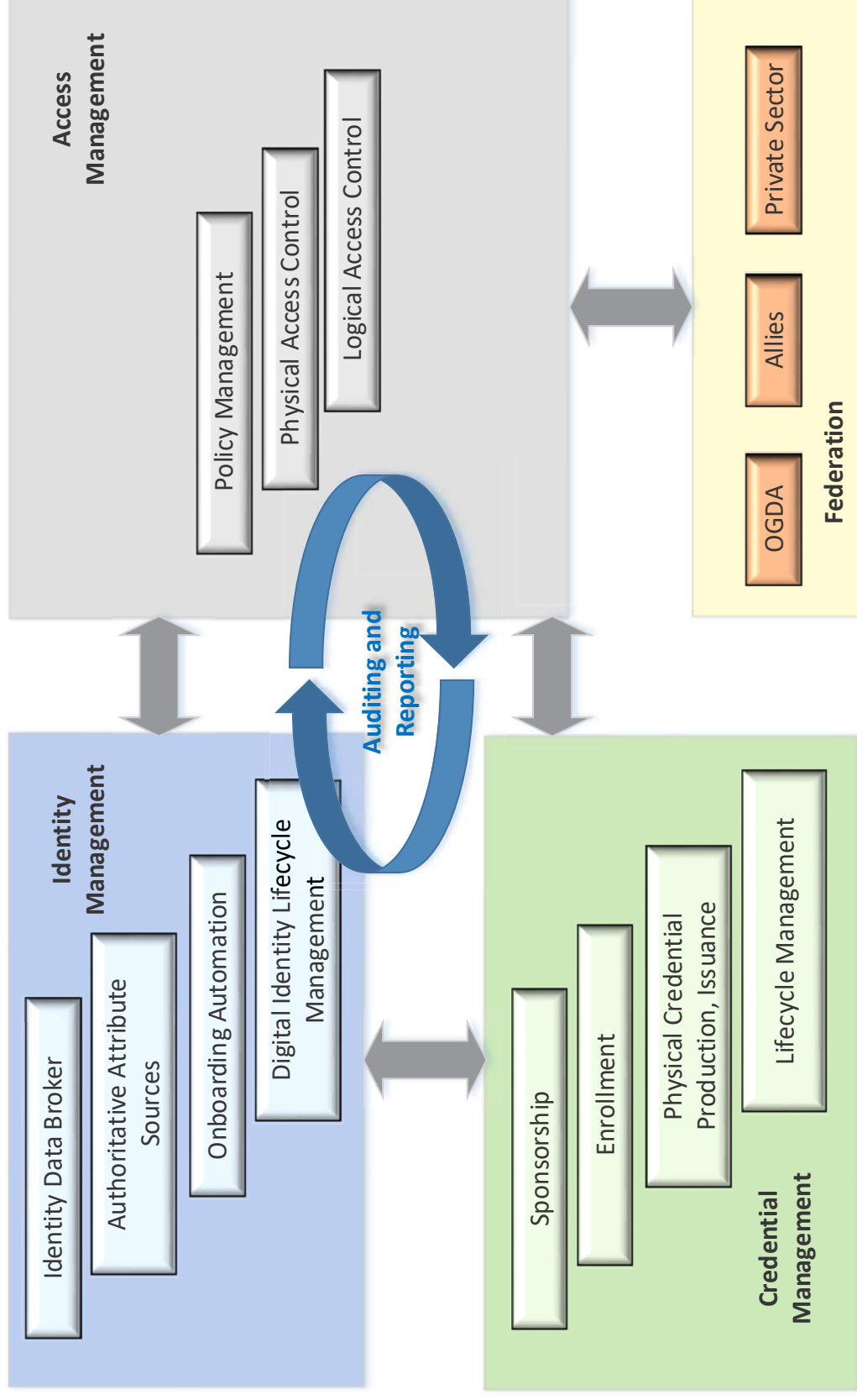
- Consolidation of disconnected identities
- Creation of an identity attribute framework
- Build/re-engineer identity life-cycling services
- Integration of biometric capabilities
- Consolidation of credential mgt systems
- Creation of services providing credentials
- Consolidation of network access controls

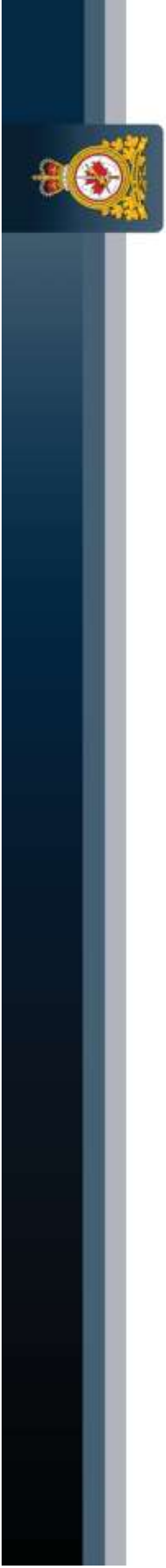
Out of Scope

- Construction of facilities to host ICAM
- Development or provision of supporting communications
- Operational and Intelligence exploitation of biometric data
- Replacement of equipment and infrastructure



Conceptual Architecture

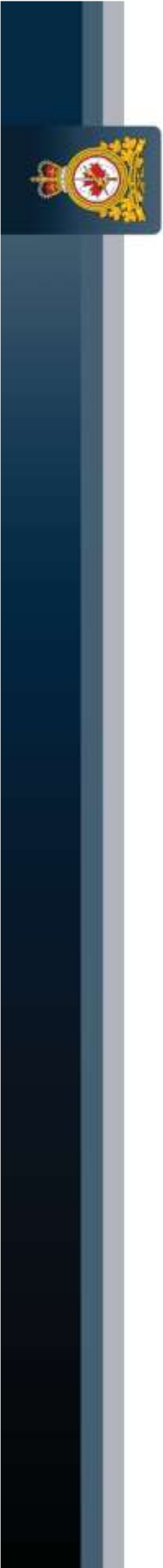




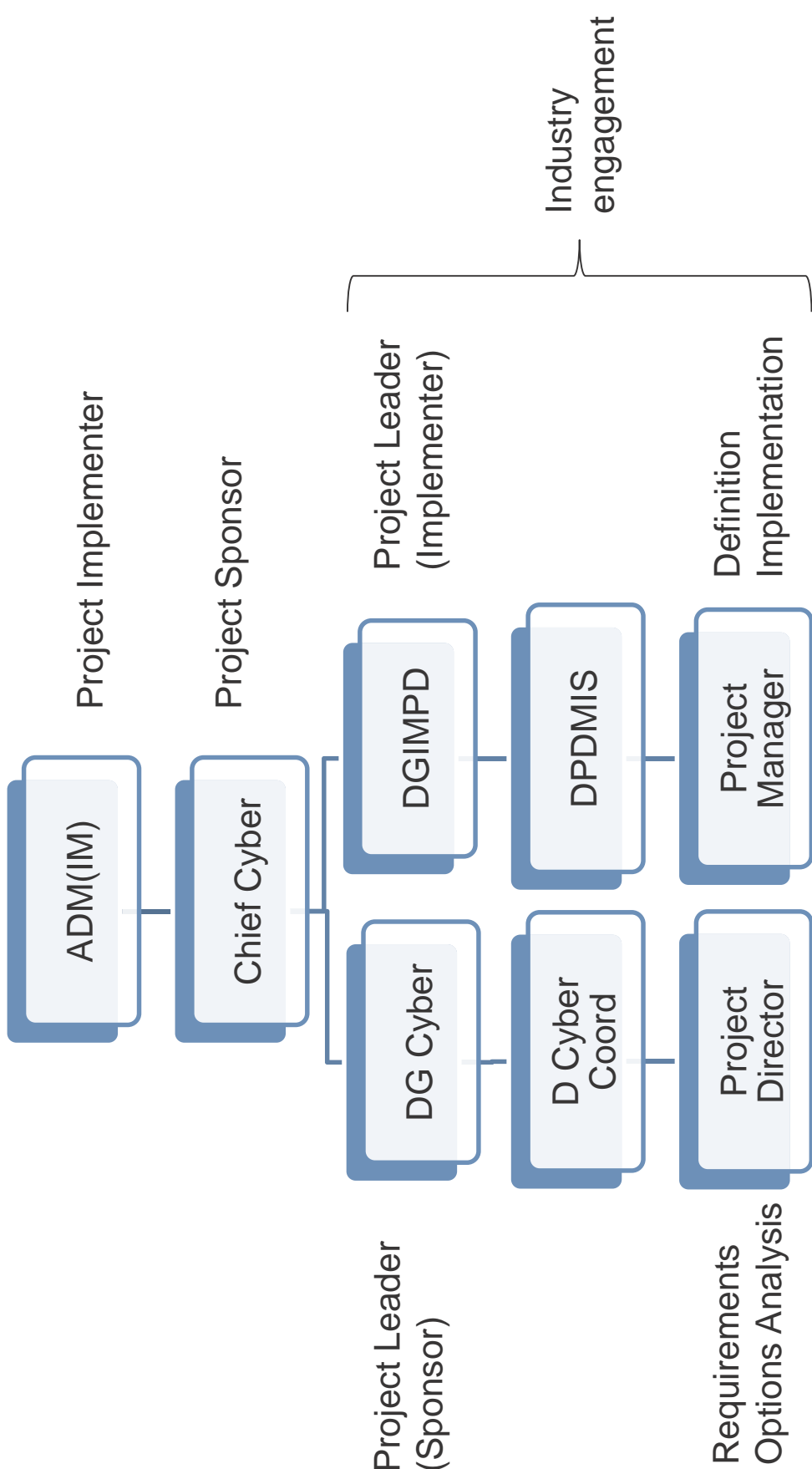
Delivery Products

Software :

- Identity Broker – Enterprise Identity Services
- Enterprise Access Governance Services
- Enterprise Federated Access Services
- Integration



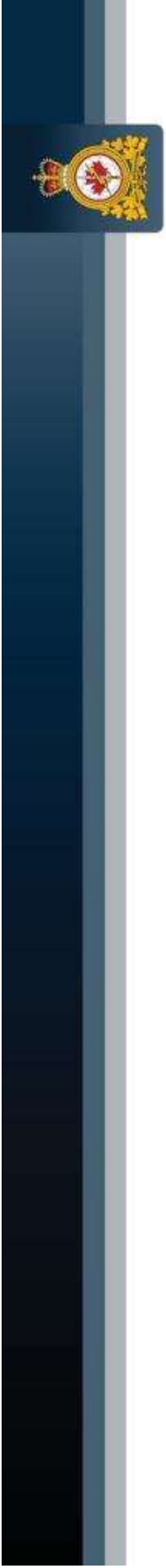
DND Project Leadership





Delivery and ISS Strategies

- Capability Delivery
 - Industry, DND/CAF or hybrid
 - Greenfield or brownfield approach
- In-Service Support
 - Status quo (DND/CAF)
 - Industry only
 - Hybrid



Information Requested

- Section 1: Executive Summary
- Section 2: Corporate Profile
- Section 3: Proposed Concept of Solution
 - Outline Plan of Solution
 - Estimated Costs for Each Deliverable
 - Indicative cost estimate, with a per-unit description, for any or all deliverables defined in Annex C that the respondent intends to provide.
- Section 4: General Comments and Advice

This is critical to help the project develop a project budget for the approval process.



Closing Remarks

- Industry is key
 - You are the SMEs
 - You have done similar projects and costing
- Opportunities for Industry
 - Inform DND of your capabilities and strengths
 - Influence the project objectives, requirements and delivery approach as they are still being defined
 - Credible costing will enable the project to move to the next phase
- We are interested in what you have to offer!!



Industry Engagement Canadian Armed Forces Identity, Credential and Access Management (ICAM) Project

Industrial and
Technological Benefits/
Value Proposition

July 22, 2019



Building a prosperous and innovative Canada

Outline

- Objective
- Defence Procurement Strategy
- Industrial and Technological Benefits including Value Proposition
- Key Industrial Capabilities (KICs)
- ITB Policy Impacts
- Industry Consultation
- Next Steps
- Preparing for a Procurement

Objective

- The Government of Canada is consulting with industry to support the development of an approach for leveraging economic benefit for the Canadian Armed Forces Identity, Credential and Access Management (ICAM) Project.
- Feedback from industry will be used to:
 - Validate the Government of Canada's analysis of the Canadian Information Technology (IT) industry sector and related capabilities; and
 - Develop an economic leveraging approach in support of the ICAM project.

Canada's Defence Procurement Strategy

- **Announced in February 2014, by the Ministers of:**
 - Public Works and Government Services (now Public Services and Procurement Canada)
 - National Defence
 - Industry Canada (now Innovation, Science and Economic Development Canada)
- **Goals:**
 - Deliver the right equipment to the Canadian Armed Forces and the Canadian Coast Guard in a timely manner
 - Leverage purchases of defence equipment and services to create jobs and economic growth in Canada
 - Streamline the defence procurement process

Industrial and Technological Benefits (ITB) Policy

- The Industrial and Technological Benefits (ITB) Policy has been in place since 1986. In 2014, it was transformed to include the Value Proposition (VP).
- Winning bidders are selected on the basis of price, technical merit and their Value Proposition.
- The VP includes bidder's commitment to undertake work in Canada and will generally account for at least 10 percent of the overall score.
- Companies awarded procurement contracts must undertake business activity in Canada equal to the value of the contract.

Value Proposition

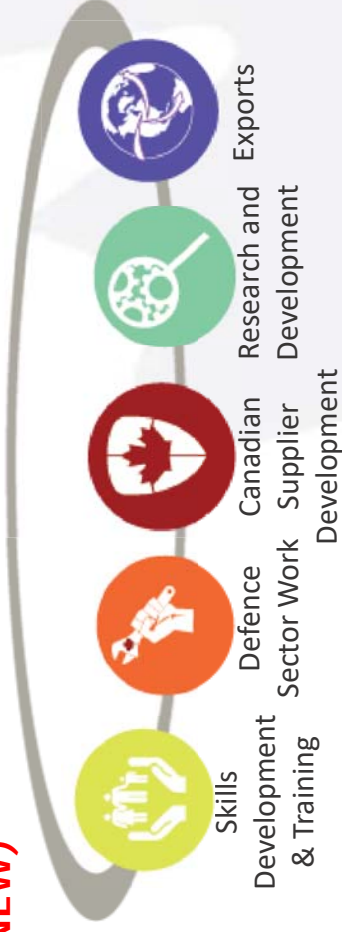
- Commitments/activities proposed at bid time
- Rated and weighted during bid evaluation

Outstanding Obligation

- Activities identified after contract award
- Brings identified activities up to 100 percent of contract value

Value Proposition Pillars

1. Supports the long-term sustainability and growth of Canada's **defence industry**;
2. Supports the growth of bidders' Canadian operations as well as their **suppliers in Canada**, including SMBs in all regions of the country;
3. Enhances innovation through **research and development (R&D) in Canada**;
4. Increases the **export** potential of Canadian-based firms; and
5. Promotes **skills development and training** to advance employment opportunities for Canadians. **(NEW)**



The VP is a flexible framework

On a procurement-by-procurement basis, there is flexibility to:

- Increase/decrease the weight of the VP
- Weigh individual evaluation criteria differently
- Apply all or some of the evaluation criteria
- Add additional evaluation criteria
- Apply mandatory requirements
- Develop different rating grids

Informed by:

Industry
engagement

Research and
analysis

3rd party experts

The Value Proposition Bid Proposal

The VP bid proposal is prepared by the prime contractor and typically consists of:

- **Mandatory requirements**
 - Ex: A commitment of 15% of bid price for work with SMBs
- **Rated Criteria**
 - Based on Commitments under the Value Proposition Pillars
- **Identified Transactions** equal to no less than 30% of bid price

Key Industrial Capabilities (KICs)

- Key Industrial Capabilities (KICs) were introduced in April 2018 to ensure that defence procurements can better drive innovation, exports and the growth of firms through the ITB Policy.
- KICs represent areas of emerging technology with the potential for rapid growth, established capabilities where Canada is globally competitive, and areas where domestic capacity is essential to national security.
- KICs are defined as the skills, technologies, and supply chains required to support the growth of these capabilities. They are broader than the companies associated with the end solution; they include the post-secondary institutions that develop skills and research, the SMEs that form part of the value chain, and intellectual property that is developed in Canada.

Key Industrial Capabilities

EMERGING TECHNOLOGIES

- Advanced Materials
- **Cyber Resilience**
- Remotely-piloted Systems and Autonomous Technologies
- **Artificial Intelligence**
- Space Systems

LEADING COMPETENCIES & CRITICAL INDUSTRIAL SERVICES

- Aerospace Systems & Components
- **Defence Systems Integration**
- Ground Vehicle Solutions
- Marine Ship-Borne Mission and Platform Systems
- Shipbuilding, Design and Engineering Services
- Training & Simulation
- Armour
- Electro Optical / Infrared Systems
- In-Service Support
- Munitions
- Sonar & Acoustic Systems

ITB Policy Impacts

- Between 1986 and 2017, the ITB Policy has resulted in **144** contracts totalling **\$43.8 billion** dollars in economic obligations.
- Of the \$43.8 billion, \$8.8 billion are for activities in progress, with more than **\$3.2 billion** in work opportunities yet to be identified.

Industry Consultation

- The Government of Canada is seeking industry feedback to support the development of the economic leveraging approach for the ICAM project.
- ITB/VP questions for Industry were published on Buyandsell in 2018 as part of the Letter of Interest. Companies can still provide new or updated responses to help guide the potential application of the ITB Policy and VP to this project.
- One-on-one meetings following this Industry Day will provide stakeholders with an opportunity to ask questions about the ITB Policy and VP.

Next Steps

- We encourage all potential bidders and suppliers to provide comments on the draft Value Proposition that will be released in 2021.
- Information provided to the Government of Canada will be considered in the development of the economic leveraging approach for the ICAM project.
- For more information on Canada's Industrial and Technological Benefits Policy, please visit: <http://www.canada.ca/itb>

Preparing for a Procurement

Potential bidders and suppliers should:

- Familiarize themselves with the ITB Policy & VP
- Determine the **Canadian Content Value** of their products and services, and those of their suppliers
- Engage **Regional Development Agencies (RDAs)**
- Determine what **certifications and credentials** may be required to participate in the project

For any ITB related questions, contact:

Eric Macfarlane

Project Manager

Industrial and Technological Benefits Branch

Innovation, Science and Economic Development
Canada

Tel: (343) 543-4952

Email: eric.macfarlane@canada.ca

Website: <http://www.canada.ca/itb>

Canada



Controlled Goods Program

General program overview and how to register

Kris Gabelini
Education Program Officer
Controlled Goods Program
Program Management and Learning Division

The Controlled Goods Program's Raison d'être

“To ensure that controlled goods are safeguarded while in the custody of private sector companies and protected against unauthorized access.”



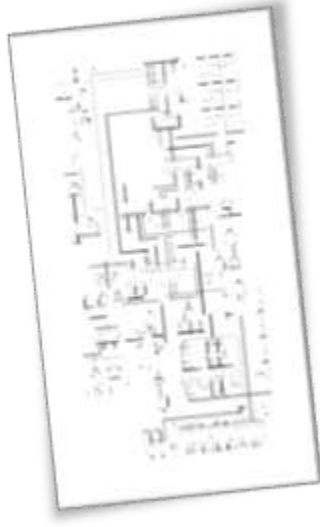
The Controlled Goods Program

- Established in 2001 to support the provision of the Canadian exemption under the U.S. *International Traffic in Arms Regulations* (ITAR)
- Legislated by the *Defence Production Act* (DPA) and *Controlled Goods Regulations* (CGR)



Definition of controlled goods

- Controlled goods are primarily goods, including components and technical data that have military or national security significance, which are controlled domestically by the Government of Canada and defined in the *Defence Production Act*



Summary, controlled goods are

- goods, including components and technology (for example, blueprints and technical specifications in paper or electronic format), with strategic significance or national security implications, regardless of where they are manufactured
- defense articles originating from the United States that are controlled by the [United States Munitions List—part 121 of the United States International Traffic in Arms Regulations](#), as amended from time to time
- goods, regardless of where they are manufactured, that are manufactured from technical data originating from the United States and are controlled by the International Traffic in Arms Regulations

Controlled Goods List

- Controlled Goods List contained in the [schedule \(section 35\) of the *Defence Production Act*](#)
- [Guide to the schedule to the *Defence Production Act*](#)
 - provides a simplified listing of the items that are identified as controlled goods in the *Defence Production Act*.
 - helps identify whether or not an item is included on the Controlled Goods List
 - schedule takes precedence over the guide



Why individuals and organizations must register

- It is the law. Individuals and organizations must register in the Controlled Goods Program if they need to **examine**, **possess** or **transfer** controlled goods. During registration, applicants must demonstrate this need
- Failure to register may be considered an offence under federal laws, and could lead to prosecution and sanctions



Any person who fails to comply with the *Defence Production Act* may:

- have their registration with the Controlled Goods Program suspended or revoked
- face prosecution for failing to comply and be subject to a fine not exceeding \$2,000,000, and/or imprisonment not exceeding 10 years



As an individual or an organization, you must register before you:

- examine, possess or transfer controlled goods in Canada
- transfer controlled goods outside of Canada
 - registration is required before getting an [export permit from Global Affairs Canada](#)
- receive bid solicitation documents containing controlled goods or controlled technology



Understand if you are examining, possessing or transferring controlled goods

- **Examine** means to consider in detail or subject to analysis in order to discover essential features or meaning
- **Possess** means either actual possession, where the person has direct physical control over a controlled good at a given time, or constructive possession, where the person has the power and the intention at a given time to exercise control over a controlled good either directly or through another person or persons
- **Transfer** means, with respect to a controlled good, to dispose of it or disclose its content in any manner

Roles in the Controlled Goods Program

Owner

- owns 20% or more of the outstanding voting shares or interests of the business

Authorized Individual

- usually the owner or another senior official of the organization with signing authority

Designated Official

- completes [mandatory training for designated officials](#)
- conducts [security assessments](#) of employees, officers and directors
- determines the risk of transferring controlled goods to anyone who is not registered or is not exempt from registration and authorizes the extent to which they may examine, possess or transfer controlled goods
- verifies the information provided to them by temporary workers, international students and visitors for the purpose of applications for exemption and submit the exemption requests to the CGP



How to register

To register in the Controlled Goods Program, you must complete the following steps:

1. Appoint an authorized individual
2. Appoint a designated official
3. Complete the application for registration form
4. Complete a security assessment application form for each required individual
5. Review your applications and supplementary documentation
6. Submit your application



Application for Registration

- submit a completed & signed [Application for Registration](#)
 - Section H (Certification and consent), is signed by the Authorized Individual
- provide evidence of the legal status of the company (e.g. copy of Certificate of Incorporation, Master Business Licence, etc.)
- clearly provide a description of your business activities in relation to controlled goods on the application form as well as any companies with whom you have / will have a business relationship involving controlled goods. If you have supporting documentation regarding justification for registration, such as a contract, an RFP, a Third Party E-mail / Letter, please submit those as well
- **controlled goods to be listed in section D.10**
 - **when bidding on contracts with controlled goods, check with the bid authority to obtain the CGL item numbers and descriptions**



Authorized Individual and all Owners of 20% or more

- submit a completed & signed [Security assessment application](#)
- two pieces of government-issued identification (at minimum one must be photo identification)
 - proof of citizenship (for example, birth certificate, passport, permanent resident card)
 - proof of residence (for example, driver's license, government-issued document with address)
- [certified criminal record check](#) based on fingerprints **or** a [name-based criminal record check](#) verified against the RCMP's Canadian Police Information Centre (CPIC) system . When completing the form, please use “**private sector**” for employment and **ensure the results are sent to the applicant's home address**, so they can then be submitted with the application and other documentation
 - for foreign individuals or those who have lived outside of Canada for 6 consecutive months or more within the last 5 years, we require a Criminal History check from a recognized police agency – Example: Certificate of Good Conduct; FBI Check, Police certificate

Designated Official(s)

- submit a completed & signed [Security assessment application](#)
- two pieces of government-issued identification (at minimum one must be photo identification)
 - proof of citizenship (for example, birth certificate, passport, permanent resident card)
 - proof of residence (for example, driver's license, government-issued document with address)
- [certified criminal record check](#) based on fingerprints - have electronic fingerprints taken. When completing the form, use “**private sector**” for employment and **ensure the results are sent to the applicant's home address**, so they can then be submitted with the application and other documentation



Processing times



- an application for registration, along with all supporting documentation, can take 32 business days to process
- you may inquire about the status of your registration after a four week waiting period
- we accept only complete applications with all supporting documents
- if incomplete (missing signatures, missing supporting documents, missing fingerprint results, etc.), the application will be returned

Submitting applications

Mail / Courier

Controlled Goods Program
Public Services and Procurement Canada
PSPC Central Mail Room
Portage III, 0B3
11 Laurier St
Gatineau QC K1A 0S5

E-mail

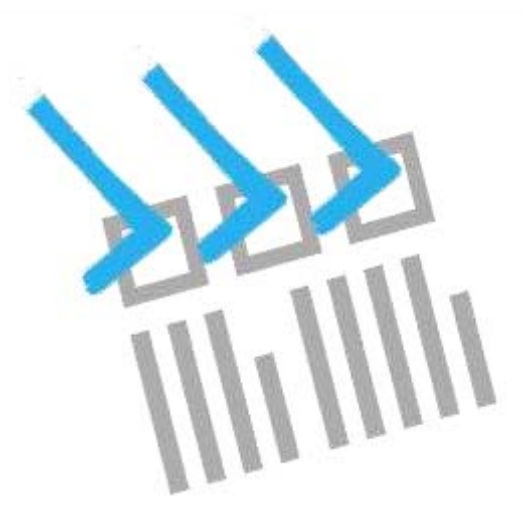
dmc-cgd@tpsgc-pwgsc.gc.ca

Facsimile

(613) 948-1722



Registration checklist



- ☐ Company
 - ☐ [Application for registration](#)
 - ☐ Evidence of the legal status of the company in Canada
- ☐ Authorized Individual
 - ☐ [Security assessment application](#)
 - ☐ two pieces of government-issued identification
 - ☐ [Certified criminal record check](#) or a [name-based criminal record check](#)
- ☐ all Owners of 20% or more
 - ☐ [Security assessment application](#)
 - ☐ two pieces of government-issued identification
 - ☐ [Certified criminal record check](#) or a [name-based criminal record check](#)
- ☐ Designated Official(s)
 - ☐ [Security assessment application](#)
 - ☐ two pieces of government-issued identification
 - ☐ [Certified criminal record check](#)

Questions?

Website: <http://www.tpsgc-pwgsc.gc.ca/pmc-cgp/index-eng.html>

Email: DMC-CGD@tpsgc-pwgsc.gc.ca

Toll-free number: 1-866-368-4646

National Capital Region: 613-948-4176

Call us Monday to Friday, 8:00 am to 5:00 pm Eastern time. Services are available in English and French.





Public Services and Procurement Canada's Contract Security Program

July 22, 2019

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Public Services and Procurement Canada
Industrial Security Sector, Outreach Division

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Overview

- PSPC's Contract Security Program
- Types of security screenings
- Organization security screenings and subsets
- Sponsoring process
- Registration roadmap
- Subcontracting process
- Webinars
- Questions
- Contact us
- Useful links





PSPC's Contract Security Program

- enables industry to participate in sensitive government contracts in Canada and abroad
- provides security screening services for organizations and their employees
- ensures the necessary contract security clauses are included as part of contracting vehicles
- ensures industry complies with contracting security requirements



Types of security screenings

	Organization screening	Personnel security screening	Information and assets
 Classified National interest	Facility security clearance	Top Secret	Top Secret
		Secret	Secret
			Confidential
 Protected Non-national interest	Designated organization screening	Enhanced reliability	Protected C
		Reliability status	Protected B
			Protected A

Organization security screenings and subsets

Document safeguarding capability

If the contract requires the **safeguarding of sensitive information and/or assets at your site(s)**, your organization will also need to obtain a document safeguarding capability (DSC) at the level specified in the contract.

PSPC's Contract Security Program will conduct physical security inspections:

- **before contract award** for the following contract security requirement:
 - DSC
 - production capability

Your organization must be willing to **make the required changes** to your facilities as well as **incur the cost(s)** in order to be approved for DSC.



Organization security screenings and subsets (cont'd)

Authority to process information technology (IT)

If your organization is required to **use its own IT system(s) to produce, process or store sensitive information electronically**, your organization will also need to obtain the authority to process IT.

- IT requirements are defined by the client department in a **technical document** attached to the contract
- PSPC's Contract Security Program will conduct an IT security inspection typically **after contract award**



Sponsoring process

To register in PSPC's Contract Security Program (CSP), your organization must be sponsored by a Government of Canada **approved source**.

Who can be an approved source?

- a Government of Canada procurement officer
- a Government of Canada security officer or project manager
- a prime contractor registered in PSPC's CSP
- national and designated security authorities on behalf of a foreign company or government that is contracting to the organization



Registration roadmap

To clear personnel, your organization must first be security cleared!

PSPC's Contract Security Program will request the following:

Step 1	Step 2	Step 3	Step 4	Step 5	Step 6	Step 7
The approved source submits a request for private sector organization screening (PSOS) & security requirement checklist (SRCL) to PSPC's Contract Security Program (CSP), to sponsor your organization into the program.	Your organization is contacted by PSPC's CSP. You will receive an establishing letter, asked to complete screening forms, a series of registration forms and appoint a company security officer (CSO). If required: your organization will also appoint an alternate company security officer (ACSO) and identify key senior officials (KSO).	Your organization submits the completed registration forms to PSPC's CSP (within 30 days). Incomplete forms may result in rejection of registration application.	PSPC's CSP reviews your organization's registration forms, analyzes security requirements, your organization structure, its ownership and required signatures.	Your organization replies promptly to PSPC's CSP if any information or signatures are missing, forms are incomplete or if further details are required.	PSPC's CSP processes personnel security screening/clearance for CSO/ACSO and KSOs (where required) and grants screening/clearances.	Your organization is officially registered in PSPC's CSP. You can now submit <i>personnel security screening</i> requests for your employees and register to use the Online industrial security services (OLISS) .
<div>Most common errors:</div> <div>Missing signatures where required Missing copies of CSO photo ID</div>				<div>Missing proof of business ownership Missing Annex 1B if appointing an ACSO</div>		



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Subcontracting process

The **prime contractor's** company security officer (CSO) or alternate company security officer (ACSO) is responsible for:

1. completing an security requirement checklist (SRCL) identifying the security requirements of the subcontract
2. requesting a private sector organization screening (PSOS) on behalf of the subcontractor
3. submitting the SRCL and PSOS form to PSPC's Contract Security Program (CSP) for approval
4. obtaining and inserting the security clauses and SRCL into the subcontract
5. validating the subcontractor's organization and personnel are cleared
6. submitting a copy of the awarded subcontract containing the SRCL to PSPC's CSP

work cannot start until the subcontractor obtains the appropriate security screening



Questions



Contact us

General inquiries

Phone

Toll-free: 1-866-368-4646

National capital region: 613-948-4176

Email

ssi-iss@tpsgc-pwgsc.gc.ca

Website

<http://www.tpsgc-pwgsc.gc.ca/esc-src/index-eng.html>



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Useful links

Organization and personnel security screening

<http://www.tpsgc-pwgsc.gc.ca/esc-src/enquete-screening-eng.html>

Safeguarding equipment, sites, assets and information

<http://www.tpsgc-pwgsc.gc.ca/esc-src/protection-safeguarding-eng.html>

Information technology security requirements

<https://www.tpsgc-pwgsc.gc.ca/esc-src/protection-safeguarding/securite-it-security-eng.html>

Subcontracting security requirements

<https://www.tpsgc-pwgsc.gc.ca/esc-src/soustraitance-subcontracting-eng.html>

Contract security resources

<http://www.tpsgc-pwgsc.gc.ca/esc-src/ressources-resources-eng.html>

Contract security training

<https://www.tpsgc-pwgsc.gc.ca/esc-src/formation-training-eng.html>

News and updates about contract security

<http://www.tpsgc-pwgsc.gc.ca/esc-src/nouvelles-news/index-eng.html>



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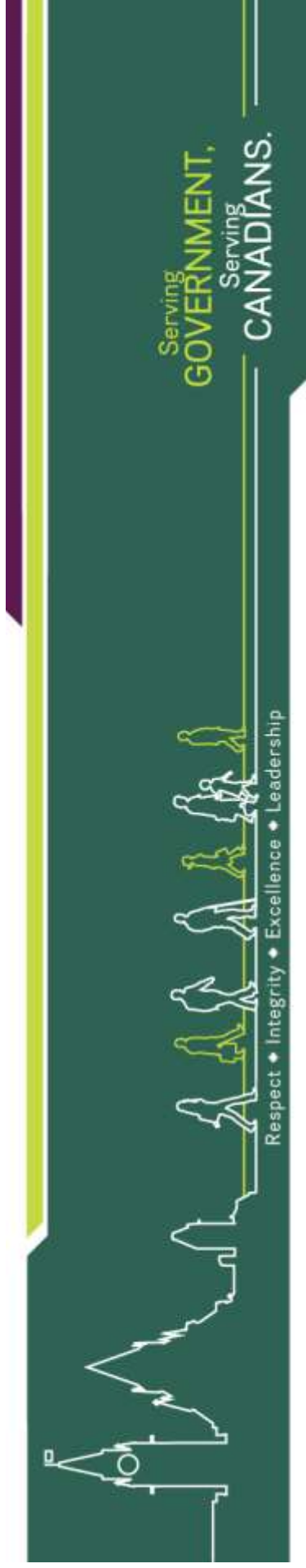
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CLOSING REMARKS

Rita Brown

Manager

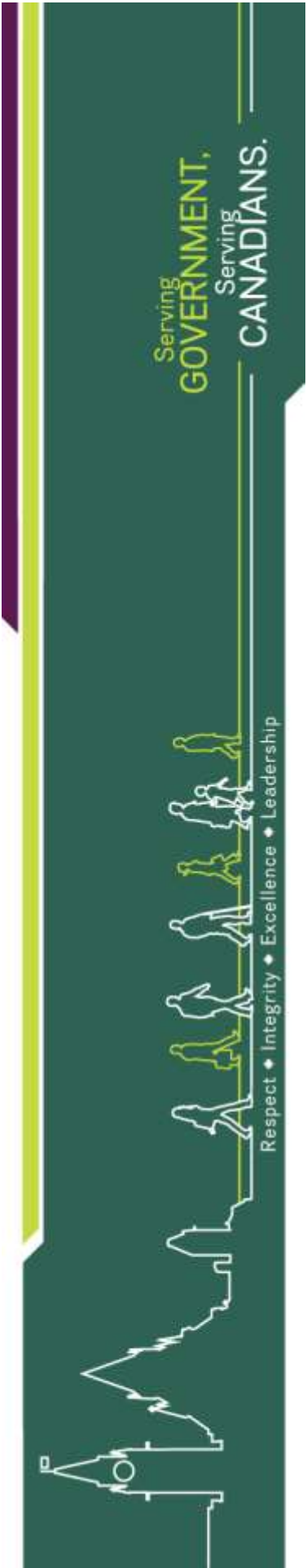
**Electronics, Munitions and Tactical Systems
Procurement Directorate (EMTSPD)
Acquisitions Branch, PSPC**



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THANK YOU!



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Questions ?

You may also forward your inquiries to the generic in-box at:

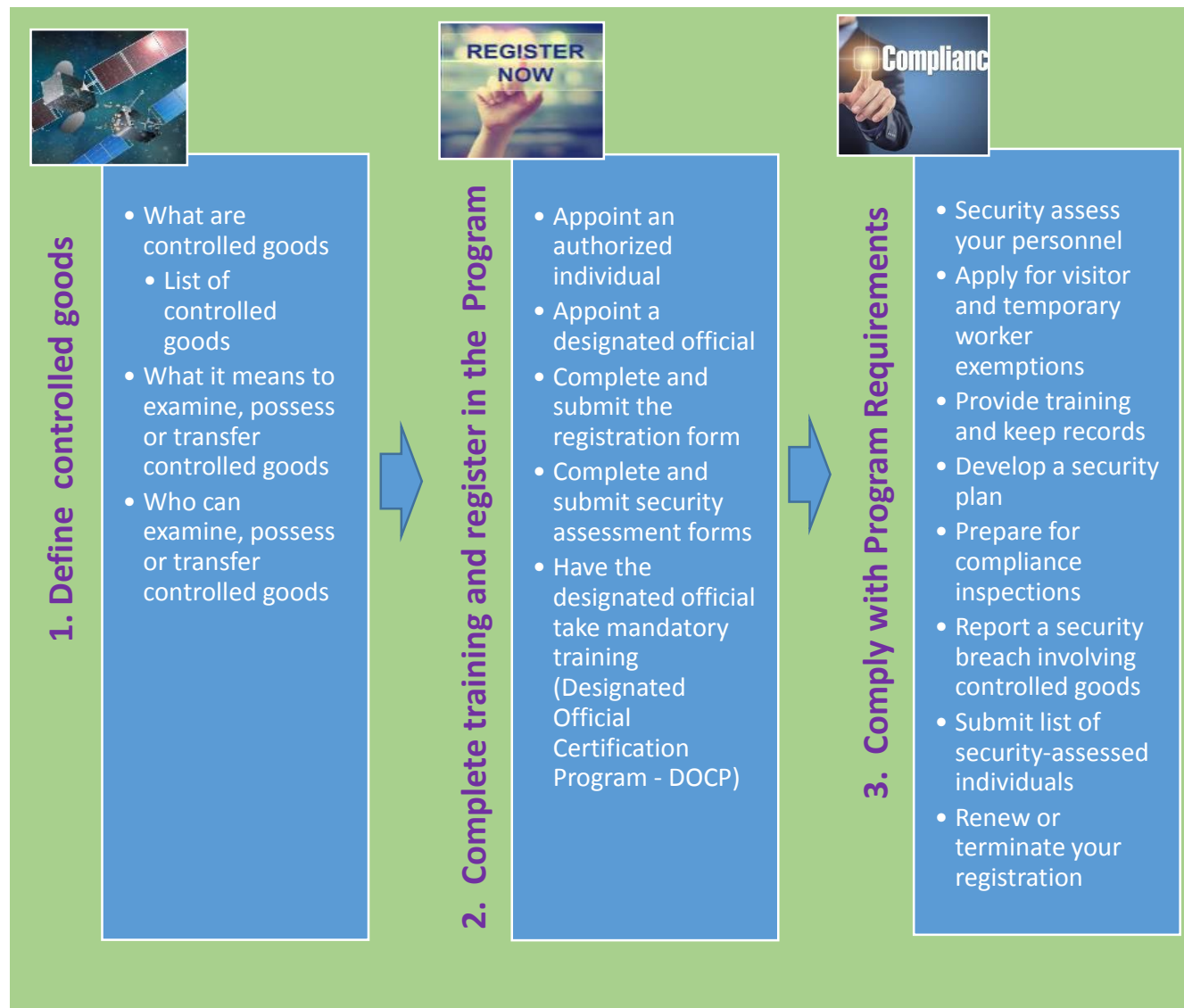
TPSGC.PADivisionQE-APQEDivision.PWGSC@tpsgc-pwgsc.gc.ca



Controlled Goods Program – A roadmap

Looking to bid on contracts involving Controlled Goods? Get prepared by using our easy-to-follow roadmap and web links to learn about PSPC's Controlled Goods Program training requirements, registration process, and more!

Use this roadmap to:

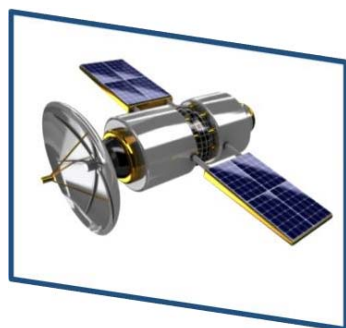


Controlled Goods Program – A roadmap

Intend to examine, possess or transfer Controlled Goods

(Intend to work with Controlled goods)

Unless excluded or exempt from registration, individuals and organizations **must** be registered in the Program to legally examine, possess, or transfer controlled goods¹.



What are controlled goods?

Controlled goods are:

- goods, including components and technology (i.e. blueprints and technical specifications in paper or electronic format), with strategic significance or national security implications, regardless of where they are manufactured
- defense articles originating from the United States that are controlled by the United States Munitions List—part 121 of the United States [International Traffic in Arms Regulations](#), as amended from time to time
- goods, regardless of where they are manufactured, that are manufactured from technical data originating from the United States and are controlled by the *International Traffic in Arms Regulations*, as amended from time to time

List of controlled goods

Controlled goods are listed in the [Schedule \(section 35\) Controlled Goods List of the Defence Production Act](#)

The [Guide to the Schedule to the Defence Production Act](#) provides a descriptive of the items that are identified as controlled goods in the *Defence Production Act*. The guide helps identify whether or not an item is included in the Controlled Goods List.

Note: the Schedule takes precedence over the Guide.

What does *examine, possess or transfer* mean?

Examine means to consider in detail or subject to analysis in order to discover essential features or meaning

Possess means either **actual possession**, where the person has direct physical control over a controlled good at a given time, or **constructive possession**, where the person has the power and the intention at a given time to exercise control over a controlled good either directly or through another person or persons

Transfer means, with respect to a controlled good, to dispose of it or disclose its content in any manner

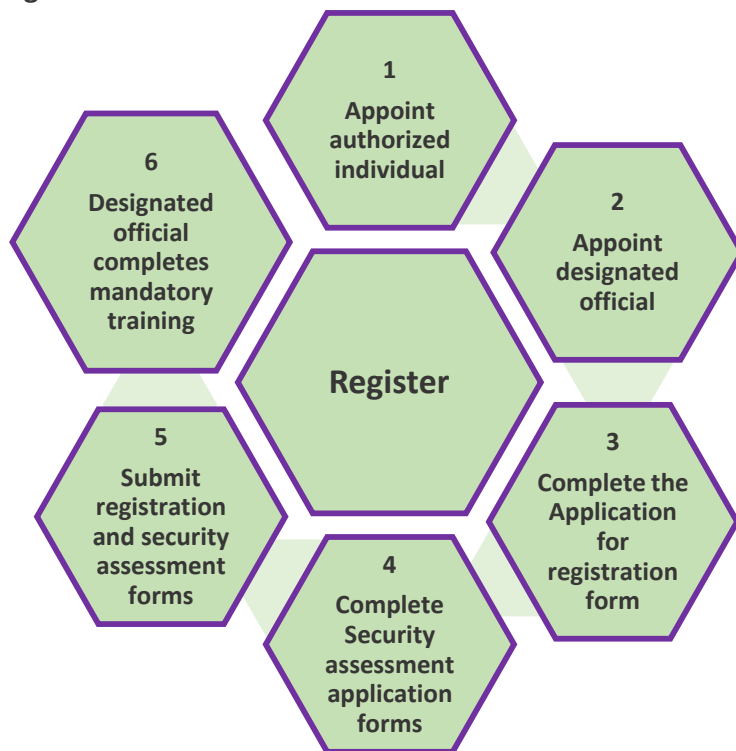
Who can examine, possess or transfer controlled goods?

Individuals and organizations **must** register in the Controlled Goods Program if they need to examine, possess or transfer controlled goods. During registration, applicants **must** demonstrate this need. Follow the link for more details on [Who is eligible to register](#).

¹ legislated by the *Defence Production Act* and the *Controlled Goods Regulations*

Controlled Goods Program – A roadmap

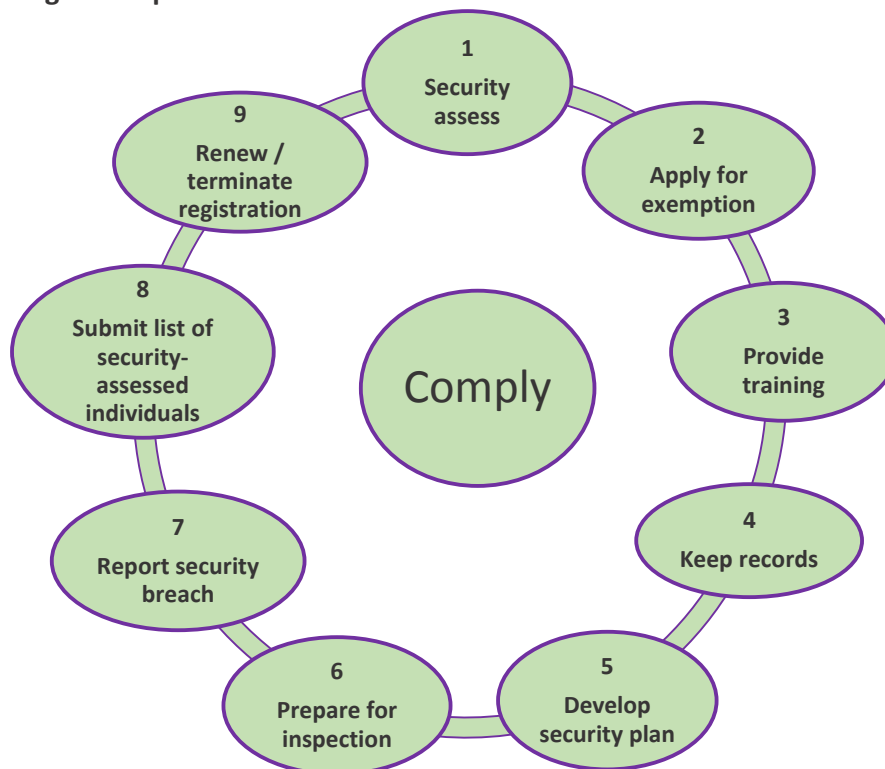
Steps to Register



1. **Appoint an authorized individual:**
This individual is the owner or senior official who signs the Application for registration, undergoes a security assessment and a criminal record check
2. **Appoint a designated official**
3. **Complete the Application for registration form**
4. **Complete Security assessment application forms** —owner, authorized individual, designated official, officer, director, employee forms
5. **Submit the Application for registration and the Security assessment application forms**
6. **Have designated official complete the mandatory training**

Controlled Goods Program – A roadmap

Comply with Program requirements



1. **Security assess** all your personnel who examine, possess or transfer controlled goods
2. **Apply for visitors and temporary workers exemptions** from registration in the Controlled Goods Program
3. **Provide training** and security briefings to employees for the secure handling of controlled goods
4. **Keep records** of controlled goods, security assessments and supporting documentation, exemptions and the transfer and disposal of controlled goods
5. **Develop a security plan** for controlled goods
6. **Prepare for compliance inspections** for controlled goods
7. **Report a security breach** involving controlled goods
8. **Submit list** of security-assessed individuals
9. **Renew or terminate your registration** in the Controlled Goods Program

Questions?

Email DMC-CGD@tpsgc-pwgsc.gc.ca

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