



REQUEST FOR STANDING OFFERS¹

RFSO # 2020-2532 – 2021 REFRESH

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| 1. Title | Non-Executive Recruitment and Executive Search Services |
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| 2. Introduction and Standing Offer Requirements | <p>Following RFSO 2020-2532 issued on December 15, 2020 (“Initial RFSO”), the Canada Deposit Insurance Corporation (“CDIC”) awarded twelve (12) Standing Offers under Service Stream #1: Non-Executive Recruitment Services and nine (9) Standing Offers under Service Stream #2: Executive Search Services, the “Initial Standing Offers”. CDIC is seeking to establish additional Standing Offer arrangements during this annual qualification opportunity (“2021 Refresh”) as well as during each subsequent annual qualification opportunity, for the provision of Non-Executive Recruitment Services and Executive Search Services, as identified in <u>Schedule “A”</u> (Statement of Work), for any one or both of the following two (2) Service Streams:</p> <p>Service Stream #1: Non-Executive Recruitment Services
 Service Stream #2: Executive Search Services</p> <p>CDIC is a federal Crown corporation, with headquarters in Ottawa, and an office in Toronto. CDIC is responsible for providing insurance against the loss of part or all of deposits, and for promoting and otherwise contributing to the stability of the financial system in Canada. These objectives are pursued for the benefit of persons having deposits with CDIC member institutions and in such a manner as will minimize the exposure of CDIC to loss. CDIC is also the resolution authority for CDIC member institutions.</p> <p>Further information about CDIC can be obtained at www.cdic.ca.</p> |
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| 3. Trade Agreements | <p>This RFSO is issued in accordance with the following trade agreements:</p> <p>Chapter 5 (Government Procurement) of the Canadian Free Trade Agreement (CFTA)</p> |
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¹ The complete and official copy of this Request for Standing Offers #2020-2532, can be found at no cost at Buyandsell.gc.ca

4. Summary of Key RFSO Dates and Defined Terms

1. The following capitalized terms shall have the following meanings where used in this RFSO, and its incorporated schedules, appendices and forms:

Request for Standing Offers – 2021 Refresh	
Date of Issuance:	January 31, 2022
Deadline for Suppliers to Submit Questions:	February 11, 2022, at 2:00 p.m., Ottawa local time
Deadline for Response to Suppliers' Questions:	March 7, 2022
Proposal Submission Deadline:	March 21, 2022, at 2:00 p.m., Ottawa local time
Proposal Delivery Address:	procurement@cdic.ca
CDIC Contact Person:	Judy Ann Hollander

Key RFSO Dates for subsequent annual qualification opportunities will be available with the annual notice published as described in Section 15 (Open Standing Offer).

2. The following additional capitalized terms shall have the following meanings where used in this RFSO, and its incorporated schedules, appendices and forms:

“Engagement” means a specific body of work that was carried out pursuant to a specific client requirement/need;

“Executive Search Services” means the services described in Service Stream #2: Executive Search Services, as set out in Schedule “A” (Statement of Work);

“Financial Offer” consists of the fillable forms contained in Schedule “D” (Financial Offer) and/or Appendix “D-1” (Financial Offer for Service Stream #1) and/or Appendix “D-2” (Financial Offer for Service Stream #2), as applicable;

“Functions” means the functional areas set out in Appendix “A-1” (Service Categories and Functions) and Appendix “A-2” (Service Categories and Functions);

“Non-executive Recruitment Services” means the services described in Service Stream #1: Non-Executive Recruitment Services, as set out in Schedule “A” (Statement of Work);

“Proposal” means the Technical Offer and Financial Offer submitted by a Supplier, in response to this RSFO;

“Supplier” means an entity who is submitting a Proposal, is considering submitting a Proposal, or has submitted a Proposal, as the case may be;

“Professional Services Agreement” means the agreement to be entered into by a Supplier with CDIC, for any one or both of the Service Streams (see Schedule “F” (Form of Professional Services Agreement));

“Reference Engagement” means an Engagement, the details of which are being provided by a Supplier as evidence of the Supplier’s technical experience and expertise;

“Reference Engagement Form” means the fillable form contained in Appendix “C-2” (Reference Engagement Form);

“RFSO” means this Request for Standing Offers;

“Service Category” means, for purposes of this RFSO, any one service category identified in Appendix “A-1” (Service Categories and Functions) of this RFSO;

“Service Request” means a document issued by CDIC to a Standing Offer Holder(s), that includes instructions and applicable CDIC service requirements which may result in a Task Authorization. (See Section 4, Service Request Process, of Schedule “A” (Statement of Work).);

“Service Stream(s)” means Service Stream #1: Non-Executive Recruitment Services and/or Service Stream #2: Executive Search Services;

“Service Stream #1” means the service stream identified as Service Stream #1: Non-Executive Recruitment Services, as described in Schedule “A” (Statement of Work);

“Service Stream #2” means the service stream identified as Service Stream #2: Executive Search Services, as described in Schedule “A” (Statement of Work);

“Standing Offer” means the arrangement entered into between a Supplier and CDIC by entering into a Professional Services Agreement;

“Standing Offer Holder” means a Supplier that submitted a Proposal, has been selected through the evaluation process defined in the RFSO, and who has entered into a Professional Services Agreement;

“Task Authorization” means the authorization issued by CDIC, following the receipt of a Standing Offer Holder’s response to a Service Request, authorizing services to be completed under the Standing Offer; and

“Technical Offer” means the fillable forms contained in Schedule “C” (Technical Offer), Appendix “C-1” (Technical Offer Form) and Appendix “C-2” (Reference Engagement Form).

3. Words expressed in the singular include the plural and vice versa.

5. Incorporated Schedules and Forms

In addition to the main body of this RFSO, the following schedules and information are incorporated as part of this RFSO.

Schedule/Appendix	Name
Schedule “A”	Statement of Work
Appendix “A-1”	Service Stream #1 Service Categories and Functions
Appendix “A-2”	Service Stream #2 Service Categories and Functions
Schedule “B”	Evaluation and Selection Process
Schedule “C”	Technical Offer
Appendix “C-1”	Technical Offer Form
Appendix “C-2”	Reference Engagement Form
Schedule “D”	Financial Offer
Appendix “D-1”	Financial Offer for Service Stream #1
Appendix “D-2”	Financial Offer for Service Stream #2
Schedule “E”	Mandatory Proposal Forms
Schedule “F”	Form of Professional Services Agreement

6. Questions and Communications Related to the RFSO Documents

1. Any questions or requests for clarification of the contents of, or interpretation or correction, relating to this RFSO, should:
 - i) be made in writing prior to the Deadline for Suppliers to Submit Questions;
 - ii) be addressed only to the CDIC Contact Person;
 - iii) list the RFSO number in the subject line; and
 - iv) be sent by electronic mail to the Proposal Delivery Address.
 2. CDIC will provide the Suppliers with a response to questions no later than the Deadline for Response to Suppliers' Questions. CDIC will circulate the responses to questions as written addenda to this RFSO, in the same manner as the RFSO.
 3. Any attempt by a Supplier or any of its employees, agents, contractors or any other representatives to contact any person at CDIC other than the CDIC Contact Person, or to contact the CDIC Contact Person regarding this RFSO, other than in writing at the Proposal Delivery Address, may in CDIC's sole and absolute discretion, result in the Supplier's disqualification and the rejection of its Proposal.
 4. Nothing in this RFSO limits CDIC's right, in its sole and absolute discretion, for which CDIC shall have no obligation, to communicate with any Supplier regarding any matter arising in the normal course of business from any contractual relationship for the provision of any other or similar goods or services independently of this RFSO.
 5. It is the sole responsibility of a Supplier to avail itself of any information it may require, ask any questions, or obtain any clarification of the requirements or other matters in this RFSO, and make its own investigations, projections and conclusions prior to submitting a Proposal.
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7. Proposal Delivery

1. Proposals must be received in electronic format at the Proposal Delivery Address no later than the Proposal Submission Deadline, with the time of delivery confirmed by a CDIC authorized representative. It is the Supplier's responsibility to ensure that the Proposal is delivered by the Proposal Submission Deadline to the Proposal Delivery Address. The time of delivery shall be the time the e-mail is received in the inbox of the email address provided as the Proposal Delivery Address.
2. Proposals received after the Proposal Submission Deadline may be deemed to be non-compliant and may be returned to the sender. CDIC may, in its sole and absolute discretion, accept a Proposal delivered to the Proposal Delivery Address after the Proposal Submission Deadline if CDIC deems it to be in CDIC's best interests, and the Supplier demonstrates to CDIC's satisfaction that:
 - i) the Proposal would have been delivered to the Proposal Delivery Address by no later than the Proposal Submission Deadline, but for circumstances beyond the Supplier's control; and
 - ii) CDIC's acceptance of the Proposal would not otherwise confer a substantive advantage on the Supplier.
3. CDIC may only accept Proposals submitted to the Proposal Delivery Address. Proposals submitted by another means or at any other physical location may be deemed by CDIC to be non-compliant and rejected.

4. Suppliers may, in writing, revoke and re-submit a Proposal at any time up to the Proposal Submission Deadline. Suppliers may, in writing, revoke a Proposal at any time (even after the Proposal Submission Deadline).

8. Proposal Format

1. Proposals are to be submitted in either English or French to the Proposal Delivery Address, as follows:
 - i) in Adobe Reader format (.pdf); and
 - ii) arranged so that:
 - a. the “**Technical Offer**” (responding to the technical requirements set out in Schedule “C” (Technical Offer), Appendix “C-1” (Technical Offer Form), and all Appendix “C-2” (Reference Engagement Form(s))); and
 - b. the “**Financial Offer**” (responding to the financial requirements set out in Schedule “D” (Financial Offer) and Appendix “D-1” (Financial Offer for Service Stream #1) and/or Appendix “D-2” (Financial Offer for Service Stream #2), as applicable).

are submitted in two separate attachments to the email.

It is possible due to file size that the Proposal may not be received. The maximum file size which CDIC is able to receive via email is 20 MB. It is highly recommended to contact the CDIC Contact Person by a separate email to the Proposal Delivery Address to advise that the Proposal has been sent and confirm CDIC’s receipt.

9. Proposal Requirements and Required Documents

1. Suppliers may submit Proposals for any one or both of the Service Streams.
2. Suppliers must comply with all requirements of this RFSO, including those identified as “must” or “shall”, including, but not limited to, the requirement to:
 - i) submit information in support of each Rated Requirement listed in Appendix “C-1” (Technical Offer Form) and Appendix “C-2” (Reference Engagement Form(s));
 - ii) comply with all requirements under Schedule “D” (Financial Offer); and
 - iii) submit a Technical Offer and a Financial Offer (including all forms listed in Schedule “E” (Mandatory Proposal Forms), completed as required).
3. Where a Supplier fails to include any of the required information or documents in its Proposal, CDIC may, in CDIC’s sole and absolute discretion (but provided that it treats all Suppliers in the same manner):
 - i) require the submission of such information or documents within a prescribed timeframe satisfactory to CDIC; and
 - ii) reject or refuse to consider any Proposal from a Supplier who fails to comply with any such submission requirement.

10. Evaluation and Selection

Proposals will be evaluated in accordance with the competitive process set out in Schedule "B" (Evaluation and Selection Process), leading to the selection of successful Suppliers, each to enter into a Professional Services Agreement with CDIC.

Each successful Supplier will be notified via email to the contact person at the email address provided by the Supplier in Schedule "C" (Technical Offer).

Following the entering into of Professional Services Agreements with the successful Suppliers resulting from this RFSO, CDIC will inform unsuccessful Suppliers, via email to the contact person at the email address provided by each such Supplier in Schedule "C" (Technical Offer), of the results of the RFSO process for their Proposals.

11. CDIC's Reserved Rights

Notwithstanding anything to the contrary in this RFSO, CDIC reserves the right in its sole and absolute discretion to exercise any or all of the following rights, alone or in combination with each other, to:

1. Evaluate or accept Proposals:
 - i) which in CDIC's sole and absolute discretion substantially comply with the requirements of this RFOS; or
 - ii) in whole or in part without negotiations.
2. Enter into negotiations with:
 - i) any or all Suppliers on any or all aspects of their Proposal, to ensure CDIC's operational requirements are met and to promote best value; or
 - ii) any or all Suppliers, or any prospective persons or entities capable of delivering the required services but who may not have submitted a Proposal in response to this RFSO in the event, in CDIC's sole and absolute discretion, no Proposals meet the requirements of this RFSO.
3. Conduct a best and final Proposal process:
 - i) with any or all Suppliers, in which Suppliers are invited to revise their Financial Offers in circumstances where CDIC deems it appropriate, in CDIC's sole and absolute discretion.
4. Cancel, modify, re-issue or suspend:
 - i) any aspect of this RFSO, in whole or in part, at any time, for any reason;
 - ii) the timeline for this RFSO, including without limitation the Proposal Submission Deadline stated above, and any other activity or date stipulated in this RFSO, in whole or in part, at any time, for any reason; or
 - iii) this RFSO in its current or modified form and invite Proposals from only the Suppliers who submitted Proposals in response to this RFSO, where to do so is deemed, in CDIC's sole and absolute discretion, to be in CDIC's best interests.
5. Seek clarification, validate or take into account:
 - i) independently or with the help of the Suppliers, any or all information provided by the Supplier with respect to this RFSO and, for this purpose, disclose any or all information provided by the Supplier to a third party, subject to CDIC obtaining appropriate assurances of confidentiality from those third parties.

6. Reject or refuse to consider any Proposal:

- i) if, in CDIC's sole and absolute discretion, it fails in any material respect to comply with the requirements of this RFSO;
- ii) containing false, misleading or misrepresented information;
- iii) in the event any matter causes or is likely to cause, in CDIC's sole and absolute discretion, a conflict of interest in relation to the selection of any Proposal;
- iv) from a Supplier who colludes with one or more other Suppliers in the preparation of any Proposal;
- v) from a Supplier who fails to cooperate with CDIC in any attempt by CDIC to clarify or validate any information provided by the Supplier or who fails to provide accurate and complete documentation as directed by CDIC;
- vi) from a Supplier against whom economic sanctions have been imposed by the Government of Canada;
- vii) from a Supplier with whom CDIC has previously terminated an agreement for any reason;
- viii) from a Supplier failing to have the capacity to contract with CDIC, or Her Majesty, or both; or
- ix) if deemed by CDIC, in its sole and absolute discretion, as necessary to safeguard Canada's security interests, or if the Supplier is prohibited from receiving any benefits under an agreement between Her Majesty and any other person by virtue of Section 750(3) of the *Criminal Code of Canada*.

7. Award:

- i) Professional Services Agreements in connection with Service Stream #1 and Service Stream #2, as described in Schedule "A" (Statement of Work). By entering into a Professional Services Agreement, CDIC does not guarantee that any requests for services will actually be awarded to a Standing Offer Holder; and
- ii) one or more Suppliers with Professional Services Agreement(s) for one or both Service Streams, as described in Schedule "A" (Statement of Work).

8. Waive:

- i) irregularities, informalities, non-conformity, non-compliance, omissions and defects in any Proposal where, in CDIC's sole and absolute discretion, they do not materially affect the ability of the Supplier to provide the goods or services required by this RFSO.

The exercise of any of the above rights or sub-rights of CDIC shall not be a waiver or limit the right of CDIC to exercise any other rights.

12. Limitation of Liability

1. By submitting a Proposal, the Supplier acknowledges and agrees to the requirements of this Section 12.
2. The Supplier acknowledges and agrees that in no event shall CDIC, its employees, officers, directors, consultants or advisors be liable or responsible for:
 - i) any damages, including, without limitation, direct, indirect, consequential, incidental, general, special or exemplary damages, any economic losses, any lost profits, opportunities, expenses, costs or any other losses arising out of, in connection with, or in any way related to, any Supplier's participation in this RFSO or any acts, omissions or errors, including negligence of CDIC, its employees, officers, directors, consultants and advisors; or

- ii) any actions of any Supplier in relation to CDIC, or another Supplier, or any third party, in receiving and responding to this RFSO.
- 3. Without limiting the above, expenses or costs incurred by any Supplier in any way related to or associated with this RFSO, including without limitation the preparation, submission or evaluation of Proposals, the provision of information to CDIC or CDIC's authorized representative for a determination of any Supplier's technical, managerial or financial capabilities, any expenses related to travel, and the satisfaction, fulfillment or completion of any conditions precedent to any agreement with CDIC to deliver the goods and services required by this RFSO, are a Supplier's sole responsibility and may not be charged to CDIC in any way.
- 4. Without limiting any rights CDIC may reserve elsewhere in this RFSO or may have otherwise at law, CDIC may, in its sole and absolute discretion, elect to exercise its sole and absolute discretion pursuant to this RFSO, without any liability or obligation to any Supplier.
- 5. If any Supplier is determined by a court or trade tribunal of competent jurisdiction to be entitled to compensation arising from this RFSO or from the actions of CDIC, its employees, officers, directors, consultants or advisors in relation to this RFSO, including without limitation any exercise of CDIC's sole and absolute discretion, the Supplier expressly acknowledges and agrees by submitting an Proposal that the total maximum compensation for, without limitation, any and all damages, economic losses, profits, opportunities, expenses, costs, or other losses, either individually or cumulatively, is limited to one thousand dollars (\$1,000.00 CAD).

13. Governing Law

This RFSO is governed by and construed in accordance with the laws in force in the Province of Ontario, Canada, and the federal laws of Canada applicable therein, and, subject to the jurisdiction of the Canadian International Trade Tribunal, Ontario courts have exclusive jurisdiction to hear any disputes under this RFSO.

14. Resulting Agreements

1. CDIC intends to award agreements based on the form of Professional Services Agreement attached to this RFSO as Schedule "F" (Form of Professional Services Agreement), covering Service Stream #1, and/or Service Stream #2, each for a term of approximately two (2) years, from the effective date of the resulting Standing Offer(s) to March 31, 2024, plus, at CDIC's sole discretion, two (2) options to extend for a one (1)-year term, to expire no later than March 31, 2026.

Each such Professional Services Agreement will include:

- i) the applicable portion(s) of the Statement of Work, attached to this RFSO as Schedule "A" (Statement of Work), i.e., regarding Service Stream #1 and/or Service Stream #2, as the case may be;
 - ii) any other RFSO document that CDIC deems appropriate to include as part of the resulting agreement(s); and
 - iii) the applicable documents submitted with the successful Proposal.
2. CDIC intends to have Professional Services Agreements in place with successful Suppliers by May 2022 for this 2021 Refresh. Successful Suppliers will be expected to enter into Professional Services Agreements with CDIC within fifteen (15) days of being notified of having been selected as successful Suppliers.
 3. Once CDIC has entered into a Professional Services Agreement with a successful Supplier, CDIC may, in its absolute discretion, begin requesting services from any

such firm, whether or not any Professional Services Agreements have yet been entered into with other successful Suppliers.

15. Open Standing Offer Arrangement

It is currently CDIC's intention that the standing offer arrangement established under this RFSO will be open during the term of the standing offer arrangement to allow, pursuant to the terms and conditions of a separate request for standing offers:

- i) additional firms to be selected as Standing Offer Holders under the standing offer arrangement for any one or both of the Service Streams and/or Service Categories and/or Functions described in this RSFO; and/or
- ii) qualified standing offer arrangement Suppliers to be selected to provide services in Service Streams and/or Service Categories for which they were not previously qualified under this RSFO; and/or
- iii) firms to be selected as Standing Offer Holders under this standing offer arrangement for new Service Streams and/or Service Categories that may be added by CDIC in the future.

A notice will be published once a year on www.buyandsell.gc.ca (or such other electronic tendering service utilized at the relevant time) inviting interested suppliers to submit proposals for consideration and potential award of a Standing Offer.

16. No Guarantee of Volume of Work or Exclusivity of Contract

CDIC makes no guarantee of the value or volume of work, if any, Standing Offer Holders may receive through the Standing Offer. The value and volume of Services, acquired by CDIC, if any, will depend on a variety of factors, including annual budgetary approvals.

The Professional Services Agreement executed with Suppliers will not be exclusive contracts for the provision of the described Services. CDIC may contract with others for the same or similar services to those described in this RFSO or may obtain the same or similar services internally.

17. Debriefing

After notification of the results of the RFSO process for their Proposals, Suppliers may request a debriefing. Suppliers should make the request to the CDIC Contact Person within fifteen (15) working days of receipt of the notification. The debriefing may be in writing, by telephone or by video conference. The intent of the debriefing information session is to aid Suppliers in understanding why their Proposal was not selected. Any debriefing provided is not for the purpose of providing an opportunity to challenge the procurement process.

18. Disclaimer

CDIC makes no representation or warranty as to the accuracy or completeness of any information provided by it in connection with this RFSO and disclaims all express and implied representations, warranties, and conditions in connection with this RFSO. Suppliers should make their own investigations, projections and conclusions. They should consult their own advisors to verify independently the information contained in this RFSO and to obtain any additional information that they may require, prior to submitting a Proposal.

19. No Assumptions or Conditions

All prices contained in the Financial Offer shall be fixed prices and not subject to change after award of an agreement due to incorrect assumptions or conditions made by any Supplier, whether or not these conditions or assumptions are stated in the Supplier's Proposal. To the extent a Supplier requires additional information in order to provide

fixed prices; the Supplier should seek clarification from CDIC, as described in Section 6 above, as part of this RFSO process.

20. General

1. In the event of any discrepancy, inconsistency or conflict between the wording of the English or French version of this RFSO, or any related documents, the wording of the English version shall prevail.
 2. CDIC agrees to keep in confidence any information contained in a Proposal that is clearly marked "confidential". Notwithstanding the foregoing, the submission of a Proposal by a Supplier constitutes an acknowledgement by that Supplier that CDIC is subject to the *Access to Information Act* (Canada), as amended from time to time, and that, as a consequence, CDIC may be required to disclose certain information contained in its records pursuant to a request for access made under that Act.
 3. CDIC requires any persons supplying services to or performing any work for CDIC to conduct their affairs to avoid any conflict of interest. A conflict of interest includes any situation where a Supplier has or may have an unfair advantage or where other commitments, relationships or interests could or could be seen to compromise a Supplier's performance of its obligations to CDIC. To the extent that a Supplier may be in a conflict of interest, that Supplier must include a description of such conflict of interest in its Proposal.
 4. If CDIC is of the belief that a Supplier may be in a conflict of interest, CDIC may disqualify the Proposal submitted by the Supplier or terminate any agreement with that Supplier pursuant to this RFSO.
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21. Not a Tender, No "Contract A / Contract B"

This RFSO is not an offer to enter into either a bidding contract (often referred to as "Contract A") or an agreement to acquire goods or services from the Supplier (often referred to as "Contract B"). Neither this RFSO nor a Supplier's Proposal shall create any contractual rights or obligations whatsoever on any of CDIC or any Supplier, save and except related to limitation of liability.

Supplier Proposals are revocable by Suppliers; however, CDIC is under no obligation to continue to evaluate or consider any Proposal that the Supplier seeks to modify following the Proposal Submission Deadline (including any change in pricing that is adverse to CDIC). Proposals and related information about Suppliers will be assessed during the evaluation of Proposals and accordingly, misleading or incomplete information, including withdrawn or altered Proposal information or pricing, could adversely impact any such evaluation (or result in CDIC revisiting that evaluation) and may result in disqualification, in CDIC's sole discretion.

[END OF MAIN BODY OF RFSO]

Schedule “A”

Statement of Work

1. BACKGROUND

CDIC was established in 1967 by the *Canada Deposit Insurance Corporation Act* (Canada). It is a federal Crown corporation named in Part I of Schedule III to the *Financial Administration Act* (Canada). The Corporation reports to Parliament through the Minister of Finance.

CDIC is responsible for providing insurance against the loss of part or all of deposits, and for promoting and otherwise contributing to the stability of the financial system in Canada. These objectives are pursued for the benefit of persons having deposits with CDIC member institutions and in such a manner as will minimize the exposure of CDIC to loss. CDIC is also the resolution authority for CDIC member institutions.

CDIC has in the past drawn heavily upon temporary staffing services to meet increased resource demands. These demands are variable and may be tied to the economic environment which could result in the need to increase CDIC's regular staff complement to meet priorities and objectives identified in its Corporate Plan, and to meet its mandate. For reference purposes only, for the last several years, CDIC has had on average between six (6) to ten (10) temporary resources as part of its staff complement at any one time.

For recruitment services, CDIC is always on the lookout for experienced and talented additions to the organization that reflect the full range of diversity within Canadian society. CDIC regularly uses recruitment and search services to augment its own outreach and recruitment activities. In addition, CDIC uses recruitment and search services to identify unique and specialized skillsets not readily available in the market. For reference purposes only, for the last several years, CDIC has had on average between four (4) to six (6) executive or other senior permanent and/or interim placements per year.

The numbers referenced above are for illustrative purposes only and can vary up or down in any given twelve (12)-month period. CDIC makes no guarantee of work to be assigned to a Standing Offer Holder, if any.

2. OBJECTIVES

This RFSO 2021 Refresh is an invitation issued by CDIC to prospective Suppliers to provide submissions/proposals for the delivery of Non-Executive Recruitment Services and/or Executive Search Services (the “Services”) as further described in, and in accordance with, this RFSO 2021 Refresh.

This RFSO 2021 Refresh will establish a standing offer vehicle with experienced and qualified firms (Standing Offer Holders), capable of delivering the Services, as described herein.

3. SERVICE STREAMS AND SERVICE CATEGORIES

The standing offer vehicle will incorporate two (2) Service Streams with services to be called-up on an as and when required basis through a Service Request.

In order for a Supplier to be qualified to become a Standing Offer Holder on the standing offer arrangement, the Supplier must qualify to provide services in one or both of the following two (2) Service Streams by qualifying for at least one Service Category (as set out in Appendix “A-1” (Service Stream #1 Service Categories and Functions) and Appendix “A-2” (Service Stream #2 Service Categories and Functions), under the Service Stream(s), for which the Supplier wishes to qualify:

SERVICE STREAMS
Service Stream #1: Non-Executive Recruitment Services
Service Stream #2: Executive Search Services

All Proposals submitted in response to this RFSO 2021 Refresh will be evaluated in a competitive process leading to the selection of successful Suppliers, to become Standing Offer Holders capable of delivering the Services, each to enter into a Professional Services Agreement.

3.1. Service Stream #1: Non-Executive Recruitment Services

a) Requirements and services

CDIC seeks the services of firms with expertise in expeditiously sourcing, screening, and providing top-quality candidates to fill interim and/or permanent staffing needs at various non-executive levels within the Service Categories and Functions set out in Appendix "A-1" (Service Stream #1 Service Categories and Functions), attached to and forming part of this Schedule "A" (Statement of Work), such services ("Non-Executive Recruitment Services") to include, as applicable for the respective Service Category, but not be limited to:

- i. staffing temporary and/or permanent job openings, on request and within the required time frame, with individuals who possess the necessary qualifications to perform the assignments to the fullest capacity and who reflect the full range of diversity within Canadian society;
- ii. proactively sourcing, recruiting and maintaining a network of top-quality candidates that would be available to meet CDIC's requirements;
- iii. providing regular follow-up with CDIC regarding any temporary and/or permanent positions that have not been filled, or that require replacement temporary staff, including constructive and collaborative dialogue with CDIC towards filling the position;
- iv. providing and conducting background checks and thorough past employment assessments and references for selected candidates, within a reasonable time frame prior to start date of assignment;
- v. providing detailed temporary staffing reports, periodically as requested, that may include but are not limited to data such as: names of temporary employees provided, groups and locations in which temporary employees worked, start and end dates (or anticipated end dates), billing rates, classifications, total regular hours worked, total overtime hours worked, and total amounts invoiced, etc., in addition to other ad hoc reports, as requested;
- vi. providing other related temporary and/or permanent staffing activities, as required by CDIC; and
- vii. providing a consistent, accessible, single point of contact to support the CDIC account, and allowing CDIC to interview potential replacements in the event that a change in the point of contact is required.

- b) **Service Categories and Functions** – The Service Categories and Functions of resources that CDIC may request under Service Stream #1 include those listed in Appendix "A-1" (Service Stream #1 Service Categories and Functions), attached to and forming part of this Schedule "A" (Statement of Work).

For this RFSO 2021 Refresh, two (2) additional Functions, as further identified and described in Appendix “A-1” (Service Stream #1 Service Categories and Functions) are being added.

- c) **Pricing** – The Supplier must provide in its Proposal, in Appendix “D-1” (Financial Offer for Service Stream # 1), ceiling pricing rates for the resource levels within each of the Functions that the Supplier is capable of providing and intends on offering as part of its Proposal for Service Stream #1. For clarity, such pricing will not be evaluated, but will form part of any Professional Services Agreement entered into with a Supplier.
- d) **Guarantee Non-Performance** -
If a permanent placement recruited candidate/resource does not accept nomination to a position, resigns within three (3) months of the effective date of the appointment to a position, or is terminated within three (3) months of the effective date of the appointment to a position, the Standing Offer Holder must provide one or more alternate candidates at no additional cost.

3.2. Service Stream #2: Executive Search Services

- a) **Requirements and services** – CDIC seeks the services of firms with expertise in recruiting top-quality candidates for interim and/or permanent placements, at the senior and executive level, with expertise, including in the Service Categories and Functions set out in Appendix “A-2” (Service Stream #2 Service Categories and Functions), attached to and forming part of this Schedule “A” (Statement of Work). such services (“Executive Search Services”) to include, as applicable for the respective Service Category, but not be limited to:
 - i. developing a recruitment strategy to attract superior candidates with a mix of recent and relevant experience related to the expertise required and who reflect the full range of diversity within Canadian society;
 - ii. maintaining a roster or pipeline of qualified resources to facilitate future hiring requirements;
 - iii. drafting and placing postings or advertisements for specific recruitment mandates, profiles or positions;
 - iv. identifying and qualifying candidates, and recommending short-listed candidates to CDIC, for specific recruitment mandates;
 - v. providing regular follow-up with CDIC regarding any position that has not been filled;
 - vi. developing interview guides for specific recruitment mandates;
 - vii. establishing evaluation guides for specific recruitment mandates;
 - viii. conducting reference checks / reports for specific recruitment mandates;
 - ix. assisting in the negotiation of candidate offers;
 - x. conducting psychometric testing of candidates, upon request;
 - xi. providing other relevant recruitment activities, as required by CDIC; and
 - xii. providing a consistent, accessible, single point of contact to support the CDIC account, and allowing CDIC to interview potential replacements in the event that a change of point of contact is required.

- b) **Service Categories and Functions** – The Service Categories and Functions of resources that CDIC may request under Service Stream #2 include those listed in Appendix “A-2” (Service Stream #2 Service Categories and Functions), attached to and forming part of this Schedule “A” (Statement of Work).

For this RFSO 2021 Refresh, two (2) additional Functions, as further identified and described in Appendix “A-2” (Service Stream #2 Service Categories and Functions) are being added.

- c) **Pricing – Suppliers** must provide in their Proposals, in Appendix “D-2” (Financial Offer for Service Stream # 2) ceiling pricing rates for resource levels within each of the Functions the Supplier intends on offering as part of its Proposal for Service Stream #2. For clarity, such pricing will not be evaluated, but will form part of any Professional Services Agreement entered into with a Supplier.
- d) **Guarantee Non-Performance** – If a permanent placement recruited candidate/resource does not accept nomination to a position, resigns within twelve (12) months of the effective date of the appointment to a position, or is terminated within twelve (12) months of the effective date of the appointment to a position, the Standing Offer Holder must provide one or more alternate candidates at no additional cost.

4. SERVICE REQUEST PROCESS

All services to be completed under the standing offer arrangement will result from a Service Request process. The current Service Request process is generally described below for information purposes only, and may be amended from time to time, at CDIC's sole discretion.

CDIC will issue a Service Request to one or more Standing Offer Holders describing the requirements of a specific engagement, including the required timeframe for response, any other requirements related to the Services, including vaccination requirements, as applicable, and requesting the Standing Offer Holder to propose appropriate candidates/resources to provide the services. The number of Standing Offer Holders invited to bid on an engagement is determined by CDIC, in its sole discretion, based on the dollar value of the engagement.

Only Standing Offer Holders will be notified of any engagements, be issued Service Requests, and be eligible to respond to Service Requests. If a Standing Offer Holder responds to a Service Request, it will do so at no charge to CDIC.

The Service Request may identify the resource level and Function to be quoted by the Standing Offer Holder or may request the Standing Offer Holder to propose appropriate candidates/resources to provide the services.

The Standing Offer Holder must include in its response to the Service Request, the names and resumes of each candidate that it proposes. Reference checks may be conducted on the candidate(s) proposed and such checks may be with sources other than those listed in the candidate's resume or in the Standing Offer Holder's response to the Service Request. Any other information requested in the Service Request should also be included in the Standing Offer Holder's response to the Service Request. The Standing Offer Holder will respond to the Service Request with submissions that are then evaluated by CDIC. CDIC will select the Standing Offer Holder proposing the most suitable candidate for its requirements through the issuance of a written Task Authorization, in its sole discretion.

5. LANGUAGE REQUIREMENTS

Standing Offer Holders must be able to provide resources who are proficient in English, at a minimum, and in some cases may be required to provide bilingual resources who are proficient in English and

French. CDIC will specify the language requirements for the assignment at the time of the issuance of the Service Request.

6. LOCATION OF WORK, EQUIPMENT AND INFORMATION

As the COVID-19 situation continues to evolve, future determination as to whether the work must be performed on-site or off-site will be specified in the Service Request. Where CDIC determines that the work must be performed at CDIC's offices, CDIC will advise whether the work is to be performed at its office in Ottawa, Ontario, or its office in Toronto, Ontario. In either case, CDIC is not responsible for any travel or accommodation costs.

Beyond the pandemic, in exceptional circumstances for services not requiring access to highly sensitive information, data or applications, or otherwise at CDIC's discretion, CDIC may allow for remote/off-site work by providing the qualified resource with an SRA token/password.

NOTE TO BIDDERS: For greater clarity, the location of work does not include the Standing Offer Holder's services relating to performing the recruitment and/or search activities as outlined in Schedule "A" (Statement of Work) of the RFSO. Such activities or services are expected to be performed at the Standing Offer Holder's premises, unless specifically specified by CDIC.

Resources may be required to use CDIC equipment to perform work. No information may leave CDIC premises or be transferred out of Canada.

7. WORK HOURS

Specific work hours will be included in any resultant Task Authorization. Service requirements will generally be within the following timeframe, which is applicable to both the Ottawa and Toronto locations:

- a) **Primary Hours:** 7-hour day (or portion thereof), between the hours of 8 a.m. and 6 p.m., Monday to Friday (except Statutory Holidays); and
- b) **Off Hours:** CDIC anticipates that there may be some requirements for work outside of the primary hours described above. Such requirements may arise as a result of critical project deadlines or other requirements. CDIC will provide reasonable advance notice to the Standing Offer Holder of any such requirement. Any work authorized by CDIC to be completed outside of the primary hours described above will be paid on the basis of hours actually worked, in accordance with the Standing Offer Holder's rate(s) for the resource level (as accepted by CDIC), with no allowance for over-time.

8. ELIGIBILITY TO WORK IN CANADA AND SECURITY CLEARANCE

For non-executive and executive interim placements, Standing Offer Holders must be able to supply resources who are legally entitled to work in Canada, and possess the necessary security clearance for the assignment, e.g., Reliability Status, at a minimum). CDIC may require higher security levels (e.g., Secret) for certain assignments.

CDIC will indicate the required level of security clearance for the assignment at the time of the Service Request. The Standing Offer Holder will provide proof of the required security clearance. CDIC will validate/confirm security clearances prior to authorization of any work.

For non-executive and executive permanent placements, Standing Offer Holders must be able to supply resources who are legally entitled to work in Canada and to obtain the necessary security clearances for the position. CDIC will indicate the required level of security clearance for the position at the time of the Service Request.

CDIC will validate security clearances prior to authorization of any work. Resources not currently in possession of the appropriate security clearance will request to be cleared by CDIC prior to the authorization of work, and CDIC will facilitate the obtaining of the appropriate security clearance.

9. RESOURCE AVAILABILITY AND SUBSTITUTION

For non-executive and executive interim placement services, Standing Offer Holders should ensure that the candidates/resources proposed and placed under any Task Authorization should remain available for the duration of the period stipulated in the Task Authorization, unless the resource becomes unavailable due to circumstances beyond the Standing Offer Holder's control (such as, but not necessarily limited to, illness, termination of employment with the Standing Offer Holder, or compassionate leave).

For short-term assignments (typically less than ninety (90) days), as identified under any Task Authorization, no resource substitution by the Standing Offer Holder may be permitted without CDIC's prior consent and approval.

For longer-term assignments (typically ninety (90) days or more), as identified under any Task Authorization, a maximum number of resource substitutions may be permitted by CDIC, with CDIC's prior consent and approval, as identified in the Task Authorization, depending upon the duration of the engagement.

CDIC reserves the right to require the Standing Offer Holder to replace any placed resource(s) if an individual does not meet CDIC's qualification requirements or performance expectations.

10. REPORTING

Standing Offer Holders may be requested to provide a monthly report to CDIC on all active Task Authorizations including, but not limited to:

- a) Status of work and any pending end dates, including all related applicable Task Authorizations reference numbers provided by CDIC;
- b) The name(s), role(s) and overall volume of resources engaged, and effort expended, including timesheets;
- c) Performance under any (if applicable) vendor performance management framework, including monitoring of resource turnover, and any issues and resolutions required; and
- d) An invoice including a summary of the services provided in the preceding month, and the related purchase order number(s) and Task Authorization number(s).

11. VENDOR PERFORMANCE MANAGEMENT

CDIC intends to enhance its vendor performance management process. This process may consist of new metrics, tools, reports, processes and remedies designed to effectively and efficiently measure, report on and manage the level and quality of service being provided to CDIC.

Standing Offer Holders will be expected to comply with the vendor performance management guidelines that may be issued from time to time.

If changes to performance management reporting and processes are implemented during the term of the standing offer arrangement, the Standing Offer Holders shall cooperate fully with CDIC in providing the information required and adapting existing processes to reflect the changes resulting from the implementation of these enhancements.

[END OF SCHEDULE "A" (STATEMENT OF WORK)]

Appendix "A-1"**Service Stream #1 Service Categories and Functions**

The following three (3) levels apply to all Functions in Service Stream #1 of this RFSO (unless otherwise specified):

Level 1: Junior resource (e.g., coordinator) with a minimum of one (1) year experience successfully performing the role.

Level 2: Intermediate resource (e.g., analyst) with a minimum of three (3) years' experience successfully performing the role.

Level 3: Advanced resource (e.g., specialist) with a minimum of five (5) years' experience successfully performing the role.

The following is a list of the two (2) Service Categories for Service Stream #1 applicable to this RFSO:

#	Service Category
1	Non-executive Interim Placement
2	Non-executive Permanent Placement

Service Category #1 – Non-Executive Interim Placement**Description:**

This category may include part-time or full-time employees who are and will remain on the Supplier's payroll and CDIC will be invoiced based on a fixed hourly rate.

Service Category #2 – Non-Executive Permanent Placement**Description:**

This category may include recruiting search services by the Supplier for contract or permanent positions and CDIC will be invoiced a fixed price or percentage (%) fee per search. The selected candidate will be hired by CDIC on its own payroll.

A. The following is a list of the fourteen (14) Functions for Service Stream #1 applicable to the Initial RFSO:

Function #	Service Category #1 - Functions
1	Finance
2	Legal
3	Human Resources
4	Procurement
5	Communications
6	Strategy
7	Corporate Governance
8	Internal Audit
9	Risk Management
10	Insurance
11	Compliance
12	Information Technology
13	Information Management
14	Project/Program Management

B. Additional Functions for the RFSO 2021 Refresh

The following is a list of two (2) additional Functions for Service Stream #1, applicable to this RFSO 2021 Refresh.

Function #	Service Category #1 - Functions
15	Instructional Design/Learning and Development
16	HRIS Systems/HR Analytics

[END OF APPENDIX “A-1” (SERVICE STREAM #1 SERVICE CATEGORIES AND FUNCTIONS)]

Appendix "A-2"**Service Stream #2 Service Categories and Functions**

The following four (4) executive levels apply to all Functions in Service Stream #2 of this RFSO (unless otherwise specified):

The following is a list of the three (3) Levels applicable to the Initial RFSO.

Level 1: Resource at the Director (or equivalent) level.

Level 2: Resource at the Department Head (or equivalent) level.

Level 3: Resource at the C-suite level.

The following is the additional one (1) Level applicable to this RFSO 2021 Refresh

Level 4: Board of Directors

The following is a list of the two (2) Service Categories for Service Stream #2 applicable to this RFSO:

#	Service Category
1	Executive Interim Placement
2	Executive Permanent Placement

Service Category #1 - Executive Interim Placement
Description:
This category may include part-time or full-time employees/Executives who are and will remain on the Supplier's payroll and CDIC will be invoiced based on a fixed hourly rate.

Service Category #2 – Executive Permanent Placement
Description:
This category may include recruiting search services by the Supplier for contract or permanent Executive positions and CDIC will be invoiced a fixed price or percentage (%) fee per search. The selected candidate will be hired by CDIC on its own payroll.

A. The following is a list of the fourteen (14) Functions for Service Stream #2 applicable to the Initial RFSO.

Function #	Service Category #1 - Advisory Services
1	Finance
2	Legal
3	Human Resources
4	Procurement
5	Communications
6	Strategy
7	Corporate Governance
8	Internal Audit
9	Risk Management
10	Insurance
11	Compliance
12	Information Technology
13	Information Management
14	Project/Program Management

B. Additional Functions for the RFSO 2021 Refresh

The following is a list of two (2) additional Functions for Service Stream #2, applicable to this RFSO 2021 Refresh.

Function #	Service Category #1 – Advisory Services
15	Instructional Design/Learning and Development
16	HRIS Systems/HR Analytics

[END OF APPENDIX “A-2” (SERVICE STREAM #2 SERVICE CATEGORIES AND FUNCTIONS)]

Schedule “B”

Evaluation and Selection Process

Selection Method

Without limiting Section 11, CDIC’s Reserved Rights, of the RFSO, CDIC may, in its sole and absolute discretion, reject or refuse to consider any Proposal if CDIC determines that the information, statements or supporting material in the Technical Offer or the Financial Offer are inconsistent with, or otherwise fail to respond to, any of the requirements of the RFSO.

There is no limit to the number of Suppliers that may be qualified under each Service Stream and/or Service Category.

NOTE TO BIDDERS: CDIC expects Suppliers will only submit one Proposal under the RFSO. Suppliers can do so either as a partnership (i.e., Company AB in partnership) or single entity/Supplier (i.e., Company A), but not both. The same Supplier will not be permitted to submit, or be part of, more than one Proposal.

All Proposals will be examined in accordance with the following process:

A. Annual Qualification Opportunity for Standing Offer

Step 1: Confirmation of Compliance with the Mandatory Requirements

During Step 1 evaluation, Proposals will be examined to ensure that they meet the **Mandatory Requirements** described in Schedule “C” (Technical Offer) of the RFSO. The Supplier must ensure that all Mandatory Requirements have been addressed satisfactorily in its Proposal, in order for the Proposal to proceed to Step 2 evaluation. Any Proposal that is not considered by CDIC to be in substantial compliance with all Mandatory Requirements may be disqualified.

Step 1 will consist of a review of Technical Offers for compliance with the **Mandatory Requirements** described in Schedule “C” (Technical Offer) of the RFSO, to confirm that the information, statements and supporting material in the Supplier’s Technical Offer substantiate a compliant response. Subject to CDIC’s reserved rights (including those in Section 11, CDIC’s Reserved Rights), Mandatory Requirements will be confirmed on a pass or fail basis, and Technical Offers that do not comply with any Mandatory Requirement will be considered non-compliant and will receive no further consideration.

a) Schedule “C” (Technical Offer)

As part of Step 1, CDIC will review the Supplier’s Proposal to identify if Schedule “C” (Technical Offer) has been included in the Proposal.

b) Appendix “C-1” (Technical Offer Form)

As part of Step 1, CDIC will review the Supplier’s Proposal to identify if Appendix “C-1” (Technical Offer Form) has been included in the Proposal. The Supplier must provide a detailed Technical Offer Form where the Supplier’s business experience and expertise will be evaluated as per the instructions and guidelines set out in Schedule “C” (Technical Offer).

c) Appendix “C-2” (Reference Engagement Form)

As part of Step 1, CDIC will review the Supplier’s Proposal to identify if Reference Engagement Forms for each Service Category for each Service Stream being offered by the Supplier have been included.

For clarity, the Supplier must provide three (3) completed Reference Engagement Forms for each Service Category being offered under a Service Stream in order for the Supplier's Offer for that Service Category to be qualified. If the Supplier does not include three (3) completed Reference Engagement Forms for each Service Category, its Proposal, for that Service Category only, will be disqualified.

Suppliers must qualify for at least one Service Category under a Service Stream to be qualified for the Service Stream.

d) Schedule "D" (Financial Offer)

As part of Step 1, CDIC will review the Supplier's Proposal to identify if Schedule "D" (Financial Offer) has been included in the Proposal.

e) Appendix "D-1" (Financial Offer for Services Stream #1), Appendix "D-2" (Financial Offer for Service Stream #2)

As part of Step 1, CDIC will review the Supplier's Proposal to identify if the Supplier wishes to qualify for Service Stream #1 and/or Service Stream #2, and that Appendix "D-1" (Financial Offer for Service Stream #1) and/or Appendix "D-2" (Financial Offer for Service Stream #2), respectively, as applicable, have been included in the Proposal.

Step 2: Evaluation of Rated Requirements – Business Experience and Expertise – Technical Offer Form (100 Points)

Step 2 will consist of an evaluation of the business experience and expertise of the Supplier as they relate to sourcing of resources.

- a) All Suppliers must submit one (1) completed Technical Offer Form (see Appendix "C-1" (Technical Offer Form), which will be evaluated based on the Supplier's responses to the Rated Requirements detailed in the form.

In Step 2, the Supplier will be evaluated on how the Supplier will provide qualified resources when requested by CDIC. This step will also evaluate the methodology used by the Supplier to obtain and manage resources.

The maximum points allocated for Step 2 is **100** points. A minimum score of seventy (**70**) points (70%) is required in order for the Proposal to receive a "pass" for Step 2 and proceed to Step 3 of the evaluation process (see Evaluation Point Allocation Chart in evaluation Step 4, below).

Any Proposal that scores less than the seventy (70) points required to pass Step 2 will receive a "fail" and will not proceed to Step 3 of the evaluation process.

Step 3: Evaluation of Rated Requirements – Reference Engagement Forms (300 Points)

Step 3 will consist of an evaluation of the Supplier's (not an individual resource's) technical experience and expertise as they relate to their technical experience and expertise for each Service Category in each Service Stream being proposed in its Proposal.

In Step 3, each Service Category in each Service Stream will be evaluated separately and independently from one another.

Note: All Suppliers are required to submit three (3) Reference Engagement Forms per Service Category in each Service Stream for which they are bidding.

The maximum total points allocation for Step 3 for each Service Category under a Service Stream is **300 points** (100 points for each Reference Engagement Form). Each Service Category in a Proposal must score a minimum of **210 points (70%)** to receive a “pass” for that Service Category. Any Service Category that does not receive a minimum score of **210 points** will receive a “fail”, for that Service Category only, and the Supplier will not be qualified for that Service Category.

In addition, each Reference Engagement Form in a Proposal must score a minimum of **70 points (70%)** to receive a “pass” for that Service Category. A Reference Engagement Form for any Service Category that does not receive a minimum score of 70 points will receive a “fail”, for that Service Category only, and the Supplier will not be qualified for that Service Category.

For a Supplier to qualify for a Service Stream it must qualify for at least one Service Category under that Service Stream.

a) Reference Engagement Forms

The Supplier must provide three (3) completed Reference Engagement Forms for the Service Category in the Service Stream being offered (i.e., one Reference Engagement Form for each of three (3) independent and distinct Engagements), according to the instructions contained in the form.

The Supplier must provide details in each of the three (3) Reference Engagement Forms of its experience and expertise as they relate to the particular Service Category under a Service Stream for which the Supplier is submitting a Proposal. Scoring of the Reference Engagement Forms will be based on how well the Proposal demonstrates the Supplier’s technical experience and expertise in relation to the requirements of the RFSO and the level of detail of the Reference Engagement Forms provided. Information provided elsewhere in the Proposal may not be considered in the evaluation of Step 3 of this RFSO.

The information provided in a Reference Engagement Form must reflect engagements the Supplier has **completed after January 1, 2015**, and **prior to the Proposal Submission Deadline**. Any Engagement with a completion date prior to January 1, 2015, or after the Proposal Submission Deadline will not be considered for evaluation and will be disqualified. The Engagements must directly relate to the Service Category for which the Supplier wishes to be qualified in the Service Stream(s) for which the Supplier wishes to be qualified, as described in Schedule “A” (Statement of Work) of this RFSO and offered in the Supplier’s Proposal.

If a Supplier submits fewer than the three (3) Reference Engagement Forms required for a particular Service Category in a Service Stream, the Supplier will not be qualified for that particular Service Category in that Service Stream.

Each Service Category in a Service Stream will receive an individual score for the Reference Engagement Forms that the Supplier has provided to demonstrate the Supplier’s ability to provide Services in that particular Service Category in that Service Stream.

Each of the three (3) Reference Engagement Forms required for a Service Category in a particular Service Stream will be scored independently, and each will have a maximum score of 100 points. The scores from each of the three (3) Reference Engagement Forms for a particular Service Category in a Service Stream will be added together to determine the total score for that Service Category in that particular Service Stream.

For example, the three (3) Reference Engagement Forms submitted for a particular Service Category in Service Stream #1 receive scores of 80 points, 85 points and 90 points. The three (3) scores will be added together to achieve a total score of 255 points for that particular Service Category in Service Stream #1, and will be considered to have obtained the minimum score of 210 points required for that particular Service Category in Service Stream #1.

As each Service Category will be scored separately, it is possible for a Proposal to pass Step 3 evaluation for one particular Service Category ("X"), but not for another Service Category ("Y"), if:

- (a) the three (3) Reference Engagement Forms provided for service Category ("Y"), do not achieve, in total, the minimum point score (210 points) required; or
- (b) one (1) of the three (3) Reference Engagement Forms provided for Service Category ("Y"), does not achieve the minimum point score (70 points) required.

b) Evaluation

Step 3 evaluation will be conducted in two stages:

- Stage 1 - (Evaluation of each Reference Engagement Form)
- Stage 2 - (Cumulative Total Score of all Reference Engagement Forms)

i. Stage 1 – Evaluation of Reference Engagement Forms

In this evaluation stage, each Reference Engagement Form will be evaluated separately and individually.

The maximum score for each Reference Engagement Form is 100 points.

The Supplier must demonstrate its ability to provide services in one or more Service Category under one or both of the two (2) Service Streams in Schedule "A" (Statement of Work). Three (3) Reference Engagement Forms are required for each Service Category. Each Reference Engagement Form is allocated up to 100 points. Therefore, a total maximum score of 300 points is allocated for each Service Category. A Reference Engagement Form must receive a minimum of 70 points for a Service Category in order to be considered for that Service Category.

Example Evaluation Scenario for Illustration Purposes:

REFERENCE ENGAGEMENT FORMS (REF)	SERVICE CATEGORY (SC)	
	SC #1	SC #2
REF #1	100	80
REF #2	40	90
REF #3	80	75
PASS/FAIL	Fail	Pass

Note: A Supplier must be successful in one or both of the two (2) Service Streams by qualifying in at least one (1) Service Category under a Service Stream to proceed further in the evaluation process.

ii. Stage 2 - Cumulative Total Score of all three (3) Reference Engagement Forms

The scores for each of the three (3) Reference Engagement Forms that received a "pass" in Stage 1, will be added together to determine the cumulative total score for the Service Category. A Proposal that achieves a minimum cumulative total score of 210 points (provided each individual Reference Engagement Form receives a minimum of 70 points) will pass Step 3.

Scenario #1

REFERENCE ENGAGEMENT FORMS (REF) For SC#1 Under Service Stream #1	TOTAL POINTS (per REF)	PASS / FAIL	Cumulative Total Score for SC#1	Step 3 Result for SC#1
REF #1	77	Pass	77 + 84 + 90 = 251	Pass
REF #2	84	Pass		
REF #3	90	Pass		

Scenario #2

REFERENCE ENGAGEMENT FORMS (REF) For SC#1 Under Service Stream #1	TOTAL POINTS (per REF)	PASS / FAIL	Cumulative Total Score for SC#1	Step 3 Result for SC#1
REF #1	60	Fail	60 + 75 + 70 = 205	Fail
REF #2	75	Pass		
REF #3	70	Pass		

Scenario #3

REFERENCE ENGAGEMENT FORMS (REF) For SC#1 For Service Stream #1	TOTAL POINTS (per REF)	PASS / FAIL	Cumulative Total Score for SC#1	Step 3 Result for SC#1
REF #1	75	Pass	75 + 65 + 90 = 230	Fail
REF #2	65	Fail		
REF #3	90	Pass		

Step 4: Selection of Successful Suppliers

At the conclusion of Step 3 evaluation, subject to, and without limiting any other provision in this RFSO, including Section 11, CDIC's Reserved Rights, a recommendation may be made by CDIC to award an agreement to each Supplier's that is successful in one or both Service Stream(s) to provide Services in the Service Streams that achieved a "Pass" in Stage 3. The recommendation would cover the Service Categories for which such Supplier(s) has been qualified. If the recommendation is approved, CDIC will award the agreement(s) and enter into an agreement(s) with such Supplier(s), in accordance with this RFSO.

B. Subsequent Annual Qualification Opportunities for Standing Offer

An annual notice re-inviting interested suppliers to submit proposals for consideration and potential award of a Standing Offer will be published on the second anniversary of the Initial Standing Offer arrangement, and in the event CDIC exercises available options, on the third anniversary as may be applicable, and bidders will be allowed to submit proposals at any time. However, proposals received after the Deadline for Proposals will be evaluated with the next scheduled annual evaluation. Proposals received will be evaluated in accordance with the process described in Part A above.

NOTE TO EXISTING QUALIFIED STANDING OFFER HOLDERS:

Qualified Standing Offer Holders are not required to resubmit a Technical Offer in response to annual qualification opportunities unless such Standing Offer Holders are seeking to be selected to provide services in Service Streams and/or Service Categories for which they were not previously qualified under the Initial RSFO.

For additional clarity, existing SO Holders seeking to be selected to provide services in any additional Functions for which they have not previously qualified, are required to provide all applicable rates by fully completing and submitting Appendix "D-1" (Financial Offer for Service Stream #1 and/or Appendix "D-2" (Financial Offer for Service Stream #2.

Existing SO Holders seeking to be selected to provide services in any Service Stream and/or Service Category for which they have not previously qualified are required to submit a Technical Offer and a Financial Offer to be given consideration.

Evaluation Point Allocation Chart

The following tables show a summary of the evaluation steps and methodology for this RFSO:

Steps	Evaluation Criteria	Maximum Points	Minimum Points Required
1	Mandatory Requirements	Pass	Pass
2	Technical Offer Form Business Experience and Expertise in Sourcing of Resources (Rated Requirements) (Appendix "C-1")	100 points	Pass 70 points (70%)
3	Reference Engagement Forms Technical Experience and Expertise (Rated Requirements) (Appendix "C-2")	300 points (100 points for each Reference Engagement Form)	Pass Total of 210 points (70 minimum points for each Reference Engagement Form)

Scoring Methodology

The following is the basis for the scoring method that will be applied to the Rated Requirements in Appendix "C-1" (Technical Offer Form) and Appendix "C-2" (Reference Engagement Form).

Score	Rationale
5	Exceptional - fully meets and/or exceeds CDIC's requirement. No weaknesses exist. A comprehensive response with no significant gaps.
4	Very Good - substantially meets CDIC's requirement. Strengths exceed weaknesses, and weaknesses are easily correctable.
3	Acceptable - meets the basic requirement of CDIC. There may be strengths or weaknesses, or both. Weaknesses do not significantly impact the requirements and are correctable.
2	Marginal - falls short of meeting the basic requirement of CDIC. Weaknesses exceed strengths and will be difficult to correct.
1	Unacceptable - minimal response, e.g., statement of compliance with no substantiation. Noted deficiencies are expected to be very difficult to correct or are not correctable.
0	Unresponsive - no relevant response / unsatisfactory.

[END OF SCHEDULE "B" (EVALUATION AND SELECTION PROCESS)]

Schedule "C"**Technical Offer**

Technical Offer should be accompanied by Appendix "C-1" (Technical Offer Form) and all applicable Appendix "C-2" (Reference Engagement Form(s)), for each Service Category being offered under the Service Stream(s).

TECHNICAL OFFER

LEGAL NAME OF SUPPLIER: _____

ADDRESS: _____

CONTACT NAME: _____

TELEPHONE: _____

EMAIL: _____

SOLICITATION NUMBER: **CDIC RFSO 2020-2532 – 2021 REFRESH**

TITLE: **Non-Executive Recruitment and Executive Search Services**

1. The undersigned, as the authorized representative of the bidder (hereinafter referred to as the **Bidder**) hereby offers to the Canada Deposit Insurance Corporation ("**CDIC**") all necessary goods, services, labour, superintendence, supplies and facilities, and pursuant to the above solicitation, warrants and certifies:

- i) It has not, directly or indirectly, paid or agreed to pay, and will not, directly or indirectly, pay, a contingency fee to any individual for the solicitation, negotiation or obtaining of the Agreement if the payment of the fee would require the individual to file a return under section 5 of the *Lobbying Act*; and
- ii) It has not been convicted of an offence under section 121, 124 or 418 of the *Criminal Code* other than an offence for which a pardon has been granted.
- iii) That all personnel under the resulting Contract who will access CDIC workplaces where they may come into contact with employees (the "CDIC assigned personnel") will be:
 - a) fully vaccinated against COVID-19 with Health-Canada-approved vaccine(s); or
 - b) for CDIC assigned personnel that are unable to be vaccinated due to certified medical contraindication, religion or other prohibited grounds of discrimination under the *Canadian Human Rights Act*, subject to accommodation and mitigation measures that have been presented to and approved by CDIC;

until such time that CDIC indicates that the vaccination requirements are no longer in effect.

- iv) It has notified all CDIC assigned personnel of the vaccination requirements and certifies to their compliance with this requirement.

2. Ability to Provide Deliverables

The Bidder has carefully examined the RFSO documents and has a clear and comprehensive knowledge of the Services required. The Bidder represents and warrants its ability to provide the Services in accordance with the requirements of the RFSO for the rates set out in its proposal.

3. Acknowledgment of Non-Binding Procurement Process

The Bidder acknowledges that the RFSO process will be governed by the terms and conditions of the RFSO and that, among other things, such terms and conditions confirm that this procurement process does not constitute a formal, legally binding bidding process (and for greater certainty, does not give rise to a contract, a bidding process contract), and that no legal relationship or obligation regarding the procurement of any good or service will be created between CDIC and the Bidder unless and until CDIC and the Bidder execute a written agreement for the Services.

4. No Prohibited Conduct

The Bidder declares that it has not engaged in any conduct prohibited by this RFSO.

5. Conflict of Interest

The Bidder must declare all potential Conflicts of Interest. This includes disclosing the names and all pertinent details of all individuals (employees, advisers, or individuals acting in any other capacity) who (a) participated in the preparation of the proposal; **AND** (b) were employees of CDIC within twelve (12) months prior to the Deadline for Proposals.

If the box below is left blank, the Bidder will be deemed to declare that (a) there was no Conflict of Interest in preparing its proposal; and (b) there is no foreseeable Conflict of Interest in performing the contractual obligations contemplated in the RFSO.

Otherwise, if the statement below applies, check the box.

- ☐ The Bidder declares that there is an actual or potential Conflict of Interest relating to the preparation of its proposal, and/or the bidder foresees an actual or potential Conflict of Interest in performing the contractual obligations contemplated in the RFSO.

If the Bidder declares an actual or potential Conflict of Interest by marking the box above, the Bidder must set out below details of the actual or potential Conflict of Interest:

6. Disclosure of Information

The Bidder hereby agrees that any information provided in this proposal, even if it is identified as being supplied in confidence, may be disclosed where required by law or by order of a court or tribunal. The Bidder hereby consents to the disclosure, on a confidential basis, of this proposal by CDIC to the advisers retained by CDIC to advise or assist with the RFSO process, including with respect to the evaluation this proposal.

By signing this Form the Bidder represents that the above information is true as of the date indicated below and will continue to be true for the duration of any resulting Contract. Bidder understands that the certifications provided to CDIC are subject to verification at all times, and further understands that CDIC will declare a contractor in default, if a certification is found to be untrue, whether made knowingly or unknowingly, during the solicitation or contract period. CDIC reserves

the right to ask for additional information to verify the certifications. Failure to comply with any request or requirement imposed by CDIC will constitute a default under any resulting Contract.

Bidder Signature

Date

Print Name

Title

I have authority to bind the Bidder.

PROPOSALS WHICH DO NOT CONTAIN THE REQUESTED DOCUMENTATION MAY BE DEEMED NON-COMPLIANT.

Technical Offer Requirements and Evaluation

A. Mandatory Requirements

M1.	Supplier must submit a completed <u>Schedule "C"</u> (Technical Offer).
M2.	Supplier must submit the <u>Appendix "C-1"</u> (Technical Offer Form) – One per Offer.
M3.	Supplier must complete and submit three (3) Reference Engagement Forms (see <u>Appendix "C-2"</u> (Reference Engagement Form)) for each Service Category being offered under the applicable Service Stream.
M4.	Suppliers must submit a completed <u>Schedule "D"</u> (Financial Offer).
M5.	Supplier must submit a completed <u>Appendix "D-1"</u> (Financial Offer for Service Stream #1) and/or <u>Appendix "D-2"</u> (Financial Offer for Service Stream #2).

B. Technical Offer Requirements

CDIC will evaluate the Supplier's Technical Offer and assign points, for each of the Service Stream(s) the Supplier is offering as part of its Proposal, based in part on how the Supplier demonstrates its knowledge and experience in response to the Rated Requirements identified in the Technical Offer Form, below.

For clarity, the detail and information requested in each Rated Requirement should be provided for each of the Service Stream(s) the Supplier is offering as part of its Proposal.

All requested information should be provided in Appendix "C-1" (Technical Offer Form), below.

[END OF SCHEDULE "C" (TECHNICAL OFFER)]

Appendix "C-1"**Technical Offer Form**

Instructions: The bidder must not alter the format of the table below in any way, other than to remove highlighted text and add hard returns to provide responses. Columns and rows are not to be added or deleted. The maximum number of words is an absolute maximum and should be adhered to whether a Supplier is submitting for one or both Service Streams.

1. Supplier Information – Supplier should provide the following information (Not Scored):	
a) Supplier's Legal Name:	[Insert legal name]
b) Number of years Supplier has been in business:	[Insert years in business]
c) Number of employees employed by the Supplier (identify the number of full-time, part-time and contract personnel):	
(Maximum of 150 words)	
d) Description of the corporate history of Supplier, including any acquisitions or divestitures over the last ten (10) years:	
(Maximum of 300 words)	
e) Location of each of the Supplier's offices, including number of staff at each location and their primary functions:	
(Maximum of 150 words)	

2. The Supplier must identify the Service Stream(s) it will offer by placing an ☐ in one or both of the applicable boxes.

☐ 1. Service Stream #1: Non-Executive Recruitment Services

☐ 2. Service Stream #2: Executive Search Services

3. Rated Requirements – (Maximum Total Points - 100)

RR #1 – Organizational Experience – (Maximum assigned points – 10)

Supplier should clearly describe the organization's knowledge, qualifications and expertise in relation to the Service Stream(s) the Supplier is offering as part of its Proposal.

Supplier should provide, at a minimum, a clear description of how such knowledge, qualifications and expertise are aligned with CDIC's requirements for each Service Stream(s) the Supplier will be offering as part of its Proposal.

A #1: (Maximum of 750 words)

RR #2 – Understanding and Approach – (Maximum assigned points – 15)

Supplier should describe its understanding of CDIC's requirements in relation to the Service Stream(s) the Supplier is offering as part of its Proposal, and the Supplier's approach to meeting CDIC's requirements.

In its description, Supplier should clearly demonstrate:

- a) how the Supplier plans to establish and maintain an effective working relationship with CDIC;*
- b) how the Supplier will collaborate with CDIC and manage feedback and changes; and*
- c) how the Supplier will handle any issues that may arise between the Supplier and CDIC.*

A #2 (Maximum of 500 words)

RR #3 – Key Personnel Qualifications – (Maximum assigned points - 15)

In relation to the services described under the Service Stream(s) the Supplier is offering as part of its Proposal, the Supplier should:

- a) clearly identify the proposed key client relationship lead(s), including who will serve as the key point of contact for each applicable Service Stream, and clearly describe any other roles and resources that would be involved in providing such services to CDIC;*
- b) for the key client relationship lead(s) that the Supplier proposes to assign to CDIC for the delivery of the Services identified in the RFSO, and for any proposed alternate, provide a resumé that includes the number of years of experience providing services such as those required for the engagement with CDIC, including specific examples of experience; and*

- c) *clearly identify and describe the Supplier's approach to maintaining its relationship with CDIC in the event that a change of key client relationship lead(s) and/or key point of contact occurs, on either an interim or an ongoing basis.*

NOTE TO BIDDERS: CDIC will accept professional biographies or formal resumes for the proposed key client relationship lead(s) as long as the Suppliers provide the requested information set out in RR#3(b).

A #3 (Maximum of 500 words, excluding resumé(s))

RR #4 – Methodology, Tools and Processes – (Maximum assigned points - 20)

Supplier should describe the methodology and tools that will be used by the Supplier to meet CDIC's requirements for the Service Stream(s) the Supplier will be offering as part of its Proposal.

A #4 (Maximum of 500 words)

RR #5– Ongoing Access to Candidates / Resources – (Maximum assigned points - 10)

Supplier should clearly describe, for each of the Service Stream(s) it is offering as part of its Proposal:

- a) *how the Supplier will retain ongoing access to qualified candidates that reflect the makeup of Canada's diverse society to meet immediate and future CDIC requirements; and*
- b) *how the Supplier will cover for any absences and/or departures of resources during the performance of the Services (e.g., Guarantee Non-Performance).*

A #5 (Maximum of 500 words)

RR #6 – Performance / Service Levels – (Maximum assigned points - 15)

Supplier should clearly describe, for each of the Service Stream(s) the Supplier is offering as part of its Proposal, the processes the Supplier has in place that will measure the Supplier's organization's performance and service levels to meet CDIC's requirements.

A #6 (Maximum of 500 words)

RR #7 – Quality Assurance – (Maximum assigned points – 15)

Supplier should clearly describe:

- a) Supplier's current internal quality assurance process to evaluate overall service of resources sourced and/or placed by the Supplier; and*
- b) the process for providing any feedback to CDIC derived from the process described in a) above.*

A #7 (Maximum of 500 words)

[END OF APPENDIX "C-1" (TECHNICAL OFFER FORM)]

Appendix “C-2”

Reference Engagement Form Requirements / Instructions

Note: To be eligible, Reference Engagement Forms must profile only Reference Engagements that were completed after January 1, 2015, and prior to the Proposal Submission Deadline. Any engagement with a completion date prior to January 1, 2015, or after the Proposal Submission Deadline will not be considered for evaluation and will be disqualified.

1. The Reference Engagement Form below must be completed for each Reference Engagement being profiled. In order to ensure that the Supplier has an opportunity to attain a high score for the Reference Engagement Form, detailed responses must be provided to each of the Rated Requirements set out in the Reference Engagement Form.
2. A separate Reference Engagement Form must be used for each proposed Service Category under a Service Stream using only the Service Category name referred to in this RFSO, for which the Supplier wishes to be qualified. The Supplier must identify, in Section 1 of the Reference Engagement Form, both the Service Stream and Service Category to which the Reference Engagement Form applies by placing an (X) in the box beside the name of the Service Stream, and an (X) in the box beside the applicable Service Category to which the Reference Engagement Form relates, respectively. If the Supplier places an (X) in more than one box for a Service Stream and/or in more than one box for a Service Category, CDIC will only evaluate the Reference Engagement indicated by the first box for a Service Stream and/or Service Category, respectively, in which an (X) appears. **Suppliers should also identify at least one (or more) relevant Function(s) by placing an (X) in the box beside the name of the Function(s).**
3. In order to meet Step 3: Evaluation of Rated Requirements – Technical Experience and Expertise, of Schedule “B” (Evaluation and Selection Process) (referred to herein as “Step 3 Requirements”), a Supplier submitting a Proposal for any one of the two (2) Service Streams shall include in its Proposal three (3) Reference Engagement Forms for each Service Category being offered under a Service Stream.

For example:

- (i) A Supplier submitting a Proposal for Service Category #1 (Non-Executive Interim Placement) under Service Stream #1 and Service Category #2 (Executive Permanent Placement) under Service Stream #2 must, in order to meet Step 3 Requirements for a Service Category, submit three (3) Reference Engagement Forms for each Service Category – for a total of six (6) Reference Engagement Forms.
 - (ii) A Supplier submitting a Proposal for both Service Categories under Service Stream #1 and both Service Categories under Service Stream #2, must, in order to meet Step 3 Requirements for all of the Service Categories, submit three (3) Reference Engagement Forms for each Service Category – for a total of twelve (12) Reference Engagement Forms.
4. Only three (3) Reference Engagement Forms may be submitted for each Service Category under a Service Stream. If a Supplier submits more than three (3) Reference Engagement Forms for the same Service Category under a Service Stream in its Proposal, CDIC will only evaluate the first three (3) Reference Engagement Forms submitted for a Service Category under a Service Stream, in the order in which they appear in the Proposal.
5. A Supplier **may not** submit the same Reference Engagement Form for more than one (1) Service Category. Each of the three (3) Reference Engagement Forms submitted for a Service Category must be for different Engagements. Also, **an Engagement may only be profiled once in a Supplier’s Proposal**. If the Supplier does profile the same Engagement more than once, CDIC will only evaluate the Engagement in the first Reference Engagement Form in which it appears.

6. The "Supplier's **Legal Name**" provided in the Reference Engagement Form should be the same legal name provided in Schedule "C" (Technical Offer).
7. The Supplier should carefully review and follow the instructions set out in the RFSO, including, without limitation, in this Appendix "C-2" (Reference Engagement Form) when completing a Reference Engagement Form. The Supplier should consider the definition of Reference Engagement, in Section 4, Summary of Key RFSO Dates and Defined Terms, of this RFSO, and the meaning contained therein.

Reference Engagement Forms submitted in a Supplier's Proposal should detail a Reference Engagement that has been completed and that the Supplier has chosen to profile as described in the evaluation of Step 3 Requirements. Reference Engagements that are eligible to be profiled are only those Reference Engagements carried out by:

- (a) the Supplier, where the Supplier retained responsibility for and control over the work of its personnel and/or its subcontractors in relation to the Reference Engagement; or
 - (b) if the Supplier is a partnership, any partner forming part of the partnership, where that partner retained responsibility for and control over the work of the partnership's personnel and/or its subcontractors in relation to the Reference Engagement.
8. The Supplier **must not** indicate "on-going" or other similar wording to describe a Reference Engagement that has not yet been completed as the Reference Engagement End Date in the Reference Engagement Form. A firm completion date (i.e., the day, month and year) must be shown for the Reference Engagement that has been completed. Any Reference Engagement Form with an "on-going" completion date will not be considered for evaluation.

For clarity, a Reference Engagement that is still on-going as at the Proposal Submission Deadline is not considered by CDIC to be eligible to be profiled and **will not** be considered for evaluation.

9. Engagement Profiles may be for Reference Engagements conducted for clients in either the public or private sector.
10. For Service Category #1 (Non-Executive Interim Placement) under Service Stream #1, and Service Category #1 (Executive Interim Placement) under Service Stream #2, total level of effort for a given Service Category within a Reference Engagement Form must be a minimum of 20 working days (7 hours equals 1 working day) level of effort.

For clarity, this requirement to provide the total level of effort, does not apply to Reference Engagement Forms for Service Category #2 (Non-Executive Permanent Placement) under Service Stream #1, and Service Category #2 (Executive Permanent Placement) under Service Stream #2.

11. Each Reference Engagement Form provided must thoroughly demonstrate the Supplier's experience and ability to perform the services with respect to the particular Service Category for which it wishes to be qualified within the Service Stream being proposed. The Supplier must describe **in detail** the evidence of its skills with respect to at least one Function under the Service Category being profiled.
12. Without limiting the reserved rights of CDIC to check sources other than the references provided by the Supplier, CDIC, in its sole discretion, may, during the RFSO evaluation process, confirm the Supplier's experience and/or ability to undertake the engagement/provide the Services required and described in its Proposal by contacting the named Engagement reference identified in the Reference Engagement Form to verify the information provided.

Reference Engagement Form

NOTE: Three (3) Reference Engagement Forms to be completed for each Service Category.

Instructions: The bidder must not alter the format of the table below in any way, other than to remove highlighted text and add hard returns to provide responses. Columns and rows are not to be added or deleted. The maximum number of words is an absolute maximum and should be adhered to whether a Supplier is submitting for one or both Service Streams.

Supplier's Legal Name:	[Insert legal name]
------------------------	---------------------

1. The Supplier must identify the Service Stream and Service Category (SC) profiled in this Reference Engagement (ONLY one (1) Service Stream and one (1) Service Category per Reference Engagement) by placing an ☒ in the applicable boxes.

Service Stream (SS)	
<input type="checkbox"/> 1. Service Stream #1: Non-Executive Recruitment Services	<input type="checkbox"/> 2. Service Stream #2: Executive Search Services
Service Category (SC)	
<input type="checkbox"/> 1. Non-Executive Interim Placement (SS#1)	<input type="checkbox"/> 1. Executive Interim Placement (SS#2)
<input type="checkbox"/> 2. Non-Executive Permanent Placement (SS#1)	<input type="checkbox"/> 2. Executive Permanent Placement (SS#2)

For each Service Category (SC), the Supplier should identify one or more Function(s) profiled in this Reference Engagement by placing an ☒ in the applicable box

☐ 1. Finance☐ 2. Legal☐ 3. Human Resources☐ 4. Procurement☐ 5. Communications☐ 6. Strategy☐ 7. Corporate Governance☐ 8. Internal Audit☐ 9. Risk Management☐ 10. Insurance☐ 11. Compliance☐ 12. Information Technology☐ 13. Information Management☐ 14. Project/Program Management

The following is a list of two (2) additional Functions applicable to this RFSO 2021 Refresh.

☐ 15. Instructional Design / Learning and Development☐ 16. HRIS Systems/HR Analytics

2. Engagement Reference - Client (Company) Contact Information

Client (Company) Name:	
Client (Company) Address:	
Client Contact Person Name:	
Title:	
Telephone #:	
Email:	

3. Engagement Profile Details

Engagement name:			
Engagement Start Date: (mm/dd/yyyy) (e.g., 07/01/ 2017)		Total Level of Effort (Days): (7 hours equals 1 working day)	_____ Days
Engagement End Date: (mm/dd/yyyy) (e.g., 12/31/ 2018)		ONLY applicable to Reference Engagement Forms for Service Category #1 under Service Stream #1 and Service Category #1 under Service Stream #2.	

4. Rated Requirements – (Maximum total - 100)**RR #1 – Scope and Type of Services – (Maximum Assigned Points – 20)**

Supplier should clearly describe in detail, the scope and type of services provided, including any similarities / relevance between the client's and CDIC's organization.

The details should clearly demonstrate the Supplier's experience and expertise in providing the respective Service Category Services described in Schedule "A" (Statement of Work) and Appendix "A-1" (Service Categories and Functions), for the Service Category the Supplier is offering as part of its Proposal, and for which this Reference Engagement is submitted:

NOTE: The Supplier should also clearly describe relevance to the scope of at least one Function as described in Appendix "A-1" (Service Stream #1 Service Categories and Functions) or Appendix "A-2" (Service Stream #2 Service Categories and Functions), as applicable.

A #1 [Insert detailed description of services – Maximum of 500 words]

<p>RR #2 – Advertising and Outreach – (Maximum Assigned Points – 30)</p> <p>Supplier should clearly describe, in detail, the advertising and outreach strategy used to find the shortlisted and successful candidate(s) for this Engagement.</p> <p>The Supplier should also clearly describe how the outreach strategy was inclusive of candidates that reflect the makeup of Canada's diverse society.</p> <p>A #2 <i>[Insert detailed description of advertising and outreach - Maximum of 500 words]</i></p>
<p>RR #3 – Screening and Assessment – (Maximum Assigned Points – 20)</p> <p>Supplier should clearly describe, in detail, the approach used to conduct the screening and assessment (pre-vetting) of shortlisted and successful candidate(s) for this Engagement.</p> <p>A #3 <i>[Insert detailed description of screening and assessment - Maximum of 500 words]</i></p>
<p>RR #4 – Success Factors – (Maximum Assigned Points – 30)</p> <p>Supplier should clearly describe, in detail, the creative and innovative approach to ensuring the search for the position/Engagement was successful, while efficient and cost effective.</p> <p>The Supplier should also clearly describe how the approach used was designed to be inclusive of candidates that reflect the makeup of Canada's diversity society.</p> <p>A #4 <i>[Insert detailed description of success factors - Maximum of 500 words]</i></p>

[END OF APPENDIX "C-2" (REFERENCE ENGAGEMENT FORM)]

Schedule "D"**Financial Offer****FINANCIAL OFFER****LEGAL NAME OF SUPPLIER:** _____**ADDRESS:** _____**CONTACT NAME:** _____**TELEPHONE:** _____**EMAIL:** _____**SOLICITATION NUMBER:** **CDIC RFSO 2020-2532 – 2021 REFRESH****TITLE:** **Non-Executive Recruitment and Executive Search Services**

The undersigned supplier (hereinafter referred to as the "**Supplier**") hereby offers to perform and complete the work at the place, and in the manner set out in accordance with the documents specified in the RFSO and any additional documents or information submitted as part of its Technical Offer, and at the prices specified in Appendix "D-1" (Financial Offer for Service Stream #1) and/or Appendix "D-2" (Financial Offer for Service Stream #2), as applicable.

1. Place of Residence Information1.1 Supplier **Resident** of Canada for Canadian tax purposes ☐1.2 Supplier **Non-resident** of Canada for Canadian tax purposes ☐

If not specified, the Supplier will be deemed to represent and warrant that it is a resident of Canada for Canadian tax purposes.

By signing this Form, the Supplier represents that the above information is accurate.

Signature_____
Date_____
Print Name_____
Title

The following documents are to be included with the Financial Offer: Appendix "D-1" (Financial Offer for Service Stream #1) (completed) and/or Appendix "D-2" (Financial Offer for Service Stream #2) (completed), as applicable.

PROPOSALS WHICH DO NOT CONTAIN THE REQUESTED DOCUMENTATION MAY BE DEEMED NON-COMPLIANT.

Financial Offer Requirements and Evaluation

1. Financial Offer Requirements

- 1.1 Financial Offers should include Schedule "D" (Financial Offer). Financial Offers should also include Appendix "D-1" (Financial Offer for Service Stream #1) and/or Appendix "D-2" (Financial Offer for Service Stream #2), as applicable.
- 1.2 The Supplier must submit Financial Offer(s) in Canadian dollars and exclusive of Canadian Goods and Services Tax (GST), Harmonized Sales Tax (HST), and/or provincial sales taxes (PST), as applicable.
- 1.3 The Supplier must provide all-inclusive ceiling rates/prices necessary to deliver all Services related to each Service Category under each Service Stream, as set out in Schedule "A" (Statement of Work), that the Supplier is offering as part of its Proposal, and as outlined in Appendix "D-1" (Financial Offer for Service Stream #1) and Appendix "D-2" (Financial Offer for Service Stream #2), as applicable, which shall be payable as per Appendix "B" of the Professional Services Agreement, attached to the RFSO as Schedule "F" (Form of Professional Services Agreement).

Rates shall include all labour, materials, photocopies, telephone charges, overhead, profit and all other fees, expenses and costs associated with providing the services, as set out in Schedule "A" (Statement of Work), unless expressly excluded by CDIC in this Schedule "D" (Financial Offer), and excluding any applicable Pre-Approved Expenses.

2. Commercially Reasonable Rates

- 2.1 Without limiting Section 11, CDIC's Reserved Rights, of the RFSO, where a Supplier submits rates that are considered to be, in CDIC's sole and absolute discretion, commercially unreasonable, CDIC may deem the rates non-compliant and reject the Financial Offer.

3. Non-Resident Suppliers

- 3.1 Any Supplier who is a non-resident of Canada for tax purposes shall clearly state this fact in its Financial Offer; otherwise, the Supplier shall be deemed to have represented that it is a resident of Canada for tax purposes.

4. Mathematical Errors

In assessing Financial Offers, subject to Section 11, CDIC's Reserved Rights, of the RFSO, any Supplier affected by mathematical errors identified by CDIC may be contacted for clarification.

5. Pricing Tables/Forms

Suppliers submitting under one or both Service Categories under Service Stream #1 and/or Service Stream #2 should provide all applicable ceiling rates/prices by fully completing Appendix "D-1" (Financial Offer for Service Stream #1) and/or Appendix "D-2" (Financial Offer for Service Stream #2) respectively, as applicable.

Suppliers that do not provide an applicable form for a particular Service Stream will not be qualified for Service Requests under that Service Stream.

[END OF SCHEDULE "D" (FINANCIAL OFFER)]

Appendix “D-1”**Financial Offer for Service Stream #1**

In Table A, below, the Supplier should provide all-inclusive ceiling hourly rates for all levels they are qualified to offer for Service Category #1 (Non-Executive Interim Placement) within each of the listed Functions for Service Stream #1: Non-Executive Recruitment Services.

For clarity, the information in Table A below will not be evaluated. However, only Standing Offer Holders that have provided a rate for a particular level within a Function may be qualified for that level/Function under a Service Request.

By submitting a rate for a level within a Function, the Supplier attests to being able to provide resources for the Function and that specific level.

The following is a list of the fourteen (14) Functions for Service Stream #1 from the Initial RFSO.

Table A: Service Category #1 – Non-Executive Interim Placement

Function #	Service Category #1 - Functions	Level 1	Level 2	Level 3
1	Finance	\$	\$	\$
2	Legal	\$	\$	\$
3	Human Resources	\$	\$	\$
4	Procurement	\$	\$	\$
5	Communications	\$	\$	\$
6	Strategy	\$	\$	\$
7	Corporate Governance	\$	\$	\$
8	Internal Audit	\$	\$	\$
9	Risk Management	\$	\$	\$
10	Insurance	\$	\$	\$
11	Compliance	\$	\$	\$
12	Information Technology	\$	\$	\$
13	Information Management	\$	\$	\$
14	Project/Program Management	\$	\$	\$

The following is a list of two (2) additional Functions for Service Stream #1 applicable to this RFSO 2021 Refresh.

Function #	Service Category #1 - Functions	Level 1	Level 2	Level 3
15	Instructional Design / Learning and Development	\$	\$	\$
16	HRIS Systems/HR Analytics	\$	\$	\$

Service Category #2 – Non-Executive Permanent Placement

The Supplier should provide either an all-inclusive flat ceiling fee rate in Table B, below, or a fixed percentage (%) rate in Table C, below, necessary to deliver the services in respect of Service Category #2 (Non-Executive Permanent Placement) of a candidate under Service Stream #1, as set out in Schedule "A" (Statement of Work). For clarity, Suppliers should complete either Table B (All-Inclusive Flat Ceiling Fee Rate) or Table C (Fixed Percentage (%) Rate), not both.

For clarity, the all-inclusive flat ceiling fee rate (Table B) or fixed percentage (%) rate (Table C), as applicable, provided below, will not be evaluated.

NOTE: The Supplier must not alter the format of the tables below in any way. Columns and rows are not to be added or deleted.

Table B: All-Inclusive Flat Ceiling Fee Rate

Item	All-Inclusive Flat Ceiling Fee Rate
1	\$

Table C: Fixed Percentage (%) Rate (of the total annual salary per search within the applicable position/role)

Item	Fixed Percentage (%) Rate
1	%

Additionally, Suppliers must provide a breakdown in Table D, below, of the milestone payment schedule for the all-inclusive flat ceiling fee rate provided in Table B or the fixed percentage (%) rate provided in Table C, as applicable, for each milestone payment in respect of the permanent placement, as identified in Table B or Table C, above, on a percentage basis. For clarity, the milestone percentages provided in Table D will not be evaluated.

Table D: Milestone Percentage Payment Schedule*

Phase	Milestone Payment Schedule	All-Inclusive Flat Ceiling Fee Rate	Fixed Percentage (%) Rate
		% of the Fees Due	% of the Fees Due
1	Upon commencement of the search	%	%
2	30 days after commencement of the search	%	%
3	60 days after commencement of the search	%	%
4	Upon acceptance of offer by the selected candidate	%	%

***Complete only the column for All-Inclusive Flat Ceiling Fee Rate or the column for Fixed Percentage (%) Rate, not both.**

Guarantee Non-Performance

By completing and submitting Table B or Table C, and Table D, above, of Appendix “D-1” (Financial Offer For Service Stream #1), Suppliers attest that if a recruited candidate/resource does not accept nomination to a position, resigns within three (3) months of the effective date of the appointment to a position, or is terminated within three (3) months of the effective date of the appointment to a position, the Standing Offer Holder must provide one or more alternate candidates at no additional cost.

Table E: Conversion Fee (Optional)

The Supplier should provide, in Table E, below, its fee (“Conversion Fee”), if any, if CDIC hires an Assigned Person as an employee of CDIC while the Assigned Person is completing his or her work assignment as set out in a Task Authorization.

The Conversion Fee, if applicable, should not be greater than the Supplier's all-inclusive flat ceiling rate fee (provided in Table B) or fixed percentage fee (provided in Table C), as the case may be. For clarity, although the Conversion Fee will not be evaluated, CDIC reserves the right to include the Supplier's Conversion Fee, as it may be adjusted, in any resulting agreement with the Supplier.

Conversion Fee
(Maximum of 300 words)

[END OF APPENDIX “D-1” (FINANCIAL OFFER FOR SERVICE STREAM #1)]

Appendix "D-2"**Financial Offer for Service Stream #2**

In Table A, below, the Supplier should provide all-inclusive ceiling hourly rates for all levels they are qualified to offer for Service Category #1 (Executive Interim Placement) within each of the listed Functions for Service Stream #2: Executive Search Services.

For clarity, the information in Table A below will not be evaluated. However, only Standing Offer Holders that have provided a rate for a particular level within a Function may be qualified for that level/Function under a Service Request.

By submitting a rate for a level within a Function, the Supplier attests to being able to provide resources for the Function and that specific level.

The following is a list of the fourteen (14) Functions for Service Stream #1 from the Initial RFSO.

Table A: Service Category #1 – Executive Interim Placement

Function #	Service Category #1 - Functions	Level 1	Level 2	Level 3
1	Finance	\$	\$	\$
2	Legal	\$	\$	\$
3	Human Resources	\$	\$	\$
4	Procurement	\$	\$	\$
5	Communications	\$	\$	\$
6	Strategy	\$	\$	\$
7	Corporate Governance	\$	\$	\$
8	Internal Audit	\$	\$	\$
9	Risk Management	\$	\$	\$
10	Insurance	\$	\$	\$
11	Compliance	\$	\$	\$
12	Information Technology	\$	\$	\$
13	Information Management	\$	\$	\$
14	Project/Program Management	\$	\$	\$

The following is a list of two (2) additional Functions for Service Stream #2 applicable to this RFSO 2021 Refresh.

Function #	Service Category #1 - Functions	Level 1	Level 2	Level 3
15	Instructional Design/Learning and Development	\$	\$	\$
16	HRIS Systems/HR Analytics	\$	\$	\$

Service Category #2 – Executive Permanent Placement

The Supplier should provide either an all-inclusive flat ceiling fee rate in Table B, below, or a fixed percentage (%) rate in Table C, below, necessary to deliver the services in respect of Service Category #2 (Executive Permanent Placement) of a candidate under Service Stream # 2, as set out in Schedule "A" (Statement of Work). For clarity, Suppliers should complete either Table B (All-Inclusive Flat Ceiling Fee Rate) or Table C (Fixed Percentage (%) Rate), not both.

For clarity, the all-inclusive flat ceiling fee rate (Table B) or fixed percentage (%) rate (Table C), provided below, will not be evaluated.

NOTE: The Supplier must not alter the format of the tables below in any way. Columns and rows are not to be added or deleted.

Table B: All-Inclusive Flat Ceiling Fee Rate

Item	All-Inclusive Flat Ceiling Fee Rate
1	\$

Table C: Fixed Percentage (%) Rate (of the total annual salary per search within the applicable position/role)

Item	Fixed Percentage (%) Rate
1	%

Additionally, Suppliers must provide a breakdown in Table D, below, of the milestone payment schedule for the all-inclusive flat ceiling fee rate provided in Table B or the fixed percentage (%) rate provided in Table C, as applicable, for each milestone payment in respect of the permanent placement, as identified in Table B or Table C, above, on a percentage basis. For clarity, the milestone percentages provided in Table D will not be evaluated.

Table D: Milestone Percentage Payment Schedule*

Phase	Milestone Payment Schedule	All-Inclusive Flat Ceiling Fee Rate	Fixed Percentage (%) Rate
		% of the Fees Due	% of the Fees Due
1	Upon commencement of the search	%	%
2	30 days after commencement of the search	%	%
3	60 days after commencement of the search	%	%
4	Upon acceptance of offer by the selected candidate	%	%

***Complete only the column for All-Inclusive Flat Ceiling Fee Rate or the column for Fixed Percentage (%) Rate, not both.**

Guarantee Non-Performance

By completing and submitting Table B or Table C, and Table D, above, of Appendix “D-2” (Financial Offer For Service Stream #2) Suppliers attest that if a recruited candidate/resource does not accept nomination to a position, resigns within twelve (12) months of the effective date of the appointment to a position, or is terminated within twelve (12) months of the effective date of the appointment to a position, the Standing Offer Holder must provide one or more alternate candidates at no additional cost.

Table E: Conversion Fee (Optional)

The Supplier should provide, in Table E, below, its fee (“Conversion Fee”), if any, if CDIC hires an Assigned Person as an employee of CDIC while the Assigned Person is completing his or her work assignment as set out in a Task Authorization.

The Conversion Fee, if applicable, should not be greater than the Supplier’s all-inclusive flat ceiling rate fee (provided in Table B) or fixed percentage fee (provided in Table C), as the case may be. For clarity, although the Conversion Fee will not be evaluated, CDIC reserves the right to include the Supplier’s Conversion Fee, as it may be adjusted, in any resulting agreement with the Supplier.

Conversion Fee
(Maximum of 300 words)

[END OF APPENDIX “D-2” (FINANCIAL OFFER FOR SERVICE STREAM #2)]

Schedule “E”**Mandatory Proposal Forms**

The following is a list of additional mandatory forms which must be included in the Supplier's Proposal, as applicable.

Schedule/Appendix	Submission Form Required
<u>Schedule “C”</u>	Technical Offer (One (1) per Proposal)
<u>Appendix “C-1”</u>	Technical Offer Form (One (1) per Proposal)
<u>Appendix “C-2”</u>	Reference Engagement Form (Three (3) per Service Category)
<u>Schedule “D”</u>	Financial Offer (One (1) per Proposal)
<u>Appendix “D-1”</u>	Financial Offer for Service Stream #1 (One (1) per Proposal) *NOTE: This Appendix is <u>ONLY</u> required for Suppliers offering a Service Category under Service Stream #1.
<u>Appendix “D-2”</u>	Financial Offer for Service Stream #2 (One (1) per Proposal) *NOTE: This Appendix is <u>ONLY</u> required for Suppliers offering a Service Category under Service Stream #2.

[END OF SCHEDULE “E” (MANDATORY PROPOSAL FORMS)]

Schedule “F”

(Form of Professional Services Agreement)

Substantial changes to the Professional Services Agreement will not be considered. As per Section 14, Resulting Agreements and Term of Agreement, CDIC intends to award agreements based on this Schedule “F” (Form of Professional Services Agreement) to successful Suppliers. As such, any requests for changes to the Professional Services Agreement will only be considered from successful Suppliers.

PROFESSIONAL SERVICES AGREEMENT

THIS AGREEMENT is made as of the Execution Date

BETWEEN:

CANADA DEPOSIT INSURANCE CORPORATION,
a federal crown corporation established by an Act of Parliament
(“CDIC”)

AND:

[insert name of corporation or partnership],
a corporation incorporated under the laws of [insert jurisdiction <*>]
(“Supplier”)

or

a (**limited liability**) partnership established pursuant to the laws of <*>
(“Supplier”).

BACKGROUND

- A. Following a request-for-standing offer process, CDIC has selected the Supplier to provide the Services on a standby/as needed basis as set out in Appendix A to this Agreement. CDIC makes no guarantee as to the value or volume of work, if any, to be assigned to any Supplier.
- B. The Supplier is qualified to provide the Services and agrees to provide the Services in accordance with the terms and conditions of this Agreement.

IN CONSIDERATION of the Background, the mutual covenants set out herein, and other good and valuable consideration (the receipt and sufficiency of which are hereby acknowledged), the parties agree as follows:

ARTICLE 1
DEFINITIONS AND INTERPRETATION

- 1.1 Definitions.** Whenever used in this Agreement, the following words and terms shall have the meanings set out below:

“Acceptance”, “Accepts”, “Accepted” or “Acceptable” means the confirmation in writing by the Designated Officer that CDIC is satisfied with the quality of the Services provided;

“Agreement” means this Professional Services Agreement and includes the appendices and any schedules attached hereto, as such may be amended from time to time by written agreement of the Parties hereto;

“Assigned Person” means any person employed or engaged by the Supplier who is (i) assigned by the Supplier to perform the Services and is listed in the particular Task Authorization, or (ii) who is assigned by the Supplier to perform the Services as an alternate, pursuant to Section 6.5;

“Business Day” means a day, other than a Saturday, Sunday or a statutory or civic holiday in the City of Ottawa, Province of Ontario, Canada;

“Claim” means any claim, demand, action, assessment or reassessment, suit, cause of action, damage, loss, charge, judgment, debt, costs, liability or expense, including taxes, interest and penalties imposed by law and the reasonable professional fees and all costs incurred in investigating or pursuing, defending or settling any of the foregoing or any proceeding relating to any of the foregoing;

“Commencement Date” means the date set out in Appendix A on which the Supplier shall begin to provide the Services;

“Completion Date” means the date set out in Appendix A on which the Supplier shall cease to provide the Services;

“Confidential Information” has the meaning attributed thereto in Appendix C;

“Designated Officer” means the individual set out in Appendix A who represents CDIC, or such other person as may be designated by CDIC from time to time;

“Disbursements” means the reasonable fees, expenses, costs or charges, from other parties that are incurred by the Supplier for the purpose of performing the Services including all applicable taxes thereon, but does not include Pre-approved Expenses;

“Execution Date” means the latest date this Agreement is signed by the Parties as indicated on the signature page;

“Fee” or “Fees” means an amount agreed to be paid to the Supplier for the provision of any part of the Services as set out in Appendix A;

“GST/HST/PST” means all taxes exigible under Part IX of the *Excise Tax Act*;

“Information” means all information provided to the Supplier and any Assigned Person, regardless of form or medium, whether reproducible or not, and includes any facts, data, hypotheses, analyses, projections, assumptions, or opinions;

“Intellectual Property Rights” means any rights provided under: (i) patent law; (ii) copyright law (including moral rights); (iii) trade-mark law; (iv) design patent or industrial design law; (v) semi-conductor chip or mask work law; or (vi) any other statutory provision or common law principle applicable to this Agreement, including trade secret law, which may provide a right in either hardware, software, documentation, Confidential Information, ideas, formulae, algorithms, concepts, inventions, processes or know-how generally, or the expression or use of such hardware, software, documentation, Confidential Information, ideas, formulae, algorithms, concepts, inventions, processes or know-how; or any rights provided under any applications, registrations, licenses, sub-licenses, franchises, agreements or any other evidence of a right in any of the foregoing;

“Non-Compliant Jurisdiction” means any jurisdiction whose laws conflict with or impede the application of the *Privacy Act* and the *Personal Information Protection and Electronic Documents Act*, either expressly or through subsequent application. This includes the United States of America;

“Parties” means CDIC and the Supplier, and **“Party”** means either one of them;

“person” includes an individual, a corporation, a general or limited partnership, a joint venture, a trust, an unincorporated organization, the Crown or a federal, provincial, national, state or municipal government or any agency or instrumentality of the Crown or a government or any entity recognized by law;

“Personal Information” means Information about an identifiable individual;

“Pre-approved Expenses” mean the reasonable out-of-town travel, accommodation and living expenses, including all applicable taxes thereon, that are expected to be incurred by the Supplier for the purpose of performing the Services and that are approved by CDIC’s Designated Officer prior to actually being incurred;

“RFSO” means the Request for Standing Offers dated December 14, 2020, for Non-Executive Recruitment and Executive Search Services, reference number RFSO #2020-2532, issued by CDIC, and any addenda to it;

“Services” means the tasks or activities required to be performed by the Supplier, as set out in Appendix A, and any services ancillary thereto;

“Service Request”; means a document issued by CDIC to a Supplier, that includes instructions and applicable CDIC service requirements, which may result in a Task Authorization;

“Task Authorization” means the authorization issued by CDIC, following the receipt of a Supplier’s response to a Service Request, authorizing services to commence;

“Total Fee” means the total amount payable to the Supplier for the provision of the Services, as set out in each particular Task Authorization issued by CDIC; and

“Work Product” means all materials, inventions and other deliverables that the Supplier may develop for CDIC in the course of providing the Services, whether alone or jointly with others, including all research, reports, correspondence, memoranda, notes, source code, object code, executable code, technical documentation, user documentation, custom software and all information generated by the Supplier specifically for CDIC in any reproducible medium in connection with the provision of the Services.

1.2 **Certain Rules of Interpretation.** In this Agreement,

- (a) **Time** - the Supplier will use all reasonable efforts to complete within any agreed upon time frame the performance of the Services. However, the Supplier will not be liable for failures or delays in performance that arise from causes beyond its control;
- (b) **Currency** - unless otherwise specified, all references to monetary amounts in this Agreement are to lawful currency of Canada;
- (c) **Headings** - descriptive headings of Articles and Sections are inserted solely for convenience of reference and are not intended as complete or accurate descriptions of the content of such Articles or Sections and as such, shall not affect the construction or interpretation of this Agreement;
- (d) **Singular, etc.** - words expressed in the singular include the plural and vice-versa and words in one gender include all genders;
- (e) **Consent** - whenever a provision of this Agreement requires an approval or consent by a Party to this Agreement and notification of such approval or consent is not delivered within the applicable time, then, unless otherwise specified, the Party whose consent or approval is required shall be conclusively deemed to have withheld its approval or consent;
- (f) **Calculation of Time** - unless otherwise specified, time periods within or following which any payment is to be made or act is to be done shall be calculated by excluding the day on which the period commences and including the day on which the period ends;
- (g) **Business Day** - whenever any payment is to be made or action is to be taken under this Agreement is required to be made or taken on a day other than a Business Day, such payment shall be made or action taken on the next Business Day following such day;
- (h) **Inclusion** - where the words “including” or “includes” appear in this Agreement, they mean “including without limitation” or “includes without limitation”, respectively;
- (i) **References** - the words “herein”, “hereof”, “hereby” and “hereunder” and similar expressions refer to this Agreement as a whole and not to any particular portion of

it and references to an Article, Section or subsection refer to the applicable Article, Section or subsection of this Agreement; and

- (j) **No Strict Construction** – the language used in this Agreement is the language chosen to express the mutual intent of the Parties, and no rule of strict construction will be applied against either of the Parties.

1.3 Governing Law. This Agreement shall be governed by and construed in accordance with the laws of the Province of Ontario and the federal laws of Canada applicable therein. The rights and obligations under this Agreement shall not be governed by the *United Nations Convention on Contracts for the International Sale of Goods* or any local implementing legislation, the application of which is expressly excluded.

1.4 Appendices. The appendices to this Agreement listed below include additional terms which form part of this Agreement:

<u>Appendix</u>	<u>Description</u>
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A.....	Services and Fees
B.....	Qualified Service Stream(s)/Service Categories/Functions
C.....	Confidentiality, Privacy, Conflict of Interest and Security
D.....	Service Request and Task Authorization Form

ARTICLE 2 AGREEMENT FOR SERVICE

- 2.1** The Supplier is hereby engaged by CDIC as of the Execution Date an independent contractor on a non-exclusive basis for the sole purpose of undertaking and delivering the Services set out in Appendix A, any Task Authorization, and any applicable Work Product, for the term set out therein and in accordance with this Agreement.
- 2.2** Subject to the conflict of interest provisions contained in Appendix C, CDIC acknowledges that, during the term of this Agreement, the Supplier and any Assigned Person may provide services to other persons (including member institutions of CDIC or any parent or subsidiary corporations or affiliates thereof).
- 2.3** The Supplier is responsible for the delivery of all filings required in relation to, and the payment of: all taxes, levies, premiums or payments assessed, levied or charged against the Supplier, including any GST/HST/PST, income tax, local tax, workplace safety and insurance premiums, Canada Pension Plan or Quebec Pension Plan premiums, Employment Insurance premiums and Ontario Health Insurance Plan premiums or levies or other contributions as required by all laws applicable to the Supplier or to any Assigned Person (all collectively, the “**filings and deductions**”). In addition to any other indemnifications contained in this Agreement, the Supplier agrees to indemnify and save harmless CDIC, its employees, agents, officers and directors from any Claims arising as a result of or in relation to:

- (a) the Supplier's failure, omission or refusal to deliver or remit any filings and deductions to the appropriate federal, provincial or municipal government entity, agency or collecting body, as required by law; or,
- (b) a determination by any federal, provincial or municipal government entity, agency or collecting body that (notwithstanding the express and mutual intention of the Parties) the relationship between CDIC and any of the Supplier or any Assigned Person, is not an independent contractor relationship.

ARTICLE 3 LIMITATION OF AUTHORITY

- 3.1** The Supplier shall have no authority to enter into any contract, commitment or obligation of any kind whatsoever on behalf of CDIC unless the Supplier receives prior written authorization from CDIC.
- 3.2** Neither the Supplier nor any Assigned Person shall, at any time, be deemed to be an employee, servant or agent of CDIC or of Her Majesty in Right of Canada, for any purpose whatsoever.

ARTICLE 4 CONFIDENTIALITY AND CONFLICT OF INTEREST AND USE OF PERSONAL AND CONFIDENTIAL INFORMATION

- 4.1** The Supplier agrees to be bound by the terms set out in this Article 4 and in the attached Appendix C entitled "Confidentiality, Privacy Conflict of Interest and Security".
- 4.2** The Supplier agrees that prior to allowing any Assigned Person to perform the Services, it shall require that Assigned Person to read and agree to abide by the terms of the attached Appendix C entitled "Confidentiality, Privacy, Conflict of Interest and Security".
- 4.3** Except as set out in Appendix A, the Supplier represents and warrants that:
 - (a) The Supplier only carries on business in Canada;
 - (b) The Supplier does not have a parent, subsidiary or other related company that operates in a Non-Compliant Jurisdiction;
 - (c) The Supplier does not subcontract or outsource data processing or storage to any third party carrying on business in a Non-Compliant Jurisdiction; and
 - (d) The Supplier's employees are bound by written confidentiality agreements or binding confidentiality policies.

4.4 The Supplier agrees that:

- (a) CDIC shall retain custody and control of any Confidential Information and Personal Information transferred, collected, created, obtained, maintained or otherwise held by the Supplier for the purposes of this Agreement, and all Confidential Information and Personal Information must be returned to CDIC upon request;
- (b) Except as set out in Appendix A, the Supplier shall not transfer Personal Information to any entity or person carrying on business in a Non-Compliant Jurisdiction for any purpose unless approved by CDIC in writing. Confidential Information may be disclosed to third parties that provide data processing, storage and similar services to the Supplier and may correspondingly be used, processed and stored outside Canada by the Supplier and such third-party service providers. The Supplier is responsible to CDIC for causing such third-party service providers to comply with the obligations of confidentiality set out in this Agreement;
- (c) CDIC shall have the right to review from time to time the measures and practices adopted by the Supplier to perform its obligations under this Agreement. This right of review includes the right to be escorted, in accordance with the Supplier's security rules and heavily restricted to certain areas of the Supplier's premises on reasonable written notice to the Supplier to attend the Supplier's premises on reasonable written notice to the Supplier to review such measures and practices and the right to audit the Supplier's relevant records and otherwise verify audit trails for data access, modification or disclosure. The Supplier shall provide full cooperation in connection with any such review. To the extent that such review causes the Supplier to incur reasonable third-party expenses, such expenses shall be reimbursed by CDIC;
- (d) The Supplier shall implement sufficient audit trail requirements to record access to Confidential Information and any attempted access thereto and any modification or disclosure of Confidential Information; and
- (e) The Supplier shall include the above representations, warranties and terms in any agreement with a third party respecting the transfer of Confidential Information or Personal Information, *mutatis mutandis*.

4.5 If the Supplier learns of any actual or reasonably suspected access, use, destruction, alteration or disclosure of Confidential Information or Personal Information that is not permitted by this Agreement or otherwise approved by CDIC in writing (including any loss or theft of Confidential Information or Personal Information) (collectively, a "**Data Breach**"), Supplier shall promptly notify CDIC in writing of the particulars of such Data Breach (unless such notice is prohibited by applicable law). The Supplier shall thereafter contain and investigate the Data Breach and fully cooperate with CDIC in resolving the Data Breach.

4.6 In the event of a change in status or ownership of a parent company or of the Supplier that may result in a change of custody or control of data being held and/or processed by the

Supplier, the Supplier shall promptly notify CDIC. Following such notification, CDIC reserves the right to immediately terminate this Agreement or seek amendments thereto.

- 4.7 In the event of a change in the operations of the Supplier, such as acquiring or creating an entity in a Non-Compliant Jurisdiction that shall have access to CDIC Information, the Supplier shall promptly notify CDIC. Following such notification, CDIC reserves the right to immediately terminate this Agreement or seek amendments thereto.

ARTICLE 5 CDIC'S RESPONSIBILITIES

- 5.1 If and when necessary, CDIC shall provide the Supplier with limited access, as required, to its personnel and offices at 50 O'Connor Street, Ottawa, Ontario, and 79 Wellington Street West, Suite 1200, Toronto, Ontario (collectively, the "**Premises**") to facilitate the provision of the Services. The Supplier agrees to abide by the requirements of CDIC and the Designated Officer with respect to security, timing and manner and method of access, occupancy and egress from the Premises, as those requirements may change from time to time. The Supplier further agrees to abide by any rules regarding access, occupancy and egress imposed by the landlord of the Premises.
- 5.2 The Designated Officer, or other representative of CDIC, as may be appropriate, shall provide the Supplier with the Information and Confidential Information that is required for the provision of the Services.
- 5.3 CDIC acknowledges that the provision of the Services may require the Designated Officer and other CDIC personnel to be available for meetings with the Supplier and to respond promptly to the inquiries of the Supplier. CDIC shall use reasonable efforts to accommodate same without disrupting its operations.
- 5.4 The Supplier shall consult with the Designated Officer from time to time, regarding the provision of the Services. The Designated Officer may provide the Supplier with a schedule for the completion of the Services (the "**Schedule**").
- 5.5 CDIC may, at its own discretion, periodically or from time to time, advise the Supplier as to whether the provision of Services by the Supplier is Acceptable. CDIC shall have the right to require the Supplier to correct any Services that are deemed by CDIC not to be Acceptable, or replace any Assigned Person whose performance is deemed by CDIC not to be Acceptable, at the Supplier's own expense. CDIC shall inform the Supplier of the reasons for any such non-Acceptance of the Services or Assigned Person's performance, as the case may be.
- 5.6 CDIC or its representatives may, at any time during the term of this Agreement or within one (1) year of the expiration or termination of this Agreement, conduct an audit of the books, accounts, records, data or other information of the Supplier relating to the performance of the Services and of all expenditures or commitments made by the Supplier in connection therewith. The Supplier shall not, without the prior written consent of CDIC, dispose of any books, accounts or records that relate to the performance of the Services

until the later of: (i) the expiration of one (1) year after the final payment is made under this Agreement; or (ii) the settlement of all outstanding claims and disputes between the Parties. The Supplier shall provide CDIC with access to all books, accounts, and records related to the performance of the Services and shall reasonably co-operate with CDIC in respect of any audit that is conducted.

ARTICLE 6 SUPPLIER'S RESPONSIBILITIES

- 6.1** The Supplier represents and warrants that it is validly incorporated under the laws of <*> and that it has the power and authority to enter into this Agreement. The Supplier represents and warrants that the Supplier and each Assigned Person has the necessary resources, competence and qualifications, including knowledge, skill and experience to provide the Services. The Supplier shall provide the Services promptly, efficiently, in accordance with reasonable standards of quality acceptable to CDIC, in consultation with the Designated Officer, in conformity with the Schedule established by the Designated Officer, if any, and with the terms and provisions of this Agreement.
- 6.2** The Supplier shall commence the provision of the Services on the Commencement Date and shall provide the Services until the earlier of the Completion Date or the date on which the Services are completed by the Supplier and Accepted by the Designated Officer.
- 6.3** The Supplier shall make periodic written reports, as requested by the Designated Officer, outlining the progress made by the Supplier in providing the Services.
- 6.4** CDIC is required to notify individuals in connection with the collection of Personal Information by CDIC. The Supplier agrees that prior to providing any Personal Information about an Assigned Person to CDIC, or prior to allowing an Assigned Person to perform the Services, as applicable, the Supplier shall either (a) provide the Assigned Person with CDIC's privacy notice (a copy of which is at <http://www.cdic.ca/en/about-cdic/policies-reports/atip/Pages/Privacy.aspx>), or (b) refer the Assigned Person to the webpage where the privacy notice is posted, and require the Assigned Person to read the privacy notice.
- 6.5** The Supplier shall ensure that the Services are only provided by the Assigned Persons listed in the particular Task Authorization and that such Assigned Persons are available to perform the Services in accordance with the Schedule established by the Designated Officer, if any. Should such Assigned Person be unavailable to provide the Services, the Supplier may, with CDIC's prior written consent, acting reasonably, assign an alternate Assigned Person who has a comparable level of skill, ability and qualifications to provide the Services. Other amendments to the list of Assigned Persons in the particular Task Authorization may be made with the written consent of CDIC. If CDIC requests in writing for an Assigned Person to be replaced for whatever reason, then the Supplier shall substitute that Assigned Person with an alternate Assigned Person who has a comparable level of skill, ability and qualifications to provide the Services as soon as possible.

- 6.6** Any discipline and evaluation of each Assigned Person with respect to their Services shall be the responsibility of the Supplier. The Supplier shall meet with each Assigned Person to review the performance of their Services at least once in every six (6) month period.
- 6.7** CDIC shall have access at all reasonable times to the books, accounts, records, data, Work Product and other information in the Supplier's and any Assigned Person's possession and control in connection with the provision of the Services.
- 6.8** On termination for any reason other than breach by CDIC, to the extent that it may exist, in whole or in part, the Supplier shall deliver to CDIC, or such person as CDIC may designate, the Work Product and knowledge that is required by CDIC to complete the provision of the Services or that will allow CDIC to utilize the Services or Work Product on an ongoing basis.
- 6.9** On termination for any reason other than breach by CDIC, to the extent that may exist, in whole or in part and that CDIC reasonably deems necessary, the Supplier and/or any Assigned Person, as applicable, shall deliver to CDIC any Work Product generated by each Assigned Person during the term of this Agreement.
- 6.10** In the event that an Assigned Person, or a candidate that was recruited by the Supplier, does not accept nomination to a position, or resigns or quits their employment with the Supplier or CDIC, as applicable, or is terminated within the applicable Guarantee Period, and is unable to complete the Services, the Supplier shall provide an alternate Assigned Person to complete the Services in accordance with Section 6.5, at no additional cost to CDIC, and CDIC shall have no obligations whatsoever with respect to the departing Assigned Person except for any outstanding Fees for Services provided before the date of termination and Conversion Fees, if any, accrued before the date of termination.
- 6.11** The Supplier warrants that no Work Product will infringe or otherwise violate any Intellectual Property Rights of any third party.
- 6.12** The Supplier warrants that all Services and Work Product provided under this Agreement will, at the time of Acceptance, be free from any defect in workmanship and conform to the requirements of this Agreement. If the Supplier is required to correct or replace the Services or Work Product or any portion thereof, it shall be at no cost to CDIC, and any Services or Work Product corrected or replaced by the Supplier shall be subject to all the provisions of this Agreement to the same extent as the Services or Work Product as initially performed.
- 6.13** The Supplier acknowledges and agrees that it shall, and that CDIC may require the Supplier to require any Assigned Person, to act in conformity with any existing or future policies, standards, guidelines and procedures of CDIC as may become appropriate in CDIC's discretion, at all times during the provision of the Services, including:
- a) where the Services involve Personal Information or other "Protected Information", as that term is defined in CDIC's *Information Classification Standard*, the Supplier will adhere to CDIC's *Corporate Security Policy*;

- b) where the Services involve travel and related living expenses, the Supplier will adhere to CDIC's *Travel, Hospitality, Conferences and Events Policy*; and
 - c) where any Assigned Person will be performing Services at CDIC's Premises on a regular basis, to require any Assigned Person to review and act in conformity with: (i) the Guidelines for Contractors/Consultants' Personnel/Agency Personnel (the "**Guidelines**") and (ii) *Harassment and Violence Prevention Policy* prior to or on the date such Assigned Person commences performing the Services; and (iii) CDIC's *Vaccination Policy for Third-Parties*.
- 6.14** The Supplier shall be responsible for ensuring that each Assigned Person complies with all of the terms of this Agreement, and shall be responsible for any non-compliance in any way attributable to any Assigned Person or other person for whom the Supplier is responsible.

ARTICLE 7

OWNERSHIP OF INTELLECTUAL PROPERTY

- 7.1** The Supplier agrees that prior to allowing any Assigned Person to perform the Services, it shall require that Assigned Person to read and agree to abide by the terms of this Article 7.
- 7.2** If, during the course of providing Services to CDIC, the Supplier develops any work for CDIC that is protected by copyright, the Supplier hereby waives unconditionally any moral rights it may have in such work and shall require each Assigned Person to waive unconditionally any moral rights in such work upon applicable payment by CDIC to Supplier.
- 7.3** The Supplier shall not use or disclose any Work Product or other materials embodying any of CDIC's Intellectual Property Rights provided by CDIC or developed for CDIC except in the course of providing the Services or as expressly authorized by CDIC in writing.
- 7.4** The Supplier shall not make any unauthorized use of any trade secrets or Intellectual Property Rights of a third party during the course of providing Services to CDIC.
- 7.5** The Supplier shall not make any unauthorized use of CDIC's property including its computer systems, communications networks, databases or files, and shall adhere to all CDIC policies regarding the use of such computer systems, communication networks, databases or files provided that such policies have been provided to Supplier in writing prior to execution of this Agreement.
- 7.6** The Supplier shall only use software authorized by CDIC on CDIC equipment.
- 7.7** The Supplier acknowledges and agrees that it shall be held liable for any breach or any damages resulting from any violations of the terms of this Article 7 that are caused by the Supplier or that are attributable in any way to an Assigned Person.

- 7.8** All Work Product first created for CDIC shall be the exclusive property of CDIC and the Supplier shall have no right, title or interest in any such Intellectual Property Rights. At the request and expense of CDIC, the Supplier shall do all acts necessary and sign all documentation necessary in order to assign all rights in the Intellectual Property Rights to CDIC and to enable CDIC to register patents, copyrights, trade-marks, mask works, industrial designs and such other protections as CDIC deems advisable anywhere in the world.
- 7.9** The Supplier agrees to provide all reasonable assistance to CDIC in the prosecution of any patent application, copyright registration or trade-mark application or the protection of any Intellectual Property Rights. The Supplier agrees to execute any documentation necessary to assist with any such prosecution or to effect any such application or registration upon the request of CDIC, whether such request is made during the term of this Agreement or after the expiration or termination of this Agreement for any reason whatsoever.

ARTICLE 8 FEES AND BILLING PROCEDURES

- 8.1** (a) Subject to 8.1(b) below, the Total Fees payable under this Agreement is as set out in each particular Task Authorization. The Supplier shall have no right to demand any additional Fees other than as set out in each Task Authorization, either before, during or after the completion of provision of the Services.
- (b) The Parties agree that the total Fees payable by CDIC to the Supplier including any Conversion Fees shall not exceed the sum set out in the Task Authorization, which amount shall include all Fees and all applicable Taxes.
- 8.2** Each Assigned Person shall deliver a timesheet to the Supplier and to CDIC, indicating the number of hours worked by such Assigned Person during the previous week. CDIC shall verify and sign each timesheet at the end of the week. Upon receipt and verification of the timesheets of all relevant Assigned Persons, and confirmation by CDIC that the timesheets are accurate, in accordance with the terms of Appendix A, the Supplier shall deliver a written request for payment in the form of an invoice for services rendered to CDIC (the “**Invoice**”).
- 8.3** The Invoice shall be accompanied by supporting documentation confirming the amount and particulars of any Disbursements or Pre-approved Expenses incurred by the Supplier in providing the Services and shall specify the following information, as applicable:
- (a) a detailed suitable description of the Services provided in relation to the Fees billed by the Supplier;
 - (b) the amount owing in accordance with the Fees set out in Appendix B;
 - (c) the amount of GST/HST/PST thereon;

- (d) the amount of any Disbursements and Pre-approved Expenses; and
- (e) such other information as CDIC may reasonably require.

The Supplier agrees that failure to include all supporting documentation with the Invoice and/or failure to provide any or all of the foregoing information as part of the Invoice may result in a delay of payment to the Supplier.

- 8.4** Within thirty (30) days of receiving an Invoice, CDIC shall verify the amounts stipulated in the Invoice and subject to Section 8.1 hereof, shall pay to the Supplier the full amount of the Invoice. CDIC shall advise the Supplier of the details of any objection it may have to the form, content or amount of the Invoice within fifteen (15) days of receipt of the Invoice, and the above-noted thirty (30) day period shall commence to run after receipt by CDIC of a revised Invoice.
- 8.5** Subject to Section 9.3 hereof, upon termination of this Agreement by CDIC, the Supplier shall, within fifteen (15) days after the effective date of such termination, deliver a final Invoice to CDIC in the form specified above setting out the Fees, GST/HST/PST, Disbursements, and Pre-approved Expenses charged or incurred by the Supplier from the date of the previous Invoice to the effective date of termination and CDIC shall pay the Invoice in accordance with this Article 8. The Supplier shall not be entitled to payment for any amount on account of Fees, GST/HST/PST, Disbursements or Pre-approved Expenses that are either charged or incurred by the Supplier following the effective date of termination of this Agreement.

ARTICLE 9 EXPIRATION AND TERMINATION

- 9.1** CDIC may terminate this Agreement at any time by giving the Supplier ten (10) Business Days prior written notice, except as otherwise provided by this Agreement. The Supplier and CDIC agree and acknowledge that the giving of such written notice shall serve to discharge all liability whether contractual, statutory, or otherwise owed by CDIC to the Supplier, except CDIC's obligation to pay the Supplier any outstanding Fees earned and GST/HST/PST thereon, and any Disbursements or Pre-approved Expenses incurred by the Supplier in the period prior to the effective date of termination of this Agreement which obligation shall survive such termination.
- 9.2** If the Supplier breaches any provision of this Agreement and fails to remedy such breach within five (5) Business Days of receiving a written notice from CDIC notifying the Supplier of such breach, CDIC may, without giving any further notice to the Supplier, terminate this Agreement effective as of the end of such five (5) day period.
- 9.3** Notwithstanding any other provision of this Agreement, if this Agreement is terminated by CDIC pursuant to Section 9.2 above:

- (a) the Supplier shall not be entitled to payment for any amount on account of Fees, GST/HST/PST, Disbursements or Pre-approved Expenses that are charged or incurred by the Supplier after the day upon which such notice of breach of the Agreement is received by the Supplier; and,
 - (b) CDIC may arrange, upon such terms and conditions and in such manner as CDIC deems appropriate, for any uncompleted Services to be completed and the Supplier shall be liable to CDIC for any amounts in excess of the Total Fee as are required to retain a replacement Supplier to complete the Services as per each Task Authorization. CDIC may, in its sole discretion, withhold from the amount due to the Supplier upon termination of this Agreement such sums as CDIC determines to be necessary to protect CDIC against any excess costs it might incur in relation to the retention of a replacement Supplier and the completion of the Services.
- 9.4** If the Services are not provided in full, the Supplier shall be entitled to payment of that portion of the Total Fee per Task Authorization represented by the Services performed as determined by CDIC acting reasonably and based on the agreed upon scope of Services.
- 9.5** Upon expiration or termination of this Agreement for any reason whatsoever, the Supplier shall forthwith return all Information, Confidential Information, Work Product and other materials embodying CDIC's Intellectual Property Rights in the possession or control of the Supplier or any Assigned Person to CDIC or shall provide a written certificate to CDIC certifying the destruction of all Information, Confidential Information, Work Product and other materials embodying CDIC's Intellectual Property Rights if instructed by CDIC to destroy such Information.

ARTICLE 10 INDEMNIFICATION

- 10.1** CDIC agrees to indemnify, defend and hold harmless the Supplier and its respective employees, agents, officers, directors, successors and assigns (each, a "**Supplier Indemnatee**"), from and against any Claims that may be made or brought against the Supplier Indemnatee, or which they may suffer or incur, directly as a result of any deliberate or negligent acts or omissions by CDIC or any person for whom CDIC is responsible.
- 10.2** The Supplier agrees to indemnify, defend and hold harmless CDIC and its respective employees, agents, officers, directors, successors and assigns (each, a "**CDIC Indemnatee**") from and against any Claims that may be made or brought against the CDIC Indemnatee, or which they may suffer or incur, directly or indirectly as a result of or in connection with:
- (a) any deliberate or negligent acts or omissions of the Supplier or any person for whom the Supplier is responsible (including any Assigned Person);
 - (b) any injury sustained by the Supplier or by any Assigned Person while on the Premises for any reason connected with this Agreement;

- (c) the infringement, alleged infringement or potential infringement by any aspect of the Services or the Work Product of the Intellectual Property Rights of any person;
- (d) any breach by the Supplier or any Assigned Person of Article 4 or the obligations to protect Confidential Information or Personal Information; or
- (e) any other breach of this Agreement by the Supplier or by any Assigned Person.

10.3 The obligation to indemnify in respect of any Claim is contingent upon the CDIC Indemnatee or the Supplier Indemnatee (as applicable) (the “**Indemnified Party**”) (a) giving prompt written notice thereof to the indemnifying Party (the “**Indemnifying Party**”) and (b) providing reasonable co-operation and assistance to the Indemnifying Party in the investigation, defence, negotiation and settlement of any Claim, including providing reasonable access to relevant information and employees. The obligation to indemnify in respect of any Claim shall terminate unless the Indemnified Party gives the aforementioned written notice to the Indemnifying Party within two (2) years of the date on which the Indemnified Party knew or ought reasonably to have known of the existence of the Claim.

10.4 Third Party Claims. In respect of any third party Claim, the Indemnifying Party will be entitled to elect by written notice addressed to the Indemnified Party, within fifteen (15) days after its receipt of such notice, to assume control over the investigation, defence, negotiation and settlement of such third party Claim at its own cost, risk and expense.

- (a) If the Indemnifying Party elects to assume such control, the Indemnified Party will have the right to participate in the investigation, defence, negotiation and settlement of such third party claim at the cost of the Indemnifying Party and to retain counsel to act on its behalf, provided that the fees and disbursements of such counsel will be paid by the Indemnified Party unless the Indemnifying Party consents to the retention of such counsel or unless the named parties to any action or proceeding include both the Indemnifying Party and the Indemnified Party and the representation of both the Indemnifying Party and the Indemnified Party by the same counsel would be inappropriate due to the actual or reasonably potential differing interests between them (such as the availability of different defences). The Indemnifying Party will not settle any Claim without the prior written consent of the Indemnified Party.
- (b) If the Indemnifying Party does not elect to assume control of the investigation, defence, negotiation and settlement of the third party Claim, or if the Indemnifying Party, having elected to assume such control thereafter fails to diligently defend the third party Claim, the Indemnified Party will have the right to assume such control in such reasonable manner as it may deem appropriate, at the cost, risk and expense of the Indemnifying Party, and the Indemnifying Party will be bound by the results obtained by the Indemnified Party with respect to such third party Claim. The Indemnifying Party will have the right to participate in such defence at its own cost and expense.

- 10.5 Set-off and Subrogation.** The indemnity obligations hereunder will be enforceable without right of set-off, counterclaim or defence as against the Indemnified Party. The Indemnifying Party will, upon payment of an indemnity in full under this Agreement, be subrogated to all rights of the Indemnified Party with respect to the claims and defences to which such indemnification relates.

ARTICLE 11 DISPUTE RESOLUTION

- 11.1** Subject to Section 11.4 below, all matters to be decided or agreed upon by the Parties under this Agreement and all disputes which may arise with respect to any matter governed by this Agreement shall at first instance be decided or resolved by a senior representative of the Supplier and the Designated Officer of each Party. Each Party acknowledges that it is in their mutual best interests to make all such decisions by mutual agreement and agrees to act reasonably and in good faith in order to permit and encourage their employees and officers to do so.
- 11.2** If the senior representative of the Supplier or the Designated Officer noted above are not able to resolve any dispute referred to them within fifteen (15) days of such referral, or if they are not able to agree on any other matter required to be decided by them under this Agreement, either Party may refer the matter to arbitration in accordance with the provisions of the *Commercial Arbitration Act*, R.S.C., 1985, c. 17 (2nd Supp.).
- 11.3** No Party may bring legal proceedings in respect of any issue that is to be submitted to arbitration hereunder unless that Party has complied with Sections 11.1 and 11.2.
- 11.4** Notwithstanding the above, each Party reserves the right to seek equitable relief in a court of competent jurisdiction to protect Intellectual Property Rights, Confidential Information or Personal Information.

ARTICLE 12 SURVIVAL OF TERMS OF AGREEMENT

- 12.1** All of:
- (a) the Supplier's and any Assigned Person's obligations regarding confidentiality of information and ownership of Intellectual Property Rights under Articles 4 and 7 and Appendix C;
 - (b) the provisions regarding indemnification; and
 - (c) the provisions regarding dispute resolution,

shall survive the expiration or termination of this Agreement for any reason whatsoever, as shall any other provision of this Agreement which, by the nature of the rights or obligations set out therein, might reasonably be expected to so survive.

ARTICLE 13 GENERAL

- 13.1 Entire Agreement.** This Agreement constitutes the entire agreement between the Parties pertaining to the subject matter of this Agreement and supersedes all prior agreements, understandings, negotiations and discussions, whether oral or written, of the Parties pertaining to that subject matter. No supplement, modification or waiver or termination of this Agreement shall be binding unless executed in writing by the Party to be bound thereby.
- 13.2 Amendments.** This Agreement may be changed, amended or modified at any time by written instrument executed by the authorized representatives of the Parties, except for amendments to the Assigned Persons listed in a particular Task Authorization pursuant to Section 6.5 which only require the written consent of CDIC.
- 13.3 Renewal.** The term of this Agreement may be extended prior to the expiration hereof or this Agreement may be renewed for such period and on such terms and conditions as may be agreed upon in writing by the Parties.
- 13.4 Waiver.** No term or provision of this Agreement shall be deemed waived and no breach thereof shall be deemed excused unless such waiver or consent is in writing and signed by the Party waiving or consenting. No waiver or consent by any Party, whether express or implied, shall constitute a waiver or consent for any other term or provision or subsequent breach of such term or provision.
- 13.5 Assignment.** Neither this Agreement nor any part of, nor any right, title or interest under this Agreement shall be assigned, sub-contracted or otherwise transferred by the Supplier without CDIC's prior written consent, which consent may be withheld without reason. This Agreement shall enure to the benefit of and bind the Supplier and its successors and permitted assigns.
- 13.6 Publicity.** The Supplier shall not refer to this Agreement, nor to any of its rights or obligations under this Agreement, in any public forum, or for the purpose of promoting itself or its products or services, without the prior written consent of CDIC. The Supplier acknowledges that CDIC is subject to the *Access to Information Act* and, as a consequence, CDIC may be required to disclose any information contained in this Agreement including, but not limited to, the name of the Supplier and/or any Assigned Person and/or any individual recruited by the Supplier on behalf of CDIC, the Total Fee, the description of the Services and any Work Product arising therefrom. The Supplier also acknowledges that CDIC may refer to any information contained in this Agreement on its website.
- 13.7 No Solicitation.** The Parties agree that, unless otherwise agreed to by the Parties in writing, during the term of this Agreement neither Party shall directly or indirectly solicit as an

employee or independent contractor an employee of or consultant to the other Party or a former employee of or consultant to the other Party that is or was involved in providing the Services under this Agreement.

- 13.8 Severability.** If any of the provisions contained in this Agreement are found by a court of competent jurisdiction to be invalid, illegal or unenforceable in any respect, the validity, legality or enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
- 13.9 Further Assurances.** The Parties hereto agree, from time to time after the execution of this Agreement, to make, do, execute or cause or permit to be made, done or executed all such further and other lawful acts, deeds, things, devices, conveyances and assurances in law whatsoever as may be required to carry out the true intention and to give full force and effect to this Agreement.
- 13.10 Enforceability.** Each Party affirms that it has full power and authority to enter into and perform the terms of this Agreement, and that the person(s) signing this Agreement on behalf of each Party is (are) properly authorized and empowered to sign it. Each Party further acknowledges that prior to execution of this Agreement, it has read this Agreement, has had the opportunity to be advised by an independent legal advisor if it so desired, and that it understands and agrees to be bound by this Agreement.
- 13.11 Conflict.** In the event of any conflict or inconsistency between this Agreement and the appendices to this Agreement, the terms and conditions set out in this Agreement shall prevail.
- 13.12 Remedies.** The remedies expressly stated in this Agreement shall be cumulative and in addition to and not in substitution for those generally available at law or in equity.
- 13.13 Notices.** Any notice required or permitted to be given hereunder in writing may be delivered (including by commercial courier) or sent by facsimile, email or other electronic transmission. Delivered notices shall be deemed received upon delivery during business hours. Notices sent by facsimile, email or other electronic transmission or delivered outside of business hours shall be deemed received on the next Business Day following the day of transmission or delivery. The addresses to be used for any deliveries or transmissions may be changed by notice given in accordance with this Section and, until so changed, shall be as follows:

if to the Supplier:

<*name + address*>

Attention: <*name*>, <*title*>

Telephone: <*>

Email: <*>

and if to CDIC:

Canada Deposit Insurance Corporation
50 O'Connor Street, 17th Floor
Ottawa, ON K1P 6L2

Attention: <*name*>, <*title*>

Telephone: (613) <*>

Email: <*>@cdic.ca

13.14 Counterparts. This Agreement may be executed in any number of counterparts. Either Party may send a copy of its executed counterpart to the other Party by facsimile, email or other electronic transmission instead of delivering a signed original of that counterpart. Each executed counterpart (including each copy sent by other means) will be deemed to be an original; all executed counterparts taken together will constitute one agreement.

THE PARTIES HAVE EXECUTED this Agreement as of the latest date this Agreement is signed by all the Parties (Execution Date).

CANADA DEPOSIT INSURANCE CORPORATION

Name: <*>

Title: <*>

Date:

I have authority to bind the above corporation.

[Supplier's Name in Caps]

Name: **[Supplier's Representative's Name]**

Title: <*>

Date:

I have authority to bind the above corporation.

Appendix A SERVICES AND FEES

1. Description of Services

The Supplier agrees to provide to CDIC certain services (the “**Services**”) in respect of the Service Stream(s), Service Categories and Functions for which the Supplier has qualified, as outlined in Appendix B, and the Supplier’s Proposal (the “**Proposal**”) dated **, 2022 submitted in response to this RFSO 2021 Refresh.

In the event of any conflict or inconsistency between (i) this Appendix A and Articles 1 through 13 of the Agreement; and (ii) the attached Proposal, the terms and conditions of this Appendix A and of Articles 1 through 13 shall prevail.

2. Service Request Process

CDIC may issue a written Service Request to the Supplier and to other suppliers selected pursuant to the RFSO, setting out a brief description of the Services and deliverables required, the timeframes, and any other requirements.

If the Supplier wishes to respond to a Service Request, the Supplier shall prepare, at no cost to CDIC, a written response delivered to CDIC setting out: the list and description of the deliverables; the names and resumes of the resources that the Supplier proposes to assign to each of the positions (if applicable); the estimated duration for each resource required to achieve completion of the proposed Services within the timeframe specified in the Service Request; the proposed fees (including a detailed breakdown of the fees for particular Services and/or deliverables); and the applicable milestones. The Supplier’s response to the Service Request shall comply with the requirements set out in the Service Request. The Supplier shall ensure that each individual proposed is qualified for the position. The Supplier shall provide CDIC or its representatives with the opportunity to interview its proposed resource(s), at no cost.

If the Supplier receives a Service Request but does not intend to submit a response, the Supplier shall notify CDIC that it will not respond.

3. Task Authorization

Upon CDIC’s acceptance of a Supplier’s satisfactory response to the requirements set out in the Service Request, both parties must provide approval in writing, resulting in a Task Authorization, which authorizes Services to commence.

CDIC will issue an amendment to the Task Authorization in the event of any changes to the scope of the Services, activities to be performed, or changes in any schedules that may be necessary or desirable in light of additional information or actual experience obtained prior to, or in the course of the Services, or as CDIC redefines its needs.

CDIC will not pay the Supplier for any design changes, modifications or interpretations of the Services unless they have been approved, in writing, and CDIC has issued a Task

Authorization amendment authorizing the increased expenditure to be incorporated into the work.

CDIC may terminate all or any part of an authorized Task Authorization for the convenience of CDIC on ten (10) days written notice to the Supplier. In the event of such termination, the Supplier agrees that it will be entitled to be compensated only for work performed and accepted up to the effective date of such termination.

CDIC may terminate all or any part of an authorized Task Authorization due to the default of the Supplier at any time on ten (10) day's written notice to the Supplier provided the Supplier has not rectified the default during the notice period. In the event of such termination, the Supplier and CDIC agree that the rights and obligations of the Supplier and CDIC will be governed by the provisions of Article 9. Expiry and Termination of the Agreement.

4. Term

Subject to any earlier termination by CDIC pursuant to the Agreement, the term of this Agreement shall be:

Commencement Date: [Execution Date]
Completion Date: <*>.

The term of this Agreement may be renewed or extended for up to two (2) consecutive one (1) year periods (each, a “**Renewal**”) to a maximum of four (4) years, at CDIC's sole option and discretion.

5. Fees

The Supplier agrees to provide the Services at the rates (the “**Fees**”) set out in Appendix B.

6. Payment Scheduling

The Supplier shall provide an Invoice to CDIC, in the case of Assigned Persons placed with CDIC on an interim basis, monthly, and for all other Services, upon completion and Acceptance of the Services.

7. CDIC Designated Officer

Name: <*>
Title: <*>

8. Disclosure Regarding Non-Compliant Jurisdictions

[Insert “None” or describe any disclosures re: Article 4 of the Agreement, if any]

Appendix B

QUALIFIED SERVICE STREAM(S)/SERVICE CATEGORIES/FUNCTIONS

For a description of “Service Stream(s)”, “Service Categories” and “Functions”, refer to the RFSO.

1. Service Stream #1 – Service Categories and Functions

[Instructions: Insert chart(s) for the particular Service Categories and/or Functions for which the Supplier is qualified, including the all-inclusive ceiling hourly rates and/or the all-inclusive flat ceiling rate fee or the fixed percentage (%) rate, as applicable.]

The above rates are applicable for the full Term of the Agreement, including any Renewals. Increases to rates as indicated above may only be done by submitting applicable rate changes or increases in response to a separate request for standing offers initiated by CDIC.

The Supplier shall ensure that the Services will be provided by Assigned Person(s) at the Level(s) within the Function whose hourly rate is the lowest among person(s) who are competent to provide the Services.

Guarantee Period: within three (3) months of the effective date of the appointment to a position.

2. Service Stream #2 – Service Categories and Functions

[Instructions: Insert charts(s) for the particular Service Categories and/or Functions for which the Supplier is qualified, including the all-inclusive ceiling hourly rates and/or the all-inclusive flat ceiling rate fee or the fixed percentage (%) rate, as applicable.]

The above rates are applicable for the full Term of the Agreement, including any Renewals. Increases to rates as indicated above may only be done by submitting applicable rate changes or increases in response to a separate request for standing offers initiated by CDIC.

The Supplier shall ensure that the Services will be provided by Assigned Person(s) at the Level(s) within the Function whose hourly rate is the lowest among person(s) who are competent to provide the Services.

Guarantee Period: within twelve (12) months of the effective date of the appointment to a position.

Appendix C

CONFIDENTIALITY, PRIVACY, CONFLICT OF INTEREST AND SECURITY

Any capitalized terms used herein but not defined have the meaning set out in the Agreement.

Confidentiality:

1. “Confidential Information” means

- (a) any and all technical and non-technical information including patents, copyrights, trade secrets, proprietary information, techniques, sketches, drawings, models, inventions, know-how, processes, apparatus, equipment, algorithms, software programs, software source documents, and formulae related to existing, proposed and future products and services;
- (b) information concerning research, experiments, procurement requirements, manufacturing, customer lists, business forecasts, sales, merchandising and marketing plans;
- (c) proprietary or confidential information of any third party that may rightfully be disclosed by CDIC to the Supplier;
- (d) information which is expressly communicated as being or is marked as confidential;
- (e) information which by its nature and the context in which it is disclosed is confidential;
- (f) all information regarding CDIC or any of its business affairs, liabilities, assets, plans or prospects, including any and all information in respect to the Services and the provision of those Services;
- (g) all information regarding any member or former member institution of CDIC, any parent or subsidiary corporation or affiliate thereof, or any of the business affairs, liabilities, assets, plans or prospects of any member or former member institution of CDIC or any parent or subsidiary corporation or affiliate thereof, disclosed to or received by the Supplier during or as a result of providing the Services, whether originating from CDIC or any other source; and
- (h) all Work Product.

2. The Supplier shall not disclose any Confidential Information, unless such disclosure:

- a. is compelled:
 - i. by law in connection with proceedings before a court, commission of inquiry or other public tribunal of competent jurisdiction;

- ii. by law at the request of any regulatory or supervisory authority having jurisdiction; or
 - iii. in accordance with the practices and procedures of Parliament (including any committee of the House of Commons or Senate of Canada);
 - b. is of information that is in the public domain or has come into the public domain other than by reason of a breach of this Appendix (and, for the purpose hereof, information is not considered to be in the public domain merely because it appears in a court file or other repository to which members of the public are capable of having access, but only if it has actually been disseminated to the general public, such as through the news media or the publication of annual or other reports);
 - c. is of information that has been, or is hereafter, received by the Supplier or any Assigned Person other than from or at the request of CDIC and other than during or as a result of providing the Services;
 - d. is part of the performance of any part of the Services which is to be done on a shared, cooperative or joint basis with such other persons at the request, or with the concurrence of the Designated Officer who have signed an agreement similar in form and substance to this Appendix; or
 - e. is made with the prior written consent of the Designated Officer.
3. If the Supplier believes that disclosure of Confidential Information is or is about to be required in one of the circumstances described in subsection 2.a, or in any circumstances not referred to in Section 2, it shall notify CDIC orally as soon as reasonably possible and as much in advance of the impending disclosure as possible, of the circumstances and scope of the disclosure and shall immediately confirm such oral notice in writing.
 4. The Supplier agrees that it acquires no right, title or interest in any Confidential Information, except a limited right to use the Confidential Information in connection with the provision of the Services. All Confidential Information remains the property of CDIC or its members and no licence or other right, title or interest in the Confidential Information is granted hereby.
 5. The Supplier agrees to protect the Confidential Information and prevent any wrongful use, dissemination or publication of the Confidential Information not permitted hereunder by a reasonable degree of care, but no less than the degree of care used to protect its own confidential information of a like nature.
 6. On receipt of a written demand from CDIC, the Supplier shall immediately return all Confidential Information, including any copies thereof, and any memoranda, notes or other documents relating to the Confidential Information (the “**Confidential Material**”), or shall provide a written certificate to CDIC certifying the destruction of all Confidential Information and Confidential Material and other materials embodying CDIC Intellectual Property if instructed by CDIC to destroy such Information.

7. The Supplier acknowledges and accepts that, in the event of any breach or anticipated breach of this Appendix, damages alone would not be an adequate remedy, and agrees that CDIC shall be entitled to seek equitable relief, such as an injunction, in addition to or in lieu of damages and without being required to prove that it has suffered or is likely to suffer damages.
8. All Confidential Information is provided “AS IS” and without any warranty, express, implied or otherwise, regarding its accuracy.
9. Unless expressly authorized in this Agreement or by CDIC in writing, Supplier shall, in accordance with reasonable industry standards, enforce policies, procedures and access control mechanisms to prevent the merger, linking or commingling of any Confidential Information or Personal Information with its own data or the data of any other person.

Privacy:

10. If CDIC intends to provide the Supplier with (or allow the Supplier to access or collect on CDIC’s behalf) any Personal Information as part of the Services, CDIC shall advise the Supplier of this fact, and the Supplier shall be required to comply with the following privacy obligations.
11. The Supplier shall comply at all times with all applicable Canadian laws and regulations relating to the collection, creation, use, storage and disclosure of Personal Information, and for greater certainty shall conduct itself so as to ensure that the Services comply with the Canadian *Privacy Act*.
12. The Supplier shall provide a copy of, or, where appropriate, a reference to, a privacy notice in a form acceptable to CDIC when collecting Personal Information on behalf of CDIC.
13. The Supplier shall not use or disclose any Personal Information except to the extent required to perform obligations under the Agreement or as otherwise permitted under applicable law. If, in performing its obligations under the Agreement, the Supplier is required to disclose Personal Information to a third party, the Supplier shall, prior to disclosing such Personal Information, advise CDIC in writing of the proposed use of the Personal Information by the third party. If CDIC consents to the disclosure, the Supplier shall require the third party to enter into an agreement imposing obligations upon the third party with respect to the collection, use and disclosure of the Personal Information that are substantially similar to the obligations set out herein, failing which, the Personal Information shall not be disclosed except in accordance with applicable law.
14. The Supplier shall promptly notify CDIC in writing and assist CDIC in resolving any claim, inquiry, active or pending investigation, or complaint that is made to the Supplier or filed with competent authorities, or any remedial action that has been ordered to be taken by competent authorities regarding the collection, storage, use or disclosure of Personal Information by the Supplier.
15. The Supplier shall retain the Personal Information only for so long as is reasonably necessary to complete the purposes for which the Personal Information was provided and

as otherwise permitted by applicable law, unless otherwise specified by CDIC in writing (collectively, the “**Retention Period**”) – and upon the expiry of the Retention Period, shall return to CDIC, or as directed by CDIC, delete or destroy the Personal Information. The Retention Period shall (unless otherwise specified by CDIC in writing) automatically expire on the date on which the Agreement expires or is terminated for any reason whatsoever. Upon request, the Supplier shall provide CDIC with a written certificate certifying the destruction of the Personal Information or the return to CDIC of all Personal Information (as applicable).

Conflict of interest:

16. CDIC requires any persons entering into any agreement with CDIC, supplying services to, or performing any work for or in regard to CDIC, to conduct their affairs in such a way as to avoid any conflict of interest. The Supplier hereby represents and declares that, after due inquiry, it is not aware of any circumstances which do or might cause the Supplier to have a conflict of interest in carrying out the Services. The Supplier agrees not to enter into any contract or other commitment with any person during the term of the Agreement that would cause a conflict of interest on the Supplier’s part in connection with the performance of the Services.

Security:

Protection of Information

17. The Supplier confirms that Services involving Personal Information or other “**Protected Information**”, as that term is defined in CDIC’s *Information Classification Standard* will be handled in accordance with CDIC’s *IT Asset and Information Handling Standard* and *Cryptography Procedure* and other security procedures, as applicable. Where the Supplier cannot meet the requirements of the procedure, Services involving Personal Information or other Protected Information will be performed on CDIC’s premises only, using CDIC computer systems exclusively or, where applicable, specific remote access or other technology approved by CDIC in writing (“**Access Technology**”) as set out below. The Supplier shall require that no Protected Information is removed from CDIC premises at any time during the Term of the Agreement, except where transmitted using the Access Technology.

CDIC has adopted Access Technology as a means for the secure electronic transmission of designated information, classified up to a Protected “B” level, over the Internet. In order for CDIC to provide Access Technology accounts to any Assigned Persons, the Supplier agrees that the Supplier shall, in addition to any other term herein, use the Access Technology in accordance with the following terms and conditions:

- (i) CDIC shall designate one or more Assigned Persons to be known as Token Registration Authorities (“**TRAs**”) who shall be responsible for coordinating the applications by, and for verifying the identify of, each Assigned Person for whom CDIC agrees to provide an Access

Technology account;

- (ii) CDIC reserves the right to refuse to issue an Access Technology account to any or all Assigned Persons;
- (iii) The Supplier shall be required to complete application forms to obtain Access Technology tokens with the approval of CDIC, together with training to be provided by CDIC concerning the administration of the Access Technology;
- (iv) The Supplier shall require all Assigned Persons with Access Technology accounts to keep their respective Access Technology tokens and passwords confidential, and to take all reasonable measures to prevent the loss, unauthorized disclosure, modification or improper use of any Access Technology token or associated password.

The Supplier shall prohibit each Assigned Person from sharing their Access Technology token or associated password with any other person;

- (v) The Supplier shall require that all CDIC data accessed and modified by the Supplier and its Assigned Persons while using the Access Technology is re-saved only to the CDIC network. The Supplier and its Assigned Persons shall not transfer, save or send any copies of CDIC data to a non-CDIC computer system, nor create hard copies of the data, without the express written consent of CDIC;
- (vi) The Supplier shall promptly advise CDIC if any Assigned Person's Access Technology token or associated password is, was or may be compromised or not secure, and shall likewise require Assigned Persons to promptly report any such incidents to the Supplier;
- (vii) The Supplier shall promptly advise CDIC if (a) any Assigned Person ceases to be involved in providing the Services, or (b) any of the information contained in an Assigned Person's Access Technology application changes or becomes otherwise inaccurate or incomplete;
- (viii) The Supplier acknowledges and agrees that the Access Technology is for the sole use of the Supplier in connection with the delivery of the Services to CDIC. The Supplier shall not permit any person other than an approved Assigned Person and CDIC to access the Access Technology and related software, or to authenticate Access Technology passwords in accordance with this Agreement;
- (ix) The Supplier shall require that any operating software and computer virus software that is installed on all computer systems to be used by the

Assigned Persons in connection with the Access Technology is acceptable to CDIC, and will update or install such software as CDIC may request to maintain the security of the Protected Information. The Supplier acknowledges that if the software required by CDIC is not installed properly on any computer systems used by Assigned Persons in connection with the Services, then access to the Access Technology and the CDIC network, and any use of the Access Technology, may be denied and will be at the Supplier's risk;

- (x) CDIC reserves the right to revoke or modify any Access Technology account provided to any Assigned Person at any time, without notice and in its sole discretion, including without limitation if an Access Technology token or password was, is or is suspected of being compromised, or if an Assigned Person is no longer involved in providing the Services. All Access Technology accounts shall be revoked by CDIC and all Access Technology tokens shall be promptly returned by the Supplier when the Agreement between CDIC and the Supplier expires or is terminated, whichever occurs earlier;
- (xi) The Supplier acknowledges that the Access Technology software is subject to intellectual property licenses and restrictions and agrees to adhere to the terms and conditions outlined in this Agreement concerning the use of such software. In particular, and without limiting the generality of other provisions in this Agreement, the Supplier shall not tamper with, alter, destroy, modify, reverse engineer, decompile, or abuse the Access Technology software or tokens in any way, nor distribute or use the software or tokens for any purpose other than for dealings with CDIC;
- (xii) The Supplier acknowledges and agrees that it shall be jointly and severally liable with each Assigned Person for any breach of the above terms concerning the use of the Access Technology software by any such Assigned Person; and
- (xiii) CDIC cannot warrant or represent that Access Technology will be always available or functional, including without limitation because of events such as system maintenance and repair, or events outside the reasonable control of CDIC, or that occurred without the fault or neglect of CDIC.

Security Clearance

18. If the performance of the Services involves Personal Information or other Protected Information, the Supplier shall require that all the Assigned Persons or any Subcontractor's personnel who will perform the Services either:

- (a) as of the Commencement Date, have a minimum security clearance of “Reliability”, as that term is defined in CDIC’s *Personnel Security Standard* or such other security clearance level as requested by CDIC; or
- (b) within one (1) week of the Commencement Date, the Supplier will apply to obtain the necessary security clearance.

The Supplier agrees that once the required level of security clearance is obtained by an Assigned Person or any Subcontractor’s personnel, it shall cause each Assigned Person or Subcontractor’s personnel to maintain his or her respective security clearance for the duration of his or her work during the term of the Agreement.

Appendix D SERVICE REQUEST AND TASK AUTHORIZATION FORM

(SAMPLE ONLY)

All correspondence and invoices **MUST** show the Service Request Number and Professional Services Agreement number.

SECTION 1: TO BE COMPLETED BY CDIC	
Service Request Number:	Professional Services Agreement Number:
Service Request Type: <i><Select One of the following></i> <input type="checkbox"/> Direct <input type="checkbox"/> Mini-Quotation (issued to multiple Suppliers)	Service Request Title:
To: <i><Supplier Name and Address></i>	Date of Service Request Issuance:
	Response Required by: <i><Identify Date and Time></i>
Type of Service Requested: <i><Select One of the following></i> <input type="checkbox"/> Service Stream #1 – Non-Executive Recruitment Services <input type="checkbox"/> Service Stream #2 – Executive Search Services	Required Commencement Date:
	Required Completion Date:
Statement of Work Details: <i><Insert Task Details – required Work, formats, milestones or due dates, or request the Supplier to provide a work plan, as applicable></i>	
Resource/Work Required for Delivery	Identify Required Delivery Dates (if applicable):
Required Resource Functions and Levels: <i><Select One of the following options. Where CDIC requires specific Functions/Levels, complete the table as applicable to the requirement></i> <input type="checkbox"/> Supplier to propose Resource Team	

OR

☐ CDIC requires the Services of the following:

Function	Level	Quantity Required <indicate number of Resources required>	Specific Assigned Person Required <Indicate name(s) if applicable>

Additional Resource Requirements: <Where CDIC requires additional experience, education/certification or qualification requirements, describe what is required per Resource Function and Level, as applicable>

Authorized Location(s) of Work: <Select all that apply>

- ☐ On-Site (50 O'Connor Street, Ottawa)
☐ On-Site (79 Wellington Street West, Suite 1200, Toronto)
☐ Off-Site (Supplier's Premises)

Provide any additional Requirements for the Statement of Work: <as applicable, include detailed schedule, milestones, and payment frequency (e.g. monthly, quarterly, by milestone, upon completion, etc.)>

Service Request Response Details:

With its response to this Service Request Form, the Supplier is requested to provide the following documentation under a separate cover: **<Select all that apply>**

- ☐ Proposed Work Plan and Schedule
- ☐ CVs of proposed Assigned Persons (Resources) demonstrating the Minimum Qualifications for the Function and level in which they are proposed, and any additional Resource Requirements set out in this Service Request
- ☐ Estimated hours to complete the Services
- ☐ Other **<Specify>** _____

Mini-Quotation Process: **<For use when the Service Request is issued to multiple Suppliers for a Response. Select applicable options and complete as applicable to the Service Request. Delete this section if not applicable>**

Responses to this Service Request will be evaluated based on the following:

- ☐ Experience of proposed Assigned Persons (Resources), weighted at <__ %>
- ☐ Proposed Total Fee, weighted at <__ %>

Refined Proposal Process Details: **<Select applicable options and complete as applicable to the Service Request. Delete this section if not applicable>**

Following CDIC's review and assessment of the Supplier's initial response, the Supplier will be asked to provide a refined response (e.g. refinement of proposed Resources, work plan and effort required) for CDIC's final evaluation and selection.

Select applicable Options:

- ☐ For Direct Service Requests: CDIC requests the Supplier to submit a Refined Response to Service Request No. <____###> in accordance with the updated Service Request Requirements as detailed herein **<Select where the Service Request is re-issued with refined scope, requirements, etc.>**
- ☐ For Mini-Quotation Process: Following the issuance of additional Refined Response Submission Requirements to Suppliers by CDIC, Suppliers will be eligible to submit a refined response for evaluation and determination by CDIC.

SECTION 2: TO BE COMPLETED BY SUPPLIER (CDIC TO SELECT APPLICABLE SUPPLIER ON BASIS OF PAYMENT).

Response Payment Format **<CDIC to select applicable Basis of Payment(s) for the Service Request and delete those that do not apply. Additional rows may be added as required>**

A. Payment on an Hourly Basis (Payment based on Actual Hours of Service Delivered multiplied by Hourly Rate)

Assigned Person Name	Function and Level	Proposed Hourly Rate	No. of hours	Extended price for Statement of Work (hourly rate x no. of hours)
		\$		\$
		\$		\$
		\$		\$
Estimated Total Fee				\$

B. Payment on an Estimated Price Basis (Payment based on Actual Hours of Service Delivery to an overall maximum Total Fee not to exceed the total amount identified below)

Work Product	Estimated Price per Work Product	Estimated number of hours per Work Product	Estimated extended price for Statement of Work
	\$		\$
	\$		\$
	\$		\$
	\$		\$
Estimated Total Fee			\$

C. Payment on a Firm/Fixed Price Basis (Payment based on Firm/Fixed Price per Work Product to an overall maximum Total Fee not to exceed the total amount identified below)

Work Product	Firm/Fixed Price per Work Product (hourly rate x no. of hours)
	\$
	\$
	\$
	\$
Firm/Fixed Total Fee	\$

Terms of Payment:**Total Fees for this Service Request:** \$ _____**Maximum (*GST/PST/HST*) Payable (__<0%>__):** \$ _____**Amount of this Statement of Work:** \$ _____*I have authority to bind the Supplier:***Supplier Authorized Representative Name:** _____ **Title:**

Supplier Signature: _____ **Date:** _____**SECTION 3: TASK AUTHORIZATION****Both parties must provide approval in writing below, resulting in a Task Authorization, to authorize Services to commence.****Start Date:**

We acknowledge receipt of this Task Authorization # _____ and agree to deliver the Services in accordance with the Terms and Conditions of the Professional Services Agreement and this Service Request.

*I have authority to bind the Supplier:***Supplier Signature:**

Supplier Authorized Representative Name:**Name:** _____**Date:** _____**End Date:**

Supplier is authorized to deliver the Services detailed in this Service Request, in accordance with its Service Request Response dated (_____).

Signed, sealed and delivered on behalf of CDIC

CDIC Signature:

CDIC Authorized Representative Name:**Name:** _____**Date:** _____