



REQUEST FOR PROPOSAL (RFP)

Subject:

SENATE RESOURCE MANAGEMENT SYSTEM (ERP) THREAT AND RISK ASSESSMENT (TRA)

For further details, please refer to the Statement of Work attached as Annex "A" of this document.

Issue Date: October 3, 2023	Closing Date and Time: November 6, 2023, at 11:00 a.m. EST	RFP No: SEN-045 23/24
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SENATE OF CANADA INFORMATION

For all enquiries the contracting authority is:

Contact: Shirley Chartrand
Title: Sr. Procurement Advisor
Address: 40 Elgin Street, 11th floor
Ottawa, ON K1A 0A4,
Canada
Telephone no: 613-995-8888 X 4
email: Proc-appr@sen.parl.gc.ca

Bids can be delivered by email only to the address of the contracting authority below.

email: Proc-Appr@sen.parl.gc.ca

PLEASE MARK ALL CORRESPONDENCE WITH THE RFP NUMBER INDICATED ABOVE.

BIDDER SIGNATURE BLOCK

The bidder offers and agrees to provide the Senate of Canada, upon the terms and conditions set out herein, including attachments to this document, the services listed herein and on any attachment at the price(s) set out, therefore.

The bidder must have the legal capacity to contract. If the bidder is a sole proprietorship, a partnership or a corporate body, the bidder must provide, if requested by the contracting authority, a statement and any requested supporting documentation indicating the laws under which it is registered or incorporated together with the registered or corporate name and place of business. This also applies to bidders submitting a bid as a joint venture.

Name of Firm:			
Name of Representative:			
Authorized Signature:		Date:	
Position Title:			
Email Address:			
Telephone Number:		Fax Number:	



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PART 1 - GENERAL INFORMATION

1. Introduction

This Request for Proposal (RFP) is divided into six (6) parts, six (6) annexes, as follows:

Part 1 General Information: provides a general description of the requirement;

Part 2 Bidder Instructions: provides the instructions, clauses and conditions applicable to the RFP;

Part 3 Bid Preparation Instructions: provides bidders with instructions on how to prepare their bid;

Part 4 Evaluation Procedures and Basis of Selection: indicates how the evaluation will be conducted, the evaluation criteria that must be addressed in the bid, and the basis of selection;

Part 5 Resulting Contract Clauses: includes the clauses and conditions that will apply to any resulting contract;

Part 6 Terms of Work and Payment;

Annex "A" Statement of Work (SOW);

Annex "B" All-inclusive Pricing and Basis of Payment;

Annex "C" Language Proficiency Form;

Annex "D" Work Breakdown Form

Annex "E" Non-Disclosure Form

Annex "F" Supplier creation and Direct Deposit Enrollment Form

2. Summary

- I. The Senate of Canada (Senate) is seeking to establish a contract for a Threat and Risk Assessment (TRA) service for the Senate Resource Management System (ERP) tool defined in Annex "A" - Statement of Work, **for a for a period of six (6) weeks.**

3. Debriefings

- I. Bidders may request a debriefing on the results of the RFP process. Bidders should make the request to the contracting authority within **five (5) working days** of receipt of the results of the RFP process. The debriefing may be in writing, by telephone or in person.

4. Bid Submission Language

- I. Submissions will be accepted in either English or French.



PART 2 – BIDDER INSTRUCTIONS

1. Prelude

- I. The Senate invites bidders to respond to this RFP to provide Threat and Risk Assessment (TRA) services for the Senate's ERP tool as described in Annex "A" – Statement of Work (SOW).

2. Signature Requirement

- I. Page 1 of this RFP must be completed, signed, dated and returned with the bidder's mandatory requirements bid thereby providing acknowledgement that they have read, understood and accepted the complete bid package and all addenda issued.
- II. The chief executive officer or a designate who has been authorized to commit the bidder to contracts must sign the RFP.
- III. Failure to sign the cover page may result in the disqualification of the bid.

3. Irrevocable Bids

- I. Bids will remain open for acceptance for a period of not less than **ninety (90) days** from the closing date of the RFP, unless specified otherwise in the RFP.
- II. The Senate reserves the right to seek an extension of the bid validity period from all responsive bidders in writing, and within a minimum of **five (5) days** before the end of the bid validity period. If the extension is accepted by all responsive bidders, the Senate will continue with the evaluation of the bids. If the extension is not accepted by all responsive bidders, the Senate will, at its sole discretion, either continue with the evaluation of the bids of those who have accepted the extension or cancel the bid altogether.

4. Cost Related to the Preparation of Bids

- I. No direct or indirect payment will be made for any costs that may be incurred relative to the preparation or submission of a bid in response to this RFP. All electronic documents shall become the property of the Senate and will not be returned.

5. Joint Venture

- I. A joint venture is an association of two or more parties that combine their money, property, knowledge, expertise or other resources into a single joint business enterprise (sometimes referred as a consortium), to bid together on a requirement. Bidders who bid as a joint venture must indicate clearly that they are doing so as a joint venture and must provide the following information:
 - a. the name of each member of the joint venture;
 - b. the procurement business number of each member of the joint venture;
 - c. the name of the representative of the joint venture, i.e., the member chosen by the other members to act on their behalf, if applicable; and
 - d. the name of the joint venture, if applicable.
- II. If the information is not clearly provided in the bid, the bidder must provide the information on request from the contracting authority.



- III. The bid and any resulting contract must be signed by all the members of the joint venture unless one member has been appointed to act on behalf of all members of the joint venture. The contracting authority may, at any time, require each member of the joint venture to confirm that the representative has been appointed with full authority to act as their representative for the purposes of the RFP and any resulting contract. If a contract is awarded to a joint venture, all members of the joint venture will be jointly and severally liable for the performance of any resulting contract.

6. Enquiries and Communications

- I. The contracting authority for all enquiries and other communications regarding this RFP is stated on the cover page of this document. All communication or enquiries must be directed **ONLY** to that person. Non-compliance with this condition may, for that reason alone, result in the disqualification of bidder's bid.
- II. RFP enquiries regarding this RFP must be received by email at Proc-Appr@sen.parl.gc.ca by the contracting authority, **no later than October 24, 2023 at 11:00 a.m. EDT**. Enquiries received after that time may not be answered. Bidders should reference as accurately as possible the numbered item of the RFP to which the enquiry relates. Care should be taken by bidders to explain each question in sufficient detail in order to enable the Senate to provide an accurate answer. Enquiries that are of a proprietary nature must be clearly marked "proprietary" at each relevant item. Items identified as "proprietary" will be treated as such except when the Senate determines that the enquiry is not of a proprietary nature. The Senate may edit the question(s) or may request that the bidder do so, in order that the proprietary nature of the question(s) is eliminated, and the enquiry can be answered for all bidders. Enquiries not submitted in a form that can be distributed to all bidders may not be answered by the Senate.
- III. To ensure the equality of information among bidders, answers to enquiries which are relevant to the RFP will be posted to all bidders on CanadaBuys without revealing the sources of the enquiry.

7. Provision of False or Incorrect Information

- I. The Senate will reject any bids found to contain false, incorrect or misleading information. It is the responsibility of the bidder to ensure that all information provided is accurate, clear and easily understood. Furthermore, the Senate may refer cases of fraudulent misrepresentation to the Royal Canadian Mounted Police for potential criminal investigation.

8. Price Justification

- I. In the event that only a single responsive bid is received, the bidder must provide any price justification document(s) requested by the Senate. Price justification documents may include one or more of the following:
 - a. a current published price list indicating the percentage discount available to the Senate;
 - b. a copy of paid invoices for similar services provided to other clients;
 - c. a price breakdown showing the cost of direct labour and profit;
 - d. price or rate certifications; or
 - e. any other supporting documentation as requested by the Senate.

9. Conflict of Interest – Unfair Advantage



- I. In order to protect the integrity of the procurement process, bidders are advised that the Senate may reject a bid in the following circumstances:
 - a. if the bidder, any of their affiliates or subcontractors, or any of their respective employees or former employees involved in the preparation of the RFP in any manner is or was in any situation of conflict of interest or an appearance of conflict of interest;
 - b. if the bidder, any of their affiliates or subcontractors, or any of their respective employees or former employees had access to information related to the RFP that was not available to other bidders and that would, in the Senate's opinion, give or appear to give the bidder an unfair advantage.
- II. The experience acquired by a bidder who is providing or has provided the services described in the RFP (or any similar such services) will not, in itself, be considered by the Senate as conferring an unfair advantage or creating a conflict of interest; however, the bidder remains subject to the criteria established above.
- III. If the Senate intends to reject a bid under this section, the contracting authority will inform the bidder and provide them with an opportunity to make representations before making a final decision. Bidders who are in doubt about a particular situation should contact the contracting authority before bid closing. By submitting a bid, the bidder represents that they do not consider themselves to be in conflict of interest nor to have an unfair advantage. The bidder acknowledges that it is within the Senate's sole discretion to determine if a conflict of interest, unfair advantage or appearance of conflict of interest or unfair advantage exists.

10. Ownership of RFP Documents

- I. This RFP and all supporting documentation have been prepared by the Senate and remain the sole property of the Senate, Ottawa, Canada. The information is provided to the bidder solely for their use in connection with the preparation of a response to this RFP and shall be considered proprietary and confidential information of the Senate. These documents are not to be reproduced, copied, loaned or otherwise disclosed either directly or indirectly to any third party, except to those of the bidder's employees who have a need to know for the preparation of the bidder's response. The bidder further agrees not to use the documents for any purpose other than that for which they are specifically furnished.

11. Funding Approvals

- I. Bidders should note that all contract awards are subject to the Senate's internal approvals process which includes the requirement of obtaining internal approvals should funding requirements exceed internal budgets for any proposed contract. Despite the fact that a bidder may have been recommended for contract award, a contract will only be awarded if internal approval is granted according to the Senate's internal policies. If approval is not granted, a contract cannot be awarded.

12. Applicable Laws

- I. Any resulting contract must be interpreted and governed and the relations between the parties must be determined, by the laws in force in the **Province of**



Ontario.

13. Level of Security

- I. In accordance with the Senate Accreditation Policy, a valid security clearance is a condition of any Senate contract and is required for all individuals with whom the Senate may need to share or provide access to sensitive or classified information, assets, or facilities.
- II. The Contractor shall ensure that before any work is undertaken for the Senate, all individuals – including affiliates and subcontractors working on any resulting contract(s) – must undergo the Senate’s security screening process and successfully obtain Senate security clearance at the level of “secret” or they must have successfully obtained an equivalent or higher security status under the security policy of another Canadian legislature or governmental institution recognized by the Senate. Any equivalent security status or clearance must be approved by the Senate prior to the initiation of any work.
- III. Individuals who do not hold a valid security clearance at the level of “secret” by a recognized institution must undergo the Senate security screening process and successfully obtain a Senate security clearance at the required level.
- IV. A financial enquiry may be performed as part of the security screening process to assess whether an individual poses a security risk on the basis of financial pressure or history of poor financial responsibility. The inclusion of a financial enquiry in a security screening is determined on a case-by-case basis and is based on the types of duties or tasks being performed by the individual at the Senate.
- V. The Senate reserves the right to raise the required level of a security clearance as needed.



PART 3 – BID PREPARATION INSTRUCTIONS

1. Bid Preparation Instructions

The Senate requests that bidders provide their bid in separate electronic files in a single transmission as follows:

- File I: Mandatory Criteria (one soft copy in PDF format) and page 1 of the RFP signed;
- File II: Technical Bid (one soft copy in PDF format);
- File III: Financial Bid – Annex “B” – All-inclusive pricing and Basis of Payment (one soft copy in PDF format);
- File IV: Annex “D” – Work Breakdown Form (one soft copy in PDF format);
- File V: Annex “F” – Supplier Creation and Direct Deposit Enrollment Form (one soft copy in PDF format).

Bidders must quote the RFP number as part of the subject line in their bid submission.

Prices must appear in the financial bid only. Prices indicated in any other section of the bid will result in the disqualification of the bid.

The Senate will not be responsible for any failure attributable to the transmission or receipt of the emailed bid. The Senate will send a confirmation email to the bidders when the submission is received.

File I: Mandatory Criteria

- I. In the “Mandatory Criteria” section of their bid, bidders should clearly indicate how they meet each of the mandatory criteria outlined in Part 4 – Evaluation Procedures and Basis of Selection.

File II: Technical Bid

- I. In their technical bid, bidders should demonstrate their understanding of the requirements contained in the RFP and explain how they will meet these requirements. Bidders should demonstrate their capability and describe in a thorough, concise and clear manner, their approach to carrying out the work.
- II. The technical bid should address clearly and in sufficient depth the points that are subject to the evaluation criteria against which the bid will be evaluated. Simply repeating the statement contained in the RFP is not sufficient. In order to facilitate the evaluation of the bid, the Senate requests that bidders address and present topics in the order of the evaluation criteria under the same headings. To avoid duplication, bidders may refer to different sections of their bids by identifying the specific paragraph and page number where the subject topic has already been addressed.

File III: Financial Bid - Annex “B” – All-inclusive Pricing and Basis of Payment

- I. All-inclusive pricing must include all requirements as set forth in the RFP.



- II. Bidders must submit their financial bid in Canadian funds, and in accordance with Annex "C" – All-inclusive Pricing and Basis of Payment.

File IV: Annex "D" – Work breakdown Form

- I. Bidders must complete, sign and return Annex "D" - Work breakdown Form with their bid
- II. Bidders should address clearly the effort needed per evaluation criteria against which the bid will be evaluated.

File V: Annex "F" – Supplier Creation and Direct Deposit Enrollment Form

- I. Bidders must complete, sign and return Annex "F" – Supplier Creation and Direct Deposit Enrollment Form with their bid.



PART 4 – EVALUATION PROCEDURES AND BASIS OF SELECTION

1. Evaluation Procedures

- I. Bids will be assessed in accordance with the entire requirement of the RFP including the mandatory criteria, technical criteria and financial bid.
- II. The Senate will conduct the RFP process in a fair manner and will treat all bidders equally. Objective standards and evaluation criteria will be applied uniformly to all bidders.
- III. An evaluation team composed of representatives of the Senate will evaluate the bids.
- IV. It is the responsibility of a bidder to ensure that their bid is clear and complete. The Senate reserves the right to contact any bidder during the evaluation of bids to obtain clarifications. If the Senate seeks clarification or verification from a bidder about their bid, the bidder will have **two (2) working days** (or a longer period if specified in writing by the contracting authority) to provide the necessary information to the Senate. Failure to meet this deadline will result in the bidder being declared non-responsive, and the bid will receive no further consideration.

2. Mandatory Criteria (Phase 1)

- I. Bidders must ensure full compliance with all the following mandatory requirements. Failure to clearly demonstrate full compliance or to provide supporting documentation will result in the disqualification of the bid.
- II. The bidder must include the “Mandatory Criteria Table” as an appendix in their bid and ensure that the relevant page and paragraph numbers in the bid are indicated in the column entitled “Cross Reference” for all mandatory information that is included.

The mandatory criteria are as follows:

MANDATORY CRITERIA TABLE			
Mandatory Criteria	Submission Requirements	Met / Not Met	Cross Reference
<p>M1. Bidder’s Representative</p> <p>The Bidder must designate a bilingual account manager who will act as the principal point of contact for all matters related to these requested services.</p> <p>The account manager must meet the language proficiency level intermediate as described in Annex “C” - Language Proficiency.</p>	<p>In order to meet this Mandatory requirement, the Bidder must provide:</p> <ul style="list-style-type: none"> • Account Manager’s full name; • Contact Information (including telephone number and/or email address); • a statement indicating that he/she meets the language requirement. <p>All information requested must be provided under</p>		

MANDATORY CRITERIA TABLE			
Mandatory Criteria	Submission Requirements	Met / Not Met	Cross Reference
	<p>Mandatory Criterion (M1) in your submission.</p> <p>Failure to provide the information specified will result in your proposal being given no further consideration.</p>		
<p>M2. Years of Experience</p> <p>The Bidder must have a minimum of five (5) years' experience within the last 8 years performing IT Security Risk Assessment services.</p> <p>Bidder - A person or entity submitting a bid to perform the specified services.</p>	<p>In order to meet this Mandatory requirement, the Bidder must provide:</p> <ul style="list-style-type: none"> • a statement indicating compliancy with the mandatory requirement. <p>This information must be provided under Mandatory Criterion (M2) in your submission.</p> <p>Failure to provide the information specified will result in your proposal being given no further consideration.</p>		
<p>M3. List of Security Consultant project team members</p> <p>The Bidder must provide a list of the names of all Security Consultant project team members, with a title and explanation of the role they will have on this project and any professional certifications each member has.</p> <p>The information provided will be evaluated under R6 - Experience of Security Consultant project team members.</p> <p>The Bidder must demonstrate their experience and skills in providing IT Security Risk Assessment audits which is above and beyond the minimum five (5) years of experience in the last eight (8) years.</p>	<p>In order to meet this Mandatory requirement, the Bidder must provide:</p> <ul style="list-style-type: none"> • A list of the names of all Security Consultant project team members; • Title and explanation of their role on this project; • Each Security Consultant project team member's Curriculum Vitae (CV), including a listing of their professional certification as outlined in R6 - Experience of Security Consultant project team members <p>This information must be provided under Mandatory Criterion (M3) in your submission.</p>		

MANDATORY CRITERIA TABLE			
Mandatory Criteria	Submission Requirements	Met / Not Met	Cross Reference
	Failure to provide the information specified will result in your proposal being given no further consideration.		
<p>M4. References</p> <p>The Bidder must provide a list of two (2) references (one must be a Canadian federal or provincial government department), to whom they have provided similar services for a minimum period of one (1) month within the last five (5) years.</p> <p>The Senate of Canada may contact the references to confirm that the work was completed in a satisfactory manner.</p> <p>References should be available two (2) weeks after the closing of the RFP.</p> <p>These two references will be evaluated in R4 - Bidder References below.</p> <p>Note: The Senate of Canada cannot be used as a reference</p>	<p>In order to meet this mandatory criterion, the bidder must provide the following information for each reference:</p> <ul style="list-style-type: none"> • the name of the organization for which the work was performed; • the name of the project lead within the organization for which the work was performed; • the current email address and/or current telephone number for the project lead; • the start and end date of the work performed; • a detailed summary of the work performed; and • a summary of feedback or evaluation results from the organization for which the work was performed, if available. <p>All information requested must be provided under Mandatory Criterion (M4) in your submission.</p> <p>Failure to provide this information will result in your bid being given no further consideration.</p>		

MANDATORY CRITERIA TABLE			
Mandatory Criteria	Submission Requirements	Met / Not Met	Cross Reference
<p>M5. Unit4 Technology</p> <p>The bidder must confirm they have exhaustive expertise with conducting IT Security Risk Assessments on Unit4 or similar Enterprise Resource Planning (ERP) systems.</p> <p>This criterion will be evaluated in R7 - Unit4 Technology and R8 – ERP Technology.</p>	<p>To meet this mandatory requirement, the bidder must provide:</p> <ul style="list-style-type: none"> a statement indicating compliance with the mandatory criterion. <p>This information must be provided under Mandatory Criterion (M5) in the bidder’s submission.</p> <p>Failure to provide it will result in the bid being given no further consideration.</p>		
<p>M6. Acceptance of resulting contract clauses</p> <p>The bidder must confirm that, should they be the successful bidder, they accept and will implement the resulting contract clauses outlined in Part 5 — Resulting Contract Clauses.</p>	<p>To meet this mandatory requirement, the bidder must provide:</p> <ul style="list-style-type: none"> a statement indicating compliance with the mandatory criterion. <p>This information must be provided under Mandatory Criterion (M6) in the bidder’s submission.</p> <p>Failure to provide it will result in the bid being given no further consideration.</p>		

3. Rated Evaluation Criteria (Phase 2)

- I. Bids that do not clearly meet all the mandatory criteria set forth in this RFP and do not attain a minimum of **219 Points for** the requirements that are subject to the evaluation criteria points rating system will receive no further consideration.
- II. Price is only one criterion in the evaluation of bids. The Senate is seeking best overall value and will evaluate bids on a points-rating system based on evaluation criteria.
- III. The bidder must include the “Points Rated Technical Criterion” table in their bid and ensure that the page and paragraph number in the bidder’s appendix are indicated in the column entitled “Cross Reference” for all included rated information.
- IV. Bidders must include all information relating to the criteria in the bidder’s Technical Bid. All information contained within the bidder’s technical bid must be complete and clear in order to be evaluated. Failure to include all

information may result in disqualification of the bid.

The rated evaluation criteria are as follows:

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
<p>R1. Understanding the Requirement</p> <p>The Bidder shall demonstrate their understanding of the requirement as identified in Annex “A” - Statement of Work.</p>	<p>Maximum 20 points</p> <p>0 points: Information provided does not address the criteria.</p> <p>1-5 points: Information provided demonstrates a minimal understanding that is relevant to the stated criteria.</p> <p>6-14 points: Information provided demonstrates understanding for most but not all the elements of the rated criteria.</p> <p>15-20 points: Rated criteria is dealt with in depth, information provided demonstrates a full range of in-depth understanding of all of the elements of the rate criteria.</p>	
<p>R2. Bidder’s Experience</p> <p>The bidder must demonstrate their experience and skills in providing IT Security Risk Assessment which is above and beyond the minimum five (5) years of experience in the last eight (8) years identified in M2 – Years of Experience.</p>	<p>Maximum 10 points</p> <p>6 points: 5 + to 10 years 8 points: 10+ to 15 years 10 points: 15+ years</p>	
<p>R3. Methodology</p> <p>The Bidder shall demonstrate its proposed methodology for completing the IT Security Risk Assessment as described in Annex “A” - Statement of Work. The following will be rated:</p> <ul style="list-style-type: none"> • Assessment Methodology • Schedules • Timelines (as detailed in Annex “D” – Work Breakdown Form) 	<p>Maximum 45 points</p> <p>0 points: Information provided does not address the criteria.</p> <p>1-15 points: Information provided demonstrates a minimal understanding that is relevant to the stated criteria.</p> <p>16-30 points: Information provided demonstrates understanding for</p>	

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
<ul style="list-style-type: none"> • Availability of resources • Project Plan • Reporting Mechanism • Recording of Client input 	<p>most but not all the elements of the rated criteria.</p> <p>31-45 points: Rated criteria is dealt with in depth, information provided demonstrates a full range of in-depth understanding of all the elements of the rated criteria.</p>	
<p>R4. Bidder References</p> <p>The two (2) references provided in M4 - References, where at least one of the two must be a Canadian Federal or provincial Government Department and will be evaluated on the scope and complexity of work outlined in Annex “A” – Statement of Work.</p> <p>The Bidder shall provide the following information:</p> <ul style="list-style-type: none"> • the name of the organization for which the work was performed; • the name and title of the project lead within the organization for which the work was performed; • the current email address and/or current telephone number for the project lead; • the start and end dates of the work performed; • a detailed summary of the work performed; and • a summary of feedback or evaluation results from the organization for which the work was performed, if available. <p>The Senate of Canada may contact the references to</p>	<p>Maximum 100 points</p> <p>Each reference will be scored on 50 points</p> <p>0 points: The Reference provided does not validate a similarity to the work requested in the SOW.</p> <p>1-16 points: The Reference provided validates a minimal similarity to the work requested in the SOW.</p> <p>17-33 points: The Reference provided demonstrates a similarity to the work requested in the SOW but not in all aspects.</p> <p>34-50 points: The Reference provided validates a full similarity to the work requested in the SOW.</p>	

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
<p>confirm that the work was completed in a satisfactory manner.</p> <p>References should be available two (2) weeks after the closing of the RFP.</p> <p>Note: The Senate cannot be used as references.</p>		
<p>R5. Experience of Lead Security Consultant and Account Manager</p> <p>The Bidder’s Lead Security Consultant and Account Manager should demonstrate experience which is above and beyond the minimum 5 years identified in M3- List of Security Consultant project team members.</p> <p>The Bidder should include detailed information in their proposal on how their Lead Security Consultant and Account Manager meet or exceed this experience requirement.</p>	<p>Maximum 10 points</p> <p>Account Manager:</p> <p>1 point: 1 to 2 years above minimum 3 points: 2+ to 5 years above minimum 5 points: 5+ years above minimum</p> <p>Lead Security Consultant:</p> <p>1 point: 1 to 2 years above minimum 3 points: 2+ to 5 years above minimum 5 points: 5+ years above minimum</p> <p>This will be assessed on the CV provided under Mandatory Criterion M3 - List of Security Consultant project team members.</p>	
<p>R6. Experience of Security Consultant project team members</p> <p>The information the Bidder provided under Mandatory Criterion M3 - List of Security Consultant project team members shall be evaluated relevant to the requirements identified in Annex – “A” Statement of Work.</p> <p>The Bidder shall provide the following information:</p>	<p>Maximum 45 points</p> <p>0 points: Information provided does not address the criteria.</p> <p>1-15 points: Information provided demonstrates a minimal understanding that is relevant to the stated criteria</p> <p>16-30 points: Information provided demonstrates understanding for most but not all the elements of the rated criteria.</p>	

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
<ul style="list-style-type: none"> • A list of all Security Consultant project team members; • Title and explanation of their role on this project; • Each Security Consultant project team member’s CV, including a listing of their professional certification. 	<p>31-45 points: Rated criteria is dealt with in depth, information provided demonstrates a full range of in-depth understanding of all the elements of the rated criteria.</p>	
<p>R7. Unit4 Technology</p> <p>The bidder should confirm they have exhaustive expertise with conducting IT Security Risk on Unity 4 systems.</p>	<p>Maximum 40 points</p> <p>1–13 points: Information provided demonstrates that the bidder has basic expertise conducting IT Security Risk Assessments on Unit4 technology.</p> <p>14–26 points: Information provided demonstrates that the bidder has moderate expertise conducting IT Security Risk Assessments on Unit4 technology.</p> <p>27–40 points: Information provided demonstrates that the bidder has comprehensive expertise conducting IT Security Risk Assessments on Unit4 technology.</p>	
<p>R8 – ERP Technology</p> <p>The bidder should confirm they have exhaustive expertise with conducting IT Security Risk Assessments on Enterprise Resource Planning (ERP) systems.</p>	<p>Maximum 40 points</p> <p>1–13 points: Information provided demonstrates that the bidder has basic expertise in conducting IT Security Risk Assessments with ERP systems.</p> <p>14–26 points: Information provided demonstrates that the bidder has moderate expertise in conducting IT Security Risk Assessments with ERP systems.</p> <p>27–40 points: Information provided demonstrates that the bidder has comprehensive expertise with conducting IT Security Risk Assessments with ERP systems.</p>	
<p>R9. Information Security — Encryption — Data at Rest</p> <p>The bidder should provide details on the measures they will use to ensure Senate information in their possession is</p>	<p>Maximum 15 points</p> <p>1–5 points: Information provided demonstrates that the bidder has basic measures and technology solutions in place to ensure the</p>	

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
<p>encrypted at rest, including the technology solutions for encryption and any relevant industry standard compliance/certification, such as Federal Information Processing Standards (FIPS) or Common Criteria.</p> <p>For the purposes of evaluation:</p> <p>measures means the actions taken and implemented by the bidder to ensure data encryption (including policies, standards, procedures, etc.); and</p> <p>technology solutions means the software and/or hardware used by the bidder to encrypt data at rest.</p>	<p>encryption of data that is Senate information at rest.</p> <p>6–10 points: Information provided demonstrates that the bidder has moderate measures and technology solutions in place to ensure the encryption of data that is Senate information at rest.</p> <p>11–15 points: Information provided demonstrates that the bidder has comprehensive measures and technology solutions in place to ensure the encryption of data that is Senate information at rest.</p>	
<p>R10. Information Security — Encryption — Data in Transit</p> <p>The bidder should provide details on the measures that they will use to ensure Senate information communicated to the Senate is encrypted in transit, including the technology solutions for encryption and any relevant industry standard compliance/certification, such as FIPS or Common Criteria.</p> <p>For the purposes of evaluation:</p> <p>measures means the actions taken and implemented by the bidder to ensure data encryption (including policies, standards, procedures, etc.); and</p> <p>technology solutions means the software and/or hardware used by the bidder to encrypt data in transit.</p>	<p>Maximum 15 points</p> <p>1–5 points: Information provided demonstrates that the bidder has basic measures and technology solutions in place to ensure the encryption of data that is Senate information in transit.</p> <p>6–10 points: Information provided demonstrates that the bidder has moderate measures and technology solutions in place to ensure the encryption of data that is Senate information in transit.</p> <p>11–15 points: Information provided demonstrates that the bidder has comprehensive measures and technology solutions in place to ensure the encryption of data that is Senate information in transit.</p>	
<p>R11. Green Business Practices</p> <p>The Bidder shall demonstrate environmental practices used by their company.</p>	<p>Maximum 5 points</p> <p>0 point: The Bidder does not demonstrate environmental practices used by their company.</p>	

TABLE B – TECHNICAL EVALUATION CRITERIA		
TECHNICAL MERIT Point-Rated Technical Criterion	Maximum Number of Points Available	Cross- Reference Section
	5 points: The Bidder demonstrates environmental practices used by their company.	
R11. Accessibility The Bidder shall outline their company’s accessibility practices “Accessibility refers to the design of products, devices, services, or environments as to be usable by people with disabilities.”	Maximum 5 points 0 point: The Bidder does not demonstrate accessibility practices. 5 points: The Bidder demonstrates accessibility practices.	
Total of all the point-rated technical criteria	350 points Maximum	
Minimum pass mark (245points)	245 points required to pass	

4. Presentation (Phase 3)

- I. The top three (3) highest scoring bidders in phase 2 may be invited to give a presentation to the Senate. The presentation will be for a duration of one (1) hour.
- II. The presentations will take place **during the month of November 2023** (exact dates and times to be confirmed) and will take place virtually using videoconferencing technology (Zoom or MS Teams, with simultaneous interpretation). The bidders will be given a minimum of three (3) days notice to prepare for the presentation.

Presentation Point Rated Criterion	Maximum Number of Points Available
The Bidder’s Lead Security Consultant and Account Manager, along with up to a maximum of three (3) team members, will give a presentation to the Senate to present their bid and validate their experience in conducting the work described in the Annex “A” – Statement of Work, as well as to address any questions the evaluation team may have. The bidder will be assessed on the basis of: <ul style="list-style-type: none"> • Their sound understanding of the services and deliverables required to help the Senate reach its goals. • The effectiveness of their proposed approach to do the work described in the Statement of Work. • Their communication and interpersonal skills and abilities. 	100 points



Presentation Point Rated Criterion	Maximum Number of Points Available
<ul style="list-style-type: none"> Their ability to communicate effectively orally in both English and French. <p>1 Hour Presentation:</p> <ul style="list-style-type: none"> Up to 45 minutes presentation on proposed plan 15 minutes to respond to questions on the presentation Questions during the presentation will be asked in English and French 	
Minimum pass mark	75 points

5. Financial Evaluation (Phase 4)

- I. The price of the bid will be evaluated in Canadian dollars, applicable taxes excluded
- II. For bid evaluation and contractor selection purposes only, the evaluated price of a bid will be determined in accordance with the Financial Bid detailed in Annex “B” - All-inclusive Pricing and Basis of Payment.

6. Basis of Selection

A bid must comply with all the requirements of the RFP. If it is determined that a bid does not comply with any of the requirements of the RFP, such bid will be deemed non-responsive and will not be given further consideration.

The evaluation and selection process will be conducted in the following phases:

- Phase 1 – Mandatory Criteria
- Phase 2 – Technical Merit - Rated Evaluation
- Phase 3 - Presentation
- Phase 4 – Determination of Highest Ranked Bidder

Phase 1 – Mandatory Criteria

In Phase 1, all bids will be evaluated for their compliance with the mandatory criteria. Any bid that fails to meet any of the mandatory criteria will be deemed non-responsive and will not be given further consideration.

Phase 2 – Technical Merit - Rated Evaluation

In Phase 2, the bids that are deemed responsive in Phase 1 will be evaluated against the rated technical evaluation criteria. If any Phase 2 bid does not obtain the required minimum overall points for the technical evaluation criteria, that bid will not be given further consideration.

Phase 3 – Presentation

In Phase 3, the top **three (3)** responsive bidders after the completion of Phases 1 and 2 may be invited to give a presentation. Bids that do not obtain the required minimum overall points for the presentation will not be given further consideration.



Phase 4 – Determination of Highest Ranked Bidders

In Phase 4, a combined evaluation score for those bids deemed responsive in Phases 1, 2 and 3 will be determined in accordance with the following formula:

$$\frac{\text{Technical Bid Score x 60\%}}{\text{Maximum number of points}} + \frac{\text{Lowest price received x 40\%}}{\text{Bidder's price}} = \text{Combined Total Score}$$

The Bidder with the highest Total Score will be considered for award of a contract.

In the event of a tie between bids, that is to say when all the factors, including the price, are considered to be equal, a coin toss will be made to determine which bidder will obtain the contract.



PART 5 - RESULTING CONTRACT CLAUSES

The following clauses and conditions shall apply to and form part of any contract resulting from this RFP.

1. Appropriate law

- I. This contract shall be interpreted and governed by the laws in force in the Province of **Ontario**.

2. Assignment

- I. This contract shall not be assigned in whole or in part by the contractor without the prior written consent of the Senate and any assignment made without that consent is void and of no effect.
- II. No assignment of the contract shall relieve the contractor from obligations under the contract or impose any liability upon the Senate.

3. Time is of the essence

- I. Time is of the essence in this contract.
- II. Any delay by the contractor in performing their obligations under this contract that is caused by events beyond the contractor's control must be reported in writing to the Senate. This notice shall state the cause(s) and circumstances of the delay. Furthermore, when asked to do so, the contractor shall deliver, in a form satisfactory to the Senate, a "work around plan" that includes alternative sources and any other means that the contractor will utilize to overcome the delay.
- III. Unless the contractor complies with the notice requirements set forth in this contract, any delay that would constitute an excusable delay shall be deemed not to be an excusable delay.
- IV. Notwithstanding that the contractor has complied with the notice requirements, the Senate may exercise any right of termination contained in this contract.

4. Indemnity against claims

- I. Except as otherwise provided in the contract, the contractor shall indemnify and save harmless the Senate from and against any and all claims, damages, losses, costs and expenses that they may at any time incur or suffer as a result or arising out of:
 - a. any injury to persons (including injuries resulting in death) or loss of or damage to property of others that may be alleged to be caused by or suffered as a result of the carrying out of work or any part thereof; and
 - b. any liens, attachments, charges or other encumbrances or claims upon or in respect of any materials, parts, work in progress or finished work delivered to or in respect of which any payments have been made by the Senate.

5. Inspection and acceptance

- I. All reports, deliverables, items, documents, goods and services rendered under this contract are subject to inspection by the project authority or their representative. Should any report, document, good or services not be in



accordance with the requirement of the statement of work and to the satisfaction of the project authority or their representative, as submitted, the project authority will have the right to reject it or require its correction at the sole expense of the contractor before recommending payment. The Senate reserves the right of access to any records resulting from this contract.

6. Termination of contract

- I. The Senate may immediately terminate this contract if the contractor is for any reason unable to provide the services required under this agreement. Any such termination notice shall be given in writing.
- II. The contract may be immediately terminated by the Senate if it is determined that the services provided by the contractor are not satisfactory. Any such termination notice shall be given in writing.
- III. The contract may be terminated by the Senate upon **ten (10) days'** written notice if it is determined that the work, services or goods provided by the contractor, either in whole or in part, are no longer required.
- IV. Either party may terminate this contract upon **ten (10) days'** written notice.

7. Notice

- V. Any notice or other communication may be given in any manner. If it is required to be in writing, any such notice or communication shall be addressed to the party to whom it is intended, at the address in the contract or at the last address at which the sender has received written notice.
- VI. Any notice or other communication given in writing in accordance with paragraph 7.I shall be deemed to have been received by either party:
 - a. if delivered personally, on the day it was delivered;
 - b. if forwarded by mail, on the earlier of the day it was received or the sixth day after it was mailed; or
 - c. if forwarded by facsimile or electronic mail, 24 hours after it was transmitted.
- VII. Notwithstanding the above, any notice given under "Termination of contract" clause shall be given in writing.

8. Warranties

- I. The contractor warrants that:
 - a. they are competent to perform the work required under this contract and have the necessary qualifications, including the knowledge, skill and ability to perform the work effectively;
 - b. they shall provide under this contract a quality of service at least equal to the quality that contractors generally would expect of a competent contractor in a like situation;
 - c. they have complete authority to enter into this contract; and
 - d. all work commenced under this contract will be completed in full.

9. Records to be kept by the contractor



- I. The contractor shall keep proper accounts and records of the costs of work, services, and all expenditures or commitments made by them, including the invoices, receipts and vouchers. These accounts and records shall be open to audit and inspection at reasonable times by the authorized representatives of the Senate, who may make copies and take extracts therefrom.
- II. The contractor shall not dispose of the documents referred to herein without the written consent of the Senate, but shall preserve and keep them available for audit and inspection for any period of time that may be specified elsewhere in this contract or, in the absence of such a specification, for a period of two (2) years following the completion of the work.

10. Confidentiality

- I. Any information which is non-public, of a character confidential to the affairs of the Senate of Canada, its members or any of its employees, agents or contractors or proprietary in nature to the Senate or to a third party to which the contractor or any of its employees, or affiliates or subcontractors become privy as a result of services to be performed under this contract shall be treated as confidential during and after the performance of the work.

11. Information Security

- I. For the purposes of this clause, "Senate confidential or restricted information" means information that has been classified by the Senate as "Confidential" or "Restricted" and that, if compromised, could cause serious or extreme injury to individuals or to the Senate. It also includes information generated by the contractor in the performance of this contract that, if compromised, could cause serious or extreme injury to individuals or the Senate.
- II. The contractor must encrypt all Senate confidential or restricted information while it is in transit to the Senate in accordance with, at a minimum, the measures described in the contractor's bid submitted in relation to the RFP under which this contract was formed in response to the rated criterion entitled "Information Security — Encryption — Data in Transit."
- III. The contractor must encrypt all Senate confidential or restricted information that the contractor receives or generates on computers, external storage and portable devices in accordance with, at a minimum, the measures described in the contractor's bid submitted in relation to the RFP under which this contract was formed in response to the rated criterion entitled "Information Security — Encryption — Data at Rest."
- IV. The contractor will immediately inform the contracting authority of any changes to the measures respecting data encryption described in the contractor's bid submitted in relation to the RFP under which this contract was formed in response to the rated criteria "Information Security — Encryption — Data at Rest" and "Information Security — Encryption — Data in Transit."
- V. The contractor must ensure that all Senate confidential or restricted information provided or generated under this contract is kept in Canada. The contractor must notify the contracting authority if they intend to move the information from Canada. The contractor must not move Senate confidential information from Canada without the prior written consent of the contracting authority.
- VI. The contractor must immediately notify the contracting authority of any information or data breach affecting information that is not publicly available and that is received or generated in the performance of this contract, including



information or data — whether paper-based or electronic — prepared by the contractor for the benefit of the Senate. The contractor must also cooperate with any investigation by the Senate into an information or data breach.

12. Information Management – Destruction of all Senate information upon termination of contract

- I. Subject to subclause 12.II, upon the termination of the contract, the contractor must provide the Senate, in a useable format, all information that is not publicly available and that is received or generated in the performance of this contract, including information or data — whether paper-based or electronic — prepared by the contractor for the benefit of the Senate. Once the Senate confirms receipt of the information, the contractor must destroy the information and provide the Senate with a certificate of destruction.
- II. If the contractor is subject to legislation — including bylaws or other self-regulating professional body in Canada — that requires the retention of information until a certain deadline, the contractor may retain information referred to in subclause 12.I until the applicable legislative deadline, at which time they must immediately destroy the information and provide a certificate of destruction to the Senate.

13. Information Management – Destruction of specific Senate information during the term of the contract

- I. Despite subclause 12.I, the contractor must comply with any instruction provided by the contracting authority, during the term of the contract, in relation to the destruction of specific information that is received or generated by the contractor for the benefit of the Senate. In particular, the contractor must comply with any instruction requiring the contractor to, at any time during the term of the contract, provide specific information to the Senate in a usable format and, once the Senate confirms receipt of the information, wipe it from their systems and backups and provide a certificate of destruction to the Senate.
- II. If the contractor is subject to legislation — including bylaws or other self-regulating professional body in Canada — that requires the retention of information until a certain deadline, the contractor may retain the information referred to in subclause 13.I until the applicable legislative deadline, at which time they must immediately destroy any remaining information and provide a certificate of destruction to the Senate.

14. Rules and Regulations

- I. In their operation, the contractor and their employees will comply and abide by all lawful rules and regulations of the Senate that may be established and amended from time to time, provided that no such rules or regulations inhibit the contractor from exercising their rights and duties hereunder.
- II. The contractor further understands that, to ensure fairness, openness and transparency in the procurement process, the commission of certain acts or offences may result in a termination for default under this contract. If the contractor made a false declaration in their bid, makes a false declaration under this contract, or fails to diligently maintain the information herein requested up to date, or if the contractor or any of their affiliates or subcontractors fail to remain free and clear of any acts or convictions specified herein during the period of this contract, any such false declaration or failure to comply may result in a termination for default under this contract. The contractor understands that a termination for default will not restrict the Senate's right to exercise any other remedies that may be available against the contractor and agrees to immediately



return any advance payments.

15. Miscellaneous Restrictions

- I. Under no circumstances shall the contractor use any stationery with Senate letterhead to conduct business under this agreement.
- II. It is the intention of the parties that:
 - a. this contract is for the performance of a service or services and that the contractor is engaged as an independent contractor providing services to the Senate; and
 - b. that the contractor's directors, officers, employees, and agents are not engaged as Senate of Canada employees and are not subject to the terms and conditions of employment or privileges applicable to the employees of the Senate of Canada.
- III. No contractor or their staff member(s) can render services or benefit from payments under a contract with the Senate if they are a family member (as defined in the Senate Administrative Rules) of the end user or of someone in a similar position who has influence over the scope of work.

16. Subcontracts

- I. The contractor must obtain the contracting authority's written consent before subcontracting or permitting the subcontracting of any part of the work. A subcontract includes a contract entered into by any subcontractor at any tier to perform any part of the work.
- II. In any subcontract, unless the contracting authority agrees in writing, the contractor must ensure that the subcontractor is bound by conditions compatible with and, in the opinion of the contracting authority, not less favorable to the Senate than the conditions of this contract.
- III. Even if the Senate consents to a subcontract, the contractor is responsible for performing this contract and the Senate is not responsible to any subcontractor. The contractor is responsible for any matters or things done or provided by any subcontractor under this contract and for paying any subcontractors for any part of the work that they perform.

17. No implied obligations

- I. It is the intention of the parties that this agreement is for the provision of services. The contractor is engaged as an independent contractor providing services to the Senate in accordance with this contract. The contractor's directors, officers, employees and agents are not engaged as Senate employees and are not subject to the terms and conditions of employment applicable to the employees of the Senate of Canada.

18. Performance

- I. The contractor shall report on performance under this contract to the Senate in whatever format and frequency that the Senate may require.

19. Amendments to this contract

- I. No person other than the Manager of Procurement Services or their designate can amend this contract in any form. Any changes to the original contract must



be made in writing.

20. Ownership of intellectual and other property including copyrights

- I. Documents and information (“work”) produced by the contactor in the performance of this contract as well as any copyrights in and to the work, shall vest in and remain the property of the Senate.
- II. Work shall be marked with the following copyright notice: © Senate (year)

21. Conflict of Interest

- I. The contractor declares that they have no pecuniary interest in the business of any third party that would cause a conflict of interest or seem to cause a conflict of interest in carrying out the work. Should such an interest be acquired during the life of this contract, the contractor shall declare it immediately to the Senate.
- II. It is a term of this contract that no former public office holder who is not in compliance with the *Conflict of Interest Act* shall derive a direct benefit from this contract.

22. Discrimination and harassment in the workplace

- I. The contractor declares that they, their directors nor their officers have suffered any judgments in regard to legislation pertaining to discrimination or harassment in the workplace.
- II. If such judgments are made against the contractor, their directors or their officers during the life of this contract, the Senate reserves the right to immediately terminate this contract. In such cases, the Senate shall only be liable for payment for services performed. No other costs or fees shall be due or payable by the Senate.

23. Health and Safety

- I. While working in the Senate workplace, the contractor must comply with the *Senate Policy on Occupational Health and Safety* and the *Senate Guidelines Promoting a Scent-Free Work Environment*. Particularly, this entails
 - a. refraining from or minimizing the use of scented products while in the Senate workplace;
 - b. taking all reasonable measures to protect the health and safety of every employee and any other persons granted access to the workplace for work purpose; and
 - c. not smoking in any buildings or within the vicinity (i.e., within nine (9) metres) of entrances, exits, windows or air intakes of Senate-occupied buildings in the Parliamentary Precinct.

If contractors breach these duties and responsibilities, corrective action will be taken which could include measures up to contract termination. The Senate Policy on Occupational Health and Safety and the Guidelines promoting a scent-free work environment will be available upon request.

24. Advertisement

- I. The contractor shall not advertise or publicize any work performed to the Senate without prior written consent from the Senate. Any breach of this clause shall be considered to be a breach of confidentiality and will result in the removal of the



contractor from Senate source files.

25. Entire Contract

- I. This contract constitutes the entire contract between the parties with respect to the subject matter of this contract and supersedes all previous negotiations, communications and other contracts relating to it unless they are incorporated by reference in this contract.

26. Authorities

- I. Contracting Authority

The contracting authority for this contract is:

Shirley Chartrand
Senior Procurement Advisor
Finance and Procurement Directorate
The Senate of Canada
40 Elgin Street, 11th floor
Ottawa, ON K1A0A4

Telephone: 613-995-8888 x 4
email: Proc-Appr@sen.parl.gc.ca

The contracting authority is responsible for the management of this contract and any changes to this contract must be authorized in writing by the contracting authority. The contractor must not perform work in excess of or outside the scope of this contract based on verbal or written requests or instructions from anybody other than the contracting authority.

- II. Project Authority

The project authority for this contract is:

To be determined

The project authority is the representative of the department for whom the work is being carried out under the contract and is responsible for the successful completion of the project. The project authority has the ultimate authority on all aspects for the project.

The project authority has no authority to authorize changes to the scope of the work. Changes to the scope of the work can only be made through a contract amendment issued by the contracting authority.

- III. Contractor's Representative

The contractor's representative for this contract is:

Account Manager:

To be determined.

27. Replacement of specific individuals

- I. If specific individuals are identified in the contract to perform the work, the contractor must provide the services of those individuals unless the contractor is unable to do so for reasons beyond their control.



- II. If the contractor is unable to provide the services of any specific individual identified in the contract, it must provide a replacement with equivalent or better qualifications and experience. The replacement must meet the criteria used in the selection of the contractor and be acceptable to the Senate. The contractor must, as soon as possible, give notice to the contracting authority of the reason for replacing the individual and provide the name, qualifications and experience of the proposed replacement.
- III. The contractor must not, in any event, allow performance of the work by unauthorized replacement persons. The contracting authority may order that a replacement stop performing the work. In such a case, the contractor must immediately comply with the order and secure a further replacement in accordance with subsection II. The fact that the contracting authority does not order that a replacement stop performing the work does not relieve the contractor of its responsibility to meet the requirements of the contract.

28. Priority of documents

- I. If there is a discrepancy between the wording of any documents that appear on the following list, the wording of the document that first appears on the list has priority over the wording of any document that subsequently appears on the list.
 - a. the articles of this contract;
 - b. articles of the RFP, including all annexes; and
 - c. the contractor's bid dated *(To be identified upon contract issuance)*.

29. Public disclosure

- I. All contracts awarded by the Senate must reflect fairness in the spending of public funds. The Senate is obligated to report on its website, every quarter, all contracts awarded and that have a value of more than \$10,000.00 or whose value has exceeded \$10,000.00 via amendment.



PART 6 – TERMS OF WORK AND PAYMENT

1. Period of the contract

- I. The contractor will provide the services for threat and risk assessment of the Senate ERP system as outlined in Annex “A” - Statement of Work (SOW) for a period of **six (6) weeks from contract award**.

2. Contract amount

- I. The contractor will be paid for the costs reasonably and properly incurred in the performance of the work, as determined in accordance with the Annex “B” - “All-inclusive Pricing and Basis of payment”, to a limitation of *(To be determined at contract award)* plus applicable taxes.

3. All-inclusive Pricing and Basis of Payment

- I. In consideration of the contractor satisfactorily completing all of their obligations under the contract, the Contractor will be paid in accordance with percentages specified in Annex “B” - All-inclusive Pricing and Basis of Payment.
- II. The Senate will not entertain any charges that are not specified in Annex “B” - All-inclusive Pricing and Basis of Payment.

4. Invoicing

- I. The contractor will submit a detailed invoice for each key deliverable. The invoice must include, at a minimum, the date(s) the service was performed, the service, number of hours or the cost and the contract reference number and the Business Registration Number (BN) when sales taxes are included and the contract reference number.
- II. The contractor’s certified invoice shall be forwarded by post or courier to

by email at: finpro@sen.parl.gc.ca

Or

**The Senate of Canada
Finance and Procurement Directorate
Chambers Building
40 Elgin Street, 11th floor
Ottawa, ON K1A 0A4**

- III. The invoice must be reviewed and signed by the project authority or their delegate before payment is issued.
- IV. Payment by the Senate to the contractor for work shall be made
 - a. in the case of a progress payment other than the final payment, within thirty (30) days of the date on which a claim for progress payment is received according to the terms of the contract; or
 - b. in the case of a final payment, within thirty (30) days of the date of receipt of a final invoice for payment, or within thirty (30) days of the date on which the work is completed, or the goods are delivered and accepted, whichever date is later.



- V. If the Senate has any objections to the invoice, written notification of the nature of such objections shall be forwarded to the contractor.

5. Method of payment

- I. Payment will be by direct deposit. The Senate will deposit all payments directly into the contractor's account. Please submit a completed direct deposit form at Annex "F" with your bid.
- II. Payments will be addressed and mailed to the name and address indicated on the first page of the contract.

6. Sales tax

- I. The Senate of Canada is exempt from provincial sales taxes.
- II. PST exemption numbers: Ontario 11708174G / Quebec: 10-0813-5602-P
- III. The applicable taxes are not included in the contract amount.
- IV. The Applicable Taxes and Business Registration Number must be listed as a separate line item on all invoices.

7. Interest on overdue accounts

- I. For the purpose of this section:
 - a. an amount is "due and payable" when it is due and payable by the Senate to the Contractor according to the terms and conditions of the contract;
 - b. an amount is "overdue" when it is unpaid on the first day following the day upon which it is due and payable;
 - c. "date of payment" means thirty (30) days from the date of receipt of the invoice at the Senate;
 - d. the "Bank Rate" is the average Bank of Canada discount rate for the previous month, plus 3 per cent (3%);
 - e. the Senate will be liable to pay simple interest, at the Bank Rate, on any amount that is overdue from the day the amount became overdue until the day prior to the date of payment inclusively; however, interest will not be payable, nor will it be paid, unless the amount has been outstanding (unpaid) for more than fifteen (15) days following the due date. Interest will only be paid when the Senate is responsible for the delay in paying the contractor. In the event that the Senate is not responsible for the delay in paying the contractor, no interest will be paid.
 - f. the Senate will not be liable to pay the contractor any interest on unpaid interest.



ANNEX "A" – STATEMENT OF WORK (SOW)

1. Title

To provide a security assessment of the Senate of Canada's Unit4 ERP application.

2. Background

The Senate of Canada leverages the Unit4 Enterprise Resource Planning application. The Unit4 application is managed by the Resource Management System (RMS) team within the Finance and Procurement directorate, and includes functionality related to Human Resources, Finance, Procurement and Asset Management processes and data. The users of the system include both internal Senate Administration teams (Human Resources (HR), Finance, Procurement and Asset Management) and end users including senators, their staff, and the Administration more broadly. The system offers self-serve features for HR (leave management), Procurement (requisitions) and Finance (invoice approval and expense claim management) processes where end users interact with the system to enter requests and perform approvals. Unit4 has integration points with external systems and processes for the purposes of financial reporting, payments, and the public disclosure of senator and Senate administration expenses.

As a standard IT security practice, the Senate is looking for an external Subject Matter Expert (SME) to review the installation, configuration, and use of Unit4 within the Senate's IT infrastructure from a cybersecurity perspective to ensure the appropriate operational and technical controls are put in place to mitigate IT security risks.

3. Objective

The Senate has a requirement for a cyber and risk management professional services to provide an IT Security Risk Assessment and to recommend risk mitigations for the Senate's current implementation of Unit4. The scope of the IT Security risk assessment will be limited exclusively to Unit4. The rest of the Senate's infrastructure is not in scope for this assessment.

The high-level objectives include the evaluation of measures put in place by the Senate of Canada to mitigate IT security risks, including:

- Technical controls used to secure Unit4 ERP services.
- Management controls and methods.
- Operational controls relating to people and processes.
- Segregation of roles and responsibilities, vs access rights based on profile definitions.

The outcome of this security assessment will be used by the Senate's Information Services Directorate (ISD) and the RMS team to understand areas of strength and weakness, areas that could be improved to meet industry best practices.

The assessment should also provide a prioritized list of risks and recommended mitigations.

4. Term of the contract

The resulting contract shall start at the earliest *to be determined* and shall be for a period of six (6) weeks.

5. Hours of Senate operation

The hours of operation of the Senate: 8AM to 5PM Monday to Friday, excluding statutory holidays and weekends. **The Senate of Canada reserves the right to ask the Contractor to be on-site at no cost.**



6. Scope of Work

The selected IT Security Service Provider will be required to perform the following activities for the project:

- Review of technical controls for Unit4.
- Review operational controls for Unit4.
- Review of management controls and methods for Unit4.
- Produce a draft IT Security Risk Assessment report.
- Deliver a single final quality IT Security Risk Assessment report in both official languages (French and English).
- Provide recommendations on risk mitigations, and recommendation priority.
- Present report and recommendations to stakeholders.
- Ensure Quality Assurance (QA) of all deliverables.

The scope of the security assessment is limited to Unit4, the Senate IT infrastructure is not in scope for this assessment.

7. Deliverables

- Initial project plan
- Weekly progress report and timesheet
- Bilingual IT Security Risk Assessment Report with Recommendations
- Bilingual presentations to Stakeholders

8. Constraints

The Senate will not pay for any separate costs associated with travel time.

9. Experience

- The bidder has an exhaustive expertise in conducting IT Security Risk Assessments.
- Each team member has a minimum of five (5) years' experience in securing resource management systems, establishing and implementing management measures, controls and methods, particularly with Unit4.

10. Language of Work

The resource(s) providing services must be fluent in English or French.



ANNEX "B" – ALL-INCLUSIVE PRICING AND BASIS OF PAYMENT

The Bidder must submit a firm, all-inclusive price for the performance of work. The price submitted must be inclusive of all activities, personnel and equipment to perform the work, customs and duties included, Harmonized Sales Tax excluded.

The Senate of Canada will not reimburse claims for travel or living expenses for any activity required for the performance of work under the Contract.

Total all-inclusive price:

Description	Bid Price
All-inclusive price for work outlined in the Annex "A" - SOW	\$ Applicable taxes are extra

Payment for the services provided shall be made at the completion of the contract and upon acceptance by the Senate Project Manager.

Company Name: _____

Name of Representative: _____

Signature: _____ Date: _____

ANNEX “C” – LANGUAGE PROFICIENCY

Language Proficiency Grid Legend	Oral	Comprehension	Written
Basic	<p>A person speaking at this level can:</p> <ul style="list-style-type: none"> • ask and answer simple questions; • give simple instructions; and • give uncomplicated directions relating to routine work situations. 	<p>A person reading at this level can:</p> <ul style="list-style-type: none"> • fully understand very simple texts; • grasp the main idea of texts about familiar topics; and • read and understand elementary points of information such as dates, numbers, or names from relatively more complex texts to perform routine job-related tasks. 	<p>A person writing at this level can:</p> <ul style="list-style-type: none"> • write isolated words, phrases, simple statements or questions on very familiar topics using words of time, place or person.
Intermediate	<p>A person speaking at this level can:</p> <ul style="list-style-type: none"> • sustain a conversation on concrete topics; report on actions taken; • give straightforward instructions to employees; and • provide factual descriptions and explanations. 	<p>A person reading at this level can:</p> <ul style="list-style-type: none"> • grasp the main idea of most work-related texts; • identify specific details; and • distinguish main from subsidiary ideas. 	<p>A person writing at this level can:</p> <ul style="list-style-type: none"> • deal with explicit information on work-related topics since they have sufficient mastery of grammar and vocabulary.
Advanced	<p>A person speaking at this level can:</p> <ul style="list-style-type: none"> • support opinions; and understand and express hypothetical and conditional ideas. 	<p>A person reading at this level can:</p> <ul style="list-style-type: none"> • understand most complex details, inferences and fine points of meaning; and • have a good comprehension of specialized or less familiar material. 	<p>A person writing at this level can:</p> <ul style="list-style-type: none"> • write texts where ideas are developed and presented in a coherent manner.



ANNEX “D” – WORK BREAKDOWN FORM

Bidders must complete and return this Annex with their bid. This Annex will be evaluated in Rated Criterion R3

Work Breakdown	
Phase	Approximate Duration (days) Maximum of 30 working days
Methodology and Planning	
Architecture and design review	
Stakeholders’ consultations	
Technical controls used to secure infrastructure and services	
Management controls and methods	
Operational controls	
Progress reports to client	
Development of draft report	
Development and presentation of final report	
Total (Days)	



ANNEX "E" – NON-DISCLOSURE FORM

The contractor hereby acknowledges that information disclosed to the contractor in the course of work performed under [IDENTIFY CONTRACT] may be confidential, subject to parliamentary privilege, or both; and agrees as follows:

1. Application

- 1.1 For the purposes of this annex, a reference to the contractor in sections 1 to 4 includes their affiliates, directors, officers, employees, subcontractors, agents, representatives and any other individual involved in the performance of work under [IDENTIFY CONTRACT]. The contractor will be responsible for any breach of the obligations contained in this annex by any such affiliates, directors, officers, employees, contractors, agents, representatives or individuals involved in the performance of work under the contract.
- 1.2 This annex applies to all Senate information obtained by the contractor in the course of work performed under [IDENTIFY CONTRACT].
- 1.3 Despite section 1.1, this annex does not apply to information that
 - a. is or becomes available to the public in a manner outside the contractor's control;
 - b. is or becomes known or available to the contractor on a non-confidential basis; or
 - c. is in the contractor's possession at the signing of this annex.

2. General obligation to secrecy

- 2.1 The contractor will keep Senate information obtained in the course of work performed under [IDENTIFY CONTRACT] confidential and will not, without consent from the appropriate Senate authority,
 - a. reproduce, copy, use, divulge, release or disclose the information, either in whole or in part, in any manner whatsoever, to any person other than an authorized Senate representative; or
 - b. use, directly or indirectly, the information at any time for any purpose other than in the performance of their obligations under [IDENTIFY CONTRACT].
- 2.2 The obligations contained in this annex will survive the completion of the work performed under [IDENTIFY CONTRACT] and will continue thereafter indefinitely.

3. Safety of Information

3.1 The contractor will comply with any written or oral instructions issued by the Senate in relation to the safeguarding of Senate information.

- 3.2 The contractor will report any inadvertent disclosure of information caused by their acts or omissions to the Senate as soon as practicable after its occurrence, and the contractor will cooperate with any investigation into a disclosure.
- 3.3 If disclosure of information subject to this annex is required by law, the contractor will so inform the Senate and will take any measure(s) necessary to resist disclosure until such time as the Senate has sought an appropriate legal remedy or waived compliance with this annex.
- 3.4 The contractor will return to the Senate any record containing information obtained in the course of work performed under [IDENTIFY CONTRACT] that is in the contractor's possession at the conclusion of their work. Upon confirmation of receipt of those records, the contractor will destroy any copies of the records in their possession and provide a certificate of destruction to the Senate.



- 4. No property interest**4.1 Any information that the contractor obtains or generates in the course of work performed under [IDENTIFY CONTRACT], or any work product generated by the contractor in the course of that work, is the property of the Senate.
- 5. Choice of law**5.1 This annex is governed by and construed in accordance with the laws in force in the province of Ontario.
- 6. Severability**6.1 If a court of competent jurisdiction determines that any provision of this annex is invalid, illegal or unenforceable in any respect, the validity, legality or enforceability of the remaining provisions will not in any way be affected or impaired by such a finding.
- 7. Privileges of the Senate preserved**7.1 Nothing in this annex will be construed as modifying or limiting the privileges, immunities and powers of the Senate.
- 7.2 The Senate may address a breach of the conditions contained in this annex as it deems fit.

Signature

Date

Name of contractor's authorized
representative



ANNEX "F" – SUPPLIER CREATION AND DIRECT DEPOSIT ENROLLMENT FORM